

# Ginzburg-Landau theory

①

- relates order parameter to the underlying symmetries of the system
- 1<sup>st</sup> order phase transitions: may or may not involve symmetry breaking
  - solid-liquid; solid-vapour  $\Rightarrow$  there is symmetry breaking
  - liquid-gas  $\Rightarrow$  no symmetry breaking
  - solid to solid  $\Rightarrow$  change in symmetry
- continuous phase transition  $\Rightarrow$  free energy changes continuously  $\rightarrow$  symmetry is always broken
- order parameter  $\Rightarrow$  sensitive to symmetry breaking  $\rightarrow$  ~~less~~ becomes finite in the less symmetric phase
- examples of symmetries which break at a continuous phase transition
  - \* Curie point: rotational symmetry
  - \* normal to superfluid transition in  $^4\text{He}$ : gauge symmetries
- order parameter can be a vector / scalar or a complex field

- \* SL theory does not describe all features of a phase transition correctly, and is only valid near the critical point
  - \* But it describes the role of symmetry in the nature of phase transitions well  $\rightarrow$  and is a good starting point
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let  $\eta$  denote the order parameter

let  $\phi$  denote the free energy

$$\phi(T, \eta, \xi) = \phi_0(T, \eta) + \phi_2(T, \eta) \eta^2 + \phi_4(T, \eta) \eta^4 + \dots - \xi \eta$$

$\xi$  - conjugate to the order parameter

$\alpha_i(T, \eta)$  - coefficients which depend on details of phase transition

- no ~~first~~ first order term in  $\eta$  (if  $\xi = 0$ )  $\Rightarrow$  this way

$\eta = 0$  will correspond to one of the ordered states

-  $\phi_0(T, \eta)$  - part of free energy not involved in the phase transition

$\eta$  realized in nature  $\rightarrow$  corresponds to  $\frac{\partial \phi}{\partial \eta} = 0$

~~not~~ minimum

- continuous phase transition: occurs if ③

there is no cubic term ( $\alpha_3(T, Y) = 0$ )

$$\varphi(T, \eta) = \varphi_0(T, Y) + \alpha_2(T, Y)\eta^2 + \alpha_4(T, Y)\eta^4$$

- global stability requires that  $\alpha_4(T, Y) > 0$

$$\frac{\partial \varphi(T, Y)}{\partial \eta} = 0 \Rightarrow 2\alpha_2(T, Y)\eta + 4\alpha_4(T, Y)\eta^3 = 0$$

$$\text{solutions: } \eta = 0, \pm \sqrt{\frac{-\alpha_2(T, Y)}{2\alpha_4(T, Y)}}$$

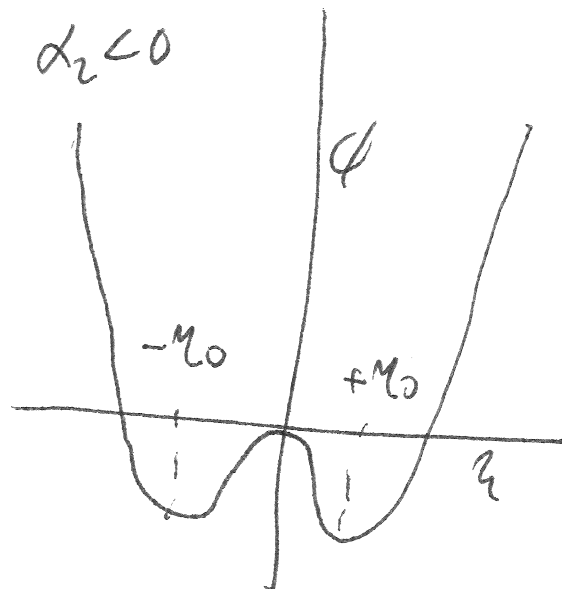
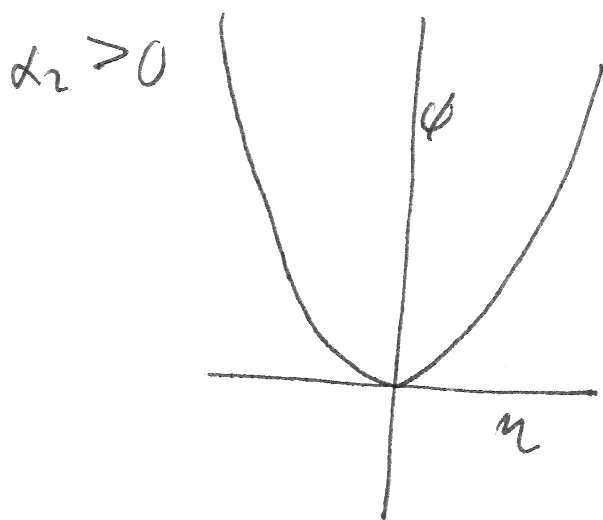
either  $\alpha_2(T, Y) > 0$  and the only solution is  $\eta = 0$

or  $\alpha_2(T, Y) < 0$  and there are three

$$\text{solutions} \rightarrow \eta = 0, \pm \eta_0 \quad \eta_0 = \sqrt{\frac{-\alpha_2}{2\alpha_4}}$$

\* free energies  $\rightarrow \infty$  if  $\eta \rightarrow \pm \infty$  (global stability)

therefore we must have



- we can take  $\alpha_2 = \tilde{\alpha}(T - T_c)$  (this can be derived from mean-field theory  $\rightarrow$  see later) (4)

$\rightarrow T < T_c$  minima are  $\pm M_0$ ;  $T > T_c$  minimum is  $M = 0$

critical point  $T = T_c \Rightarrow \alpha_2 = 0$

critical exponents:

$$* M_0 = \pm \sqrt{\frac{\tilde{\alpha}(T - T_c)}{\alpha_4}} \Rightarrow M_0 \sim |T - T_c|^{\beta} \Rightarrow \boxed{\beta = 1/2}$$

\* free energy

$$\begin{aligned} \phi(T, M) &= \phi_0(T, M) + \alpha_2 \left( \frac{-M}{2\alpha_4} \right) + \alpha_4 \left( \frac{-M}{2\alpha_4} \right)^4 \\ &= \phi_0(T, M) - \frac{M^2}{4\alpha_4} = \phi_0 - \frac{\tilde{\alpha}^2 (T - T_c)^2}{4\alpha_4} \end{aligned}$$

specific heat:

$$C = -T \frac{\partial^2 \phi}{\partial T^2} = -T \frac{\partial}{\partial T} \left[ - \frac{\tilde{\alpha}^2 (T - T_c)}{2\alpha_4} \right] = \frac{\tilde{\alpha}^2}{2\alpha_4}$$

$$C \sim |T - T_c|^{-\alpha} \quad \boxed{\alpha = 0}$$

$\Downarrow$   
 $C$  has a finite jump at critical point

$$C = \begin{cases} \frac{\tilde{\alpha}^2}{2\alpha_4} & T > T_c \\ 0 & T < T_c \end{cases}$$

→ consider finite field  $\delta$

(5)

$$\phi(T, \mu) = \phi_0(T, \mu) + \alpha_2 \mu^2 + \alpha_4 \mu^4 - \delta \mu$$

$$\frac{\partial \phi}{\partial \mu} = 0 \Rightarrow 2\alpha_2 \mu + 4\alpha_4 \mu^3 - \delta = 0$$

at  $T_c$   $\alpha_2 = 0 \Rightarrow \mu_s \sim \delta$   
 $\mu \sim \delta^{1/5}$   $\delta = 3$

can also obtain susceptibilities

$$\frac{\partial}{\partial \delta} \rightarrow \frac{\partial}{\partial \delta} [2\alpha_2 + 12\alpha_4 \mu^2] = 0$$

$$\chi = \frac{\partial \mu}{\partial \delta} \Rightarrow \frac{\partial \mu}{\partial \delta} = \frac{1}{2\alpha_2 + 12\alpha_4 \mu^2}$$

since  $\mu^2 = \frac{\alpha_2}{2\alpha_4}$   $T < T_c$

$$\chi = \frac{\partial \mu}{\partial \delta} = \frac{1}{4\alpha_2} = \frac{1}{4\alpha_2 (T - T_c)}$$

~~$\chi \sim |T - T_c|^{-\nu}$~~

$$\chi = \begin{cases} \frac{1}{2\alpha_2 |T - T_c|} & T > T_c \\ \frac{1}{4\alpha_2 |T - T_c|} & T < T_c \end{cases}$$

$$\chi \sim |T - T_c|^{-\nu} \Rightarrow$$

$\nu = 1$

\* Ginzburg-Landau theory can also be derived from mean-field theory through a coarse graining procedure (6)

\* this leads to the Ginzburg-Landau functional  
 \* can also be used to derive the remaining critical exponents  $\Rightarrow$

## Landau functional

- derive entropy expression  $\Rightarrow$  let  $P_i^+, P_i^-$  denote

~~average spin on a site:~~  $M_i =$   
 probability of spin (+) on site  $i$  and spin (-) on site  $i$

$$M_i = P_i^{(+)} - P_i^{(-)} \Rightarrow P_i^{(+)} = \frac{1+M_i}{2}$$

$$1 = P_i^{(+)} + P_i^{(-)} \Rightarrow P_i^{(-)} = \frac{1-M_i}{2}$$

- entropy  $\Rightarrow S = -k_B \sum_i \left[ \left( \frac{1+M_i}{2} \right) \ln \left( \frac{1+M_i}{2} \right) + \left( \frac{1-M_i}{2} \right) \ln \left( \frac{1-M_i}{2} \right) \right]$

- expand up to 4th order

$$\Rightarrow \left( \frac{1+M_i}{2} \right) \ln \left( \frac{1+M_i}{2} \right) + \left( \frac{1-M_i}{2} \right) \ln \left( \frac{1-M_i}{2} \right)$$

$$= \frac{M_i^2}{2} + \frac{M_i^4}{12} + \dots$$

$$\Psi = -\frac{1}{2} \sum_{i,j} J_{ij} M_i M_j - \mu \sum_i B_i M_i + \frac{1}{\beta} \sum_i \left( \frac{M_i^2}{2} + \frac{M_i^4}{12} \right) \quad (7)$$

(From the original free energy expression

$$\Psi = -\frac{1}{2} \sum_{i,j} J_{ij} M_i M_j - \mu \sum_i B_i M_i - kT \sum_i \left[ \frac{(1+M_i)}{2} \ln \left( \frac{1+M_i}{2} \right) + \frac{(1-M_i)}{2} \ln \left( \frac{1-M_i}{2} \right) \right]$$

it is possible to derive the mean-field equation

$$\text{then} \rightarrow \frac{\partial \Psi}{\partial M_i} = 0 \rightarrow \frac{1}{\beta} \tanh^{-1} M_i = \mu B_i + \sum_j J_{ij} M_j$$

- can also rewrite the interaction term

$$M_i = M(\vec{r}_i) \quad \vec{r}_i \rightarrow \text{position vector}$$

$$M_{i+\mu} = M(\vec{r}_i + a\vec{e}_\mu) \quad a - \text{lattice constant}$$

$$-\frac{1}{2} \sum_{i,j} J_{ij} M_i M_j = -J \sum_{i,\mu} M_i M_{i+\mu}$$

$$J_{ij} = \begin{cases} J & \text{for nearest neighbors} \\ 0 & \text{otherwise} \end{cases}$$

$\sum_{\mu}$   $\rightarrow$  sum over nearest neighbors

$$-J \sum_{i,\mu} M_i M_{i+\mu} = +\frac{J}{2} \sum_{i,\mu} \left[ M_i^2 - 2M_i M_{i+\mu} + M_{i+\mu}^2 \right] - M_i^2 - M_{i+\mu}^2$$

$$= \frac{J}{2} \sum_{i,\mu} (M_i - M_{i+\mu})^2 - \frac{J}{2} \sum_{i,\mu} (M_i^2 + M_{i+\mu}^2)$$

$$= \frac{J}{2} \sum_{i, i+m} (M_i - M_{i+m})^2 - \sum_i 2JD \sum_i M_i^2 \quad (8)$$

$$\mathcal{F} = \frac{J}{2} \sum_{i, i+m} (M_i - M_{i+m})^2 - \mu \sum_i B_i M_i + \left(\frac{1}{\beta} - 2SD\right) \sum_i M_i^2 + \frac{1}{12\rho} \sum_i M_i^4$$

Note:  $\sum_i M_i^2$  is multiplied by  $(\frac{1}{\beta} - 2SD)$

mean-field definition of  $T_c \Rightarrow T_c = \frac{2SD}{z}$

\* also  $M_i = M$  for homogeneous system

$$(B_i = B)$$

$\cup \quad \cup$

in this case we recover the Ginzburg-Landau theory described before  $[\phi_0 + a_2 M^2 + a_4 M^4 - BM]$

- coarse graining:

$$a^D \sum_i M_i^2 \rightarrow \int d\vec{r} M^2(\vec{r})$$

$$a^D \sum_i M_i^4 \rightarrow \int d\vec{r} M^4(\vec{r})$$

$$a^D \sum_i \mu M_i B_i \rightarrow \mu \int d\vec{r} B(\vec{r}) M(\vec{r})$$

- last term  $\Rightarrow a^D \sum_i (M_i - M_{i+m})^2$   
 $= a^{D-2} \sum_i \left(\frac{M_i - M_{i+m}}{a}\right)^2 \rightarrow a^2 \int d\vec{r} (\nabla M \cdot \nabla M)$

per form rescaling of magnetization:  $m \rightarrow \sqrt{5a^2} M$



$$\Rightarrow \mathcal{L}[M(\vec{r})] = \int d\vec{r} \left[ (\vec{\nabla} M \cdot \vec{\nabla} M) + \frac{\rho_0}{2} M^2(\vec{r}) + \frac{\mu_0}{4!} M^4(\vec{r}) - \mu B(\vec{r}) M(\vec{r}) \right] \quad (9)$$

in summary:

$$\Psi = \frac{1}{2} \sum_{i,j} T_{ij} M_i M_j - \mu_i^0 B_i M_i + \frac{1}{B} \left[ \left( \frac{1+M_i}{2} \right) \ln \left( \frac{1+M_i}{2} \right) + \left( \frac{1-M_i}{2} \right) \ln \left( \frac{1-M_i}{2} \right) \right]$$

↓ ↓ coarse graining

$$\mathcal{L}[M(\vec{r})] = \int d\vec{r} \left[ (\vec{\nabla} M) \cdot (\vec{\nabla} M) + \frac{\rho_0}{2} M^2(\vec{r}) + \frac{\mu_0}{4!} M^4(\vec{r}) - \mu B(\vec{r}) M(\vec{r}) \right]$$

$\mathcal{L}[M]$  is a functional  $\Rightarrow$  value of  $\mathcal{L}$  depends on the whole ~~function~~ function  $M(\vec{r})$  (the value of  $M(\vec{r})$  at every point  $\vec{r}$ )

before continuing let's review functional differentiation

- suppose  $I[f]$  is a functional (I depends on function  $f(x)$ )

- if we want to find  $\frac{\delta I}{\delta f(x)}$  (how I varies

with a change in  $f$  at point  $x$ )

we need to do the following:

1.)  $f(x') \rightarrow f(x') + \epsilon \delta(x-x')$

2.)  $I \rightarrow I[f(x') + \epsilon \delta(x-x')]$

3.) take the simple derivative  $\frac{\partial I}{\partial \epsilon}$

4.) set  $\epsilon = 0$

5.) integrate over  $\delta(x-x')$

Examples:

$$I[f] = \int dx' f^3(x')$$

~~1.)  $f(x)$~~   
find  $\frac{\delta I}{\delta f(x)}$

1.)  $f(x') \rightarrow f(x') + \epsilon \delta(x-x')$

2.)  $I[f] \rightarrow I[f + \epsilon \delta]$   
 $\Rightarrow \int dx' [f(x') + \epsilon \delta(x-x')]^3$

3.)  $\frac{\partial I}{\partial \epsilon} \Rightarrow 3 \int dx' [f(x') + \epsilon \delta(x-x')]^2 \delta(x-x')$

4.)  $\epsilon \Rightarrow 0 \Rightarrow 3 \int dx' [f(x')]^2 \delta(x-x')$

5.) integrate  $\Rightarrow \frac{\delta I}{\delta f(x)} = 3 f^2(x)$

if derivatives are also involved  $\rightarrow$  trickier (11)  
 but usually one can use integration by parts  $\rightarrow$

example:  $I = \int dx' f(x') \frac{\partial^2 f(x')}{\partial x'^2}$

find  $\frac{\delta I}{\delta f(x)} = ?$

1.)  $f(x') \rightarrow f(x') + \epsilon \delta(x-x')$

2.)  $I \rightarrow \int dx' (f(x') + \epsilon \delta(x-x')) \frac{\partial^2}{\partial x'^2} (f(x') + \epsilon \delta(x-x'))$

$= \int dx' (f(x') + \epsilon \delta(x-x')) \frac{\partial^2}{\partial x'^2} f(x')$

$+ \epsilon \int dx' (f(x') + \epsilon \delta(x-x')) \frac{\partial^2}{\partial x'^2} \delta(x-x')$

in second term  $\frac{\partial^2}{\partial x'^2} \delta(x-x')$  is problematic

because the derivative of  $\delta$ -fun. is undefined

$\Rightarrow$  integrate by parts

(for simplicity neglect boundary contributions)

$I \rightarrow \int dx' f(x') \frac{\partial^2}{\partial x'^2} f(x') + \epsilon \int dx' \delta(x-x') \frac{\partial^2 f(x')}{\partial x'^2}$

$+ \epsilon \int dx' f(x') \frac{\partial^2}{\partial x'^2} \delta(x-x') + \epsilon^2 \int dx' \delta(x-x') \frac{\partial^2}{\partial x'^2} \delta(x-x')$

⇒ using these rules

(12)

$$\frac{\delta \langle M \rangle}{\delta M(\vec{r})} = -\nabla^2 M(\vec{r}) + r_0 M(\vec{r}) + \frac{u_0}{3!} M^3(\vec{r}) - \beta h = 0$$

~~$\frac{\delta^2 \langle M \rangle}{\delta M(\vec{r}) \delta B(\vec{r}')}$~~

if  $M(\vec{r}) = M$  (constant)

∪

$$r_0 M + \frac{u_0}{3!} M^3 - \beta = 0$$

similar to meanfield equations

correlation function:  $G(\vec{r}, \vec{r}') = \frac{\delta M(\vec{r})}{\delta B(\vec{r}')}$

~~$\frac{\delta^2 \langle M \rangle}{\delta M(\vec{r}) \delta B(\vec{r}')}$~~

$$\left( -\nabla^2 + r_0 + \frac{u_0}{2!} M^2(\vec{r}) \right) \frac{\delta M(\vec{r})}{\delta B(\vec{r}')} = \delta(\vec{r} - \vec{r}')$$

Fourier transform and let  $B(\vec{r}) \rightarrow B$  (constant)

$$\Rightarrow \frac{\delta M(\vec{r})}{\delta B(\vec{r}')} = G(\vec{r}, \vec{r}') = \frac{1}{q^2 + r_0 + \frac{u_0 M^2}{2}}$$

$$G(q) = \frac{1}{q^2 \left[ 1 + \frac{r_0 + \frac{u_0 M^2}{2}}{q^2} \right]}$$

$$T > T_c \quad G(q) = \frac{1}{q^2 \left[ 1 + \frac{\beta_0(T - T_c)}{q^2} \right]} = \frac{\beta(q, \epsilon)}{q^2 - \mu}$$

⇒  $\mu = 0$

⇒  $G \sim |T - T_c|^{-1/2}$

$\nu = 1/2$