

Remainding: An equivalence relation  $R$  on a set  $A$  is a relation that is reflexive, symmetric and transitive.

### Equivalence relations and Partitions

Definition. For a given set  $A$ , let  $I$  be an index set,  $A_i \subset A$  for all  $i \in I$  and  $A_i \neq \emptyset$  for all  $i \in I$ . Then  $\{A_i\}_{i \in I}$  is called a partition of  $A$  if

$$(a) A = \bigcup_{i \in I} A_i;$$

$$(b) A_i \cap A_j = \emptyset \text{ for all } i, j \in I \text{ where } i \neq j.$$

Example.  $A = \{1, 2, 3, 4, 5\}$

1)  $A_1 = \{1, 2\}, A_2 = \{3, 4, 5\}$  is a partition of  $A$ .

2)  $A_1 = \{3\}, A_2 = \{2, 4\}, A_3 = \{1, 5\}$  is a partition of  $A$ .

Definition. Each subset  $A_i$  in a partition  $\{A_i\}_{i \in I}$  of  $A$  is called a block of the partition.

Question: How do partitions come into play with equivalence relations?

Definition. Let  $R$  be an equivalence relation on a set  $A$ . For each  $x \in A$ , the equivalence class of  $x$ , denoted  $[x]$ , is defined by

$$[x] = \{y \in A : (x, y) \in R\}.$$

Example.  $A = \mathbb{Z}$ ,  $R = \{(x, y) : 3 \text{ divides } (x-y)\}$ .

For this equivalence relation

$$\begin{aligned} [0] &= \{3k : k \in \mathbb{Z}\} = 3\mathbb{Z}, & [3] &= \{y : 3-y = 3m ; m \in \mathbb{Z}\} = \\ [1] &= \{3k+1 : k \in \mathbb{Z}\}, & &= \{3(m+1) : m \in \mathbb{Z}\} = \\ [2] &= \{3k+2 : k \in \mathbb{Z}\}, & &= 3\mathbb{Z}. \end{aligned}$$

Similarly we can show that

$[4]=[1]$ ,  $[5]=[2], \dots$ . Most important,

$\{[0], [1], [2]\}$  provides a partition of  $\mathbb{Z}$ .

Theorem. Let  $R$  be an equivalence relation on a set  $A$ , and  $x, y \in A$ . Then

- (a)  $x \in [x]$ ;
- (b)  $(x, y) \in R$  if and only if  $[x] = [y]$ ;
- (c)  $[x] = [y]$ , or  $[x] \cap [y] = \emptyset$ .

Proof.

- (a) Since  $R$  is reflexive then  $(x, x) \in R$ ,  $\forall x \in A$  and hence  $x \in [x]$ .
- (b) - Let  $(x, y) \in R$ . Then, for any  $t \in [x]$  we have  $(x, t)$  and  $(t, x)$  belong to  $R$ . By transitivity of  $R$ ,  $(t, y) \in R$  and by symmetry of  $R$ ,  $(y, t) \in R$ , i.e.  $t \in [y]$ . We have that any element of  $[x]$  belongs to  $[y]$ , i.e.  $[x] \subseteq [y]$ . Similarly we can show that  $[y] \subseteq [x]$ . Therefore  $[x] = [y]$ .

- Let  $[x] = [y]$ . Since  $x \in [x]$ , then  $x \in [y]$ . It means that  $(y, x) \in R$  and hence  $(x, y) \in R$ .

- (c) says that two classes are either identical or they are disjoint.

Assume that  $[x] \neq [y]$ . Let us show that then  $[x] \cap [y] = \emptyset$ .

If  $[x] \cap [y] \neq \emptyset$  then there exists  $t \in [x] \cap [y]$ .

Hence,  $(x, t) \in R$  and  $(t, y) \in R$ . We have

$(x, t) \in R$  and  $(t, y) \in R$ . By transitivity of  $R$ ,

$(x, y) \in R$ . By part (b), it follows that  $[x] = [y]$ .

Example.  $A = \{1, 2, 3, 4\}$ ,  $R = \{(1, 1), (2, 2), (3, 3), (4, 4), (3, 4), (4, 3)\}$

Here  $[1] = \{1\}$ ,  $[2] = \{2\}$ ,  $[3] = \{3, 4\} = [4]$

Theorem. Let  $A$  be a set. Then

- any equivalence relation  $R$  on  $A$  induces a partition of  $A$ ;
- any partition of  $A$  gives rise to an equivalence relation  $R$  on  $A$ .

Proof.

- let  $R$  be an equivalence relation  $R$  on  $A$ .

By parts (a) and (c) of the previous Theorem, each element of  $A$  belongs to exactly one class of equivalence. These classes of equivalence are blocks of the partition of  $A$  induced by  $R$ .

- let  $\{A_i\}_{i \in I}$  be a partition of  $A$ . Define relation  $R$  on  $A$  as follows:

$$R = \{(x, y) : x \text{ and } y \text{ belong to the same block of partition of } A\}.$$

We have:

- $\forall x \in A \exists i \in I$  s.t.  $x \in A_i$ . Then  $(x, x) \in R$ . Hence  $R$  is reflexive.
- let  $(x, y) \in R \Rightarrow x$  and  $y$  belong to  $A_j$  for some  $j \Rightarrow y$  and  $x$  belong to  $A_i \Rightarrow (y, x) \in R$ . Hence  $R$  is symmetric.
- let  $(x, y) \in R$  and  $(y, z) \in R$ . Then  $x$  and  $y$  belong to  $A_j$  for some  $j$ . Hence  $y$  and  $z$  belong to the same block  $A_j$ . Therefore,  $x$  and  $z$  belong to the same  $A_j$ . Hence  $(x, z) \in R$ , i.e.,  $R$  is transitive.

Note that  $R = (A_1 \times A_1) \cup (A_2 \times A_2) \cup \dots \cup (A_n \times A_n) =$   
 $= \bigcup_{i \in I} (A_i \times A_i)$

Corollary. For any set  $A$ , there is a one-to-one correspondence between the set of equivalence relations on  $A$  and the set of partitions of  $A$ .

Problem. Let  $A \neq \emptyset$ ,  $B \subset A$ . Let  $R$  be a binary relation on the set of all subsets of  $A$ , denoted  $P(A)$ , such that

$$(X, Y) \in R \text{ if } X, Y \subset A \text{ and } B \cap X = B \cap Y.$$

(a) Show that  $R$  is an equivalence relation on  $P(A)$ .

(b) If  $A = \{1, 2, 3\}$  and  $B = \{1, 2\}$ , find the partition of  $P(A)$  induced by  $R$ .

(c) If  $A = \{1, 2, 3, 4, 5\}$  and  $B = \{1, 2, 3\}$ , find  $[X]$  if  $X = \{1, 3, 5\}$

HW(d) For  $A = \{1, 2, 3, 4, 5\}$  and  $B = \{1, 2, 3\}$ , how many equivalence classes are in the partition induced by  $R$ .

Solution.

(a)  $(X, X) \in R$  since  $B \cap X = B \cap X \Rightarrow R$  is reflexive

$(X, Y) \in R \Rightarrow B \cap X = B \cap Y \Rightarrow B \cap Y = B \cap X \Rightarrow (Y, X) \in R \Rightarrow R$  is symmetric

$(X, Y) \in R, (Y, Z) \in R \Rightarrow B \cap X = B \cap Y = B \cap Z \Rightarrow (X, Z) \in R \Rightarrow R$  is transitive.

(b)  $P(A) = \{\{1\}, \{2\}, \{3\}, \{1, 2\}, \{2, 3\}, \{1, 3\}, \{1, 2, 3\}, \emptyset\}$

$$[\{1\}] = [\{1, 3\}]$$

$$[\{2\}] = [\{2, 3\}]$$

$$[\{3\}] = [\emptyset]$$

$$[\{1, 2\}] = [\{1, 2, 3\}]$$

Partition of  $P(A)$  induced by  $R$  is

$$A_1 = \{\{1\}, \{1, 3\}\}, A_2 = \{\{2\}, \{2, 3\}\}, A_3 = \{\{3\}, \emptyset\}, A_4 = \{\{1, 2\}, \{1, 2, 3\}\}$$

(c)  $X = \{1, 3, 5\} \in P(A)$

$$[X] = \{Y \in P(A) : (X, Y) \in R\} = \{Y \in P(A) : X \cap B = Y \cap B\} = \{Y \in P(A) : Y \cap \{1, 2, 3\} = \{1, 3\}\} =$$

$$\{1, 3\}, \{1, 3, 4\}, \{1, 3, 5\}, \{1, 3, 4, 5\} \in [X].$$

## Product and Sum of Partitions.

Let  $\Pi_1$  and  $\Pi_2$  be two partitions of a set  $A$ . Let  $R_1$  and  $R_2$  be the corresponding equivalence relations.

Definition. We say that  $\Pi_1$  is a refinement of  $\Pi_2$ , denoted by  $\Pi_1 \leq \Pi_2$ , if  $R_1 \subset R_2$ . In other words, if  $\Pi_1$  is a refinement of  $\Pi_2$  then any two elements that are in the same block of  $\Pi_1$  must also be in the same block of  $\Pi_2$ .

Example.  $A = \{a, b, c, d, e, f\}$ . Let

$\Pi_1 = \{\{a, b\}, \{c, d, e\}, \{f\}\}$  and  $\Pi_2 = \{\{a, b, f\}, \{c, d, e\}\}$  be two partitions of  $A$ . We have  $\Pi_1 \leq \Pi_2$ .

Let us find equivalence relations  $R_1$  and  $R_2$  corresponding to  $\Pi_1$  and  $\Pi_2$ . We have

$R_1 = \{(a, a), (a, b), (b, a), (b, b), (c, d), (c, c), (c, e), (d, c), (d, d), (d, e), (e, c), (e, d), (e, e), (f, f)\}$

$R_2 = \{(a, a), (a, b), (a, f), (b, a), (b, b), (b, f), (f, a), (f, b), (f, f), (c, c), (c, d), (c, e), (d, c), (d, d), (d, e), (e, c), (e, d), (e, e)\}$ .

We see that  $R_1 \subset R_2$ .

Definition. The product of two partitions  $\Pi_1$  and  $\Pi_2$  of a set  $A$ , denoted  $\Pi_1 \cdot \Pi_2$  is the partition of  $A$  corresponding to the equivalence relation  $R_1 \cap R_2$ .

In other words,  $\Pi_1 \cdot \Pi_2$  is the partition of  $A$  such that two elements  $a$  and  $b$  are in the same block of  $\Pi_1 \cdot \Pi_2$  if  $a$  and  $b$  are in the same block of  $\Pi_1$  and also in the same block of  $\Pi_2$ .

Example.  $A = \{1, 2, 3, 4, 5\}$ ,  $\Pi_1 = \{\{1, 4\}, \{2, 3\}, \{5\}\}$ ,  $\Pi_2 = \{\{1, 4, 2\}, \{3, 5\}\}$ .

$$\Pi_1 \cdot \Pi_2 = \{\{1, 4\}, \{2\}, \{3\}, \{5\}\}.$$

$$R_1 = \{(1, 1), (1, 4), (4, 1), (4, 4), (2, 2), (2, 3), (3, 2), (3, 3), (5, 5)\}$$

$$R_2 = \{(1, 1), (1, 4), (1, 2), (4, 1), (4, 4), (4, 2), (2, 1), (2, 4), (2, 2), (3, 3), (3, 5), (5, 3), (5, 5)\}$$

$$R_1 \cap R_2 = \{(1, 1), (1, 4), (4, 1), (4, 4), (2, 2), (3, 3), (5, 5)\}$$

The partition corresponding to  $R_1 \cap R_2$  is clearly  $\Pi_1 \cdot \Pi_2$ .

To define the sum of two partitions, we need one additional definition.

Definition. Let  $R$  be a binary relation on  $A$ . The transitive extension of  $R$ , denoted  $R_1$ , is a binary relation on  $A$  such that  $R \subset R_1$  and moreover, if  $(a, b) \in R$  and  $(b, c) \in R$  then  $(a, c) \in R_1$ .

Example.  $A = \{a, b, c, d\}$

$R$	a	b	c	d
a		✓		
b			✓	
c	✓		✓	
d				

$R_1$	a	b	c	d
a		✓	✓	
b		✓	✓	✓
c	✓	✓	✓	
d				

- 1) Note that  $R_1$  is not necessarily transitive.
- 2) Note that if  $R$  is transitive then  $R = R_1$ .

Definition. Let  $R_2$  denote the transitive extension of  $R_1$ , and, in general, let  $R_{i+1}$  denote the transitive extension of  $R_i$ . The transitive closure of  $R$ , denoted  $R^*$ , is  $\bigcup_i R_i$ .

Example. Let  $A$  and  $R$  be the same as in the previous example.

$R_2$	a	b	c	d
a		✓	✓	✓
b		✓	✓	✓
c	✓	✓	✓	
d				

Since  $R_2$  is transitive then

$R_3 = R_2$ ,  $R_4 = R_2$ , ...,  $R_n = R_2 \ \forall n \geq 2$ .  
Therefore  $R^* = R_2 \cup R_1 = R_2$

Definition. The sum of  $P_1$  and  $P_2$ , denoted  $P_1 + P_2$ , is the partition corresponding to the equivalence relation  $(R_1 \cup R_2)^*$ .