

μ_0

Summarizing the canonical ensemble

Equilibrium

$$\frac{\partial}{\partial P_k} \left[-k_B P_k \ln P_k + \Lambda_1 (U - \sum_k P_k E_k) + \Lambda_2 (1 - \sum_k P_k) \right] = 0$$

$$\Rightarrow -k_B (\ln P_k + 1) - \Lambda_1 E_k - \Lambda_2 = 0$$

$$P_k = \underbrace{e^{-\frac{\Lambda_1}{k} E_k}}_{\text{adjoint } \langle E \rangle} \underbrace{e^{-\frac{\Lambda_2 - 1}{k}}}_{\text{normalization } = 1/z}$$

$$P_k = e^{-\beta E_k} / z \quad ; \quad z = \sum_k e^{-\beta E_k}$$

$$U = \langle E \rangle = - \frac{\partial}{\partial \beta} \ln z = \frac{\sum_k E_k e^{-\beta E_k}}{\sum_k e^{-\beta E_k}}$$

$$C_v = \frac{\partial U}{\partial T} = - \frac{1}{k_B T^2} \frac{\partial}{\partial \beta} U = \frac{1}{k_B T^2} \left[\frac{\sum_k E_k^2 e^{-\beta E_k}}{\sum_k e^{-\beta E_k}} - \frac{(\sum_k E_k e^{-\beta E_k})^2}{(\sum_k e^{-\beta E_k})^2} \right]$$

$$= \frac{1}{k_B T^2} [\langle E^2 \rangle - \langle E \rangle^2]$$

$$= \frac{1}{k_B T^2} \langle (E - \langle E \rangle)^2 \rangle \geq 0$$

Fluctuation in Energy

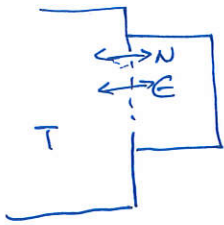
$$S = -k_B \sum_k P_k \ln P_k = -k_B \sum_k P_k [-\beta E_k - \ln z]$$

$$= + k_B \beta \underbrace{\langle E \rangle}_U + k_B \ln z$$

$$-k_B T \ln z = U - TS = A$$

The chemical potential and the Grand Canonical Ensemble.

We now allow for the possibility of fluctuation in number of particles



Then we have for 1st Law,

$$dU = T \underbrace{dS}_{"dQ"} - P dV + \mu dn$$

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more formally, extensivity:
 $S(\lambda U, \lambda V, \lambda n) = \lambda S(U, V, n)$
 $\frac{\partial}{\partial \lambda} \Rightarrow$
 $\frac{\partial S(\lambda U, \dots)}{\partial \lambda U} \frac{\partial \lambda U}{\partial \lambda} + \frac{\partial S(\lambda U, \dots)}{\partial \lambda V} \frac{\partial \lambda V}{\partial \lambda} + \dots = S$
 $\frac{1}{\lambda} U + \frac{P}{T} V + \frac{\mu}{T} n = S$

For $S = S(U, V, n)$

$$dS = \frac{\partial S}{\partial U} dU + \frac{\partial S}{\partial V} dV + \frac{\partial S}{\partial n} dn$$

$$= \frac{dU}{T} + \frac{P}{T} dV - \frac{\mu}{T} dn$$

$$\Rightarrow S = \frac{U}{T} + \frac{PV}{T} - \frac{\mu n}{T}$$

$$\Rightarrow U - TS = PV - \mu n$$

prob of k 'th state with n particles $P_{n,k}$

$$S = -k_B \sum_{n,k} P_{n,k} \ln P_{n,k}$$

For fixed n ,
 must reduce to
 canonical for $\lambda_3 = 0$

Equilibrium:

$$0 = \frac{\partial}{\partial P_{m,k_m}} \left[S + \Lambda_1 (U - \sum_{n,k} P_{n,k} E_{n,k}) + \Lambda_2 (1 - \sum_{n,k} P_{n,k}) + \Lambda_3 (N - \sum_{n,k} n P_{n,k}) \right]$$

$$-k_B \ln P_{m,k_m} - k_B - \Lambda_1 E_{m,k_m} - \Lambda_2 - \Lambda_3 m = 0$$

$$P_{m,k_m} = e^{-\frac{\Lambda_1}{k_B} E_{m,k_m}} e^{-\frac{\Lambda_2 - 1}{k_B}} e^{-\frac{\Lambda_3}{k_B} m}$$

\uparrow adjusts temp $\quad \quad \quad \frac{1}{Z}$ $\quad \quad \quad \uparrow$
 adjusts # of particles
 choose as $\mu\beta$

$$= e^{-\beta E_{m,k_m}} e^{\beta \mu m} / Z$$

The Grand Canonical partition function:

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$$\mathcal{Z} = \sum_{n, k_n} e^{-\beta E_{n, k_n} + \beta \mu n} = \sum_n e^{\beta \mu n} \underbrace{\sum_{k_n} e^{-\beta E_{n, k_n}}}_{Z_n(\beta, V)}$$

Useful

$$U = \langle E \rangle$$

$$N = \langle n \rangle$$

$$-\frac{\partial}{\partial \beta} \ln \mathcal{Z} = \langle E - \mu n \rangle = U - \mu N$$

$$\frac{1}{\beta} \frac{\partial}{\partial \mu} \ln \mathcal{Z} = \langle n \rangle = N \quad \leftarrow \text{This equation gives } N \text{ for a certain value of } \mu.$$

Eliminate μ ~~from~~ using this eqn. in favor of N

$$S = -k_B \sum_{n, k_n} P_{n, k_n} \ln P_{n, k_n}$$

$$= -k_B \sum_{n, k_n} P_{n, k_n} [-\beta E_{n, k_n} + \beta \mu n - \ln \mathcal{Z}]$$

$$= k_B \beta \langle E \rangle - k_B \beta \mu \langle n \rangle + k_B \ln \mathcal{Z}$$

$$ST = U - \mu N + \overset{k_B T}{k_B T} \ln \mathcal{Z} = U + PV - \mu N$$

$$\Rightarrow +k_B T \ln \mathcal{Z} = PV$$

Example: Classical ideal gas.

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$$Z_n = Z_1^n \frac{1}{n!} \leftarrow \text{non interacting particles}$$

$$= \left[\frac{\int d^3p \int d^3q}{h^3} e^{-\beta \frac{p^2}{2m} - \beta \frac{p^2}{2m} - \beta \frac{p^2}{2m}} \right]^n \frac{1}{n!}$$

$$= \left[V \left(\frac{\pi 2m}{\beta} \right)^{3/2} \right]^n \frac{1}{n!}$$

$$\mathcal{Z} = \sum_n e^{\beta \mu n} \left[V (2mkT)^{3/2} \right]^n \frac{1}{n!}$$

$$= \exp \left[e^{\beta \mu} (2mkT)^{3/2} V \right]$$

$$\ln \mathcal{Z} = e^{\beta \mu} (2mkT)^{3/2} V$$

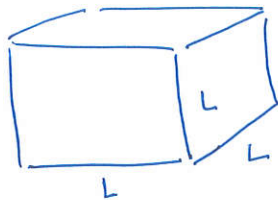
$$N = \frac{1}{\beta} \frac{\partial}{\partial \mu} \ln \mathcal{Z} = \ln \mathcal{Z}$$

$$k_B T \ln \mathcal{Z} = N k_B T = PV$$

$$U = \mu N = - \frac{\partial}{\partial \beta} \ln \mathcal{Z} = \underbrace{-\mu \ln \mathcal{Z}}_{\text{derivate of } e^{\beta \mu}} + \frac{3}{2} \frac{1}{\beta} \ln \mathcal{Z}$$

$$U = \frac{3}{2} N k_B T$$

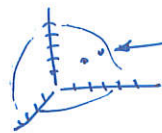
Fermions



$$k_x = \frac{n_x \pi}{L}, \quad k_y = \frac{n_y \pi}{L}, \quad k_z = \frac{n_z \pi}{L}$$

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$$(k_x, k_y, k_z) |spn\rangle = "k", \quad n_k = 0, 1 \quad \epsilon_k = \frac{\hbar^2 k^2}{2m}$$



(n_x, n_y, n_z) : single particle states

of states with k between k and $k+dk$:

Each state ^{may be} occupied by an e^- in $|\uparrow\rangle$ or $|\downarrow\rangle$ state

corresponds to energy $\frac{\hbar^2 k^2}{2m}$

$$\frac{4\pi k^2}{8\left(\frac{\pi}{L}\right)^3} \cdot dk \times 2 = \frac{V k^2 dk}{\pi^2}$$

← spin degeneracy

$$\Rightarrow \sum_k f(k) = \int \frac{V k^2 dk}{\pi^2} f(k)$$

Canonical partition function

$$Z_N = \sum_{n_k=0,1} \dots \sum_{n_2=0,1} \sum_{n_1=0,1} e^{-\beta \sum_k n_k \epsilon_k}$$

with constraint $\sum_k n_k = N$ ← difficult summation

$$E = \sum_k n_k \epsilon_k$$

$n_k = 0$ if state is empty
 $n_k = 1$ if state is occupied.

Grand canonical partition function

$$\mathcal{Z} = \sum_{N=0} e^{\beta \mu N} Z_N = \sum_{n=0}^{\infty} e^{\beta \mu n} \sum_{\substack{n_k=0,1 \\ \dots \\ n_1=0,1 \\ \sum n_k = n}} e^{-\beta \sum_k n_k \epsilon_k}$$

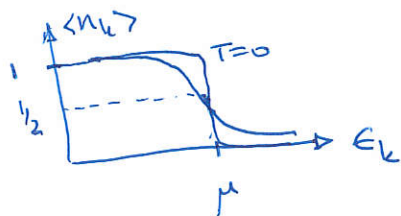
$$= \sum_{n_k=0,1} \dots \sum_{n_1=0,1} e^{-\beta \sum_k n_k (\epsilon_k - \mu)}$$

No restrictions

$$= \prod_k (1 + e^{-\beta (\epsilon_k - \mu)})$$

$$\ln \mathcal{Z} = \sum_k \ln (1 + e^{-\beta (\epsilon_k - \mu)})$$

$$N = \langle n \rangle = \frac{1}{\beta} \frac{\partial}{\partial \mu} \ln \mathcal{Z} = \sum_k \frac{e^{-\beta (\epsilon_k - \mu)}}{1 + e^{-\beta (\epsilon_k - \mu)}} = \sum_k \frac{1}{e^{\beta (\epsilon_k - \mu)} + 1} = \sum_k \langle n_k \rangle$$



Define $\mu = \epsilon_F$: Fermi Energy

Using the $T=0$ form,

$$N = \int_0^{k_F} \frac{V k^2 dk}{\pi^2} = \frac{V}{\pi^2} \frac{k_F^3}{3}$$

$$\frac{\hbar^2 k_F^2}{2m} = \epsilon_F$$

$$k_F = \left(\frac{2m \epsilon_F}{\hbar^2} \right)^{1/2}$$

$$= \frac{V}{3\pi^2} \left(\frac{2m \epsilon_F}{\hbar^2} \right)^{3/2}$$

$$\left(3\pi^2 N/V \right)^{2/3} \frac{\hbar^2}{2m} = \epsilon_F$$

Remember

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$$-\frac{\partial}{\partial \beta} \ln \mathcal{Z} = U - \mu N$$

$$= \sum_k \frac{(\epsilon_k - \mu) e^{-\beta(\epsilon_k - \mu)}}{1 + e^{-\beta(\epsilon_k - \mu)}} = \sum_k \frac{(\epsilon_k - \mu)}{e^{\beta(\epsilon_k - \mu)} + 1} = \sum_k (\epsilon_k - \mu) \langle n_k \rangle$$

$$U = \sum_k \epsilon_k \langle n_k \rangle$$

For $T=0$ case $U = \int_0^{k_F} \frac{V k^2 dk}{\pi^2} \cdot \frac{\hbar^2 k^2}{2m} = \frac{V \hbar^2}{2\pi^2 m} \cdot \frac{k_F^5}{5}$

$C_V \sim \frac{\partial U}{\partial T} \propto T$ for low T

The general problem

Use $N = \int_0^{\infty} \frac{V k^2 dk}{\pi^2} \frac{1}{e^{\beta(\hbar^2 k^2/2m - \mu)}} \quad \text{to find } \mu(T, N)$

Substitute in

$$PV = \frac{1}{\beta} \ln \mathcal{Z} = \frac{1}{\beta} \int_0^{\infty} \frac{V k^2 dk}{\pi^2} \ln (1 + e^{-\beta(\hbar^2 k^2/2m - \mu)})$$

To find the eqn. of state