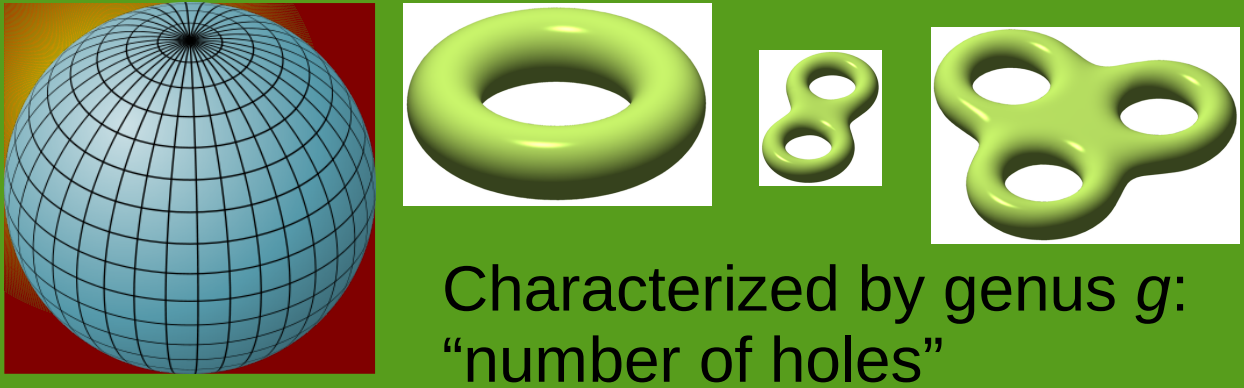


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Topological invariants



Characterized by genus g :
"number of holes"

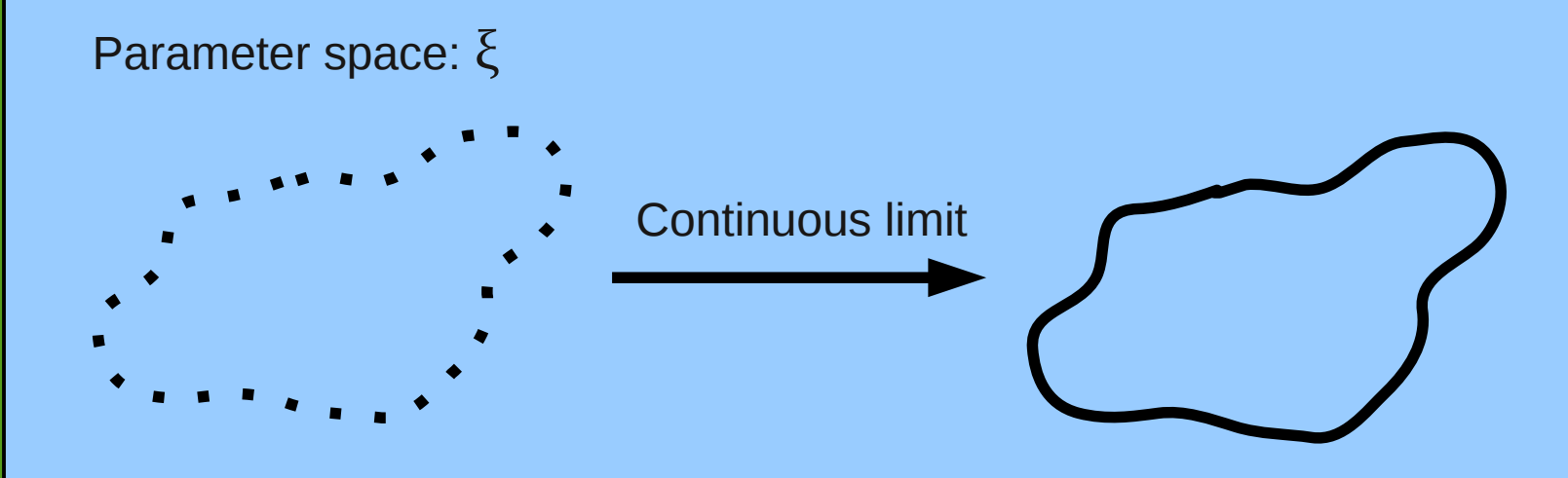
Genus g can also be cast in terms of the curvature K over the surface:

$$n(1-g) = (1/2\pi) \int_S K dS$$

Integrals over curvature: **topological invariants**

Berry phase & Berry curvature

Adiabatic cycle:



Discrete Berry phase: $\phi_B = \text{Im} \ln \prod_i \langle \Psi(\xi_i) | \Psi(\xi_{i+1}) \rangle$

Continuous Berry phase: $\phi_B = \oint d\xi \cdot \langle \Psi(\xi) | \nabla_\xi | \Psi(\xi) \rangle$

Stokes theorem \rightarrow Berry phase becomes a curvature integral:

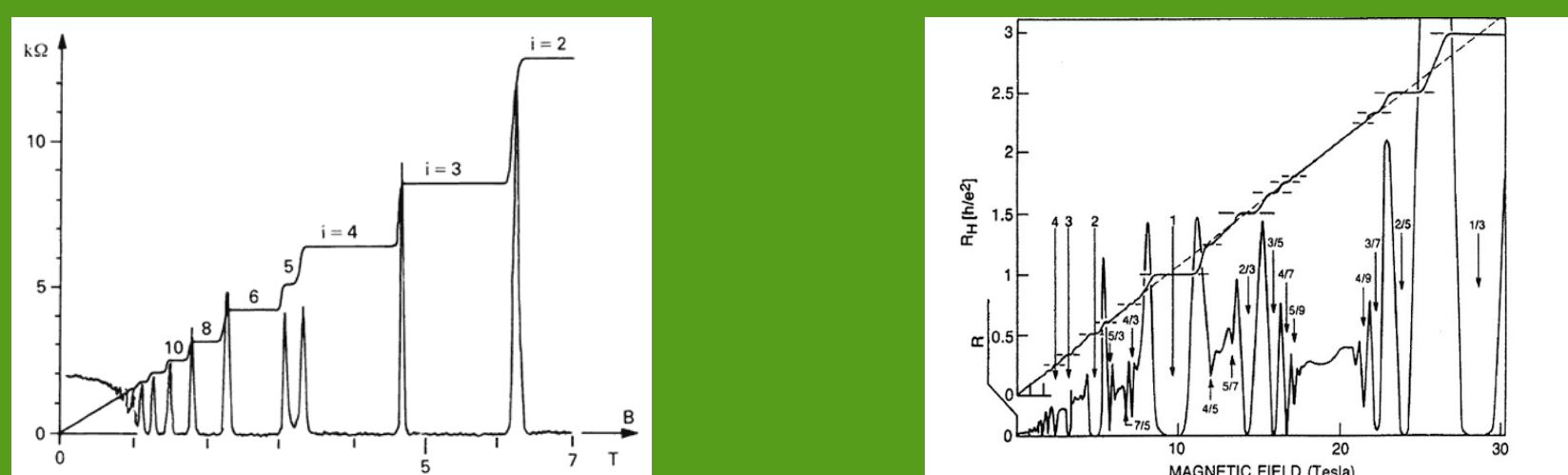
$$\phi_B = \int d\sigma_\xi \cdot \nabla_\xi \times \langle \Psi(\xi) | \nabla_\xi | \Psi(\xi) \rangle$$

Topological systems

- Characterized by topological invariant
- Quantization of transport related quantities
- Edge currents at interfaces (Halperin, PRB 1984)
- Examples: Quantum Hall systems, topological insulators

Quantum Hall effect & TKNN invariant

(von Klitzing, Dorda, and Pepper; PRL (1980)) (Tsui, Stormer, and Gossard; PRL (1982))

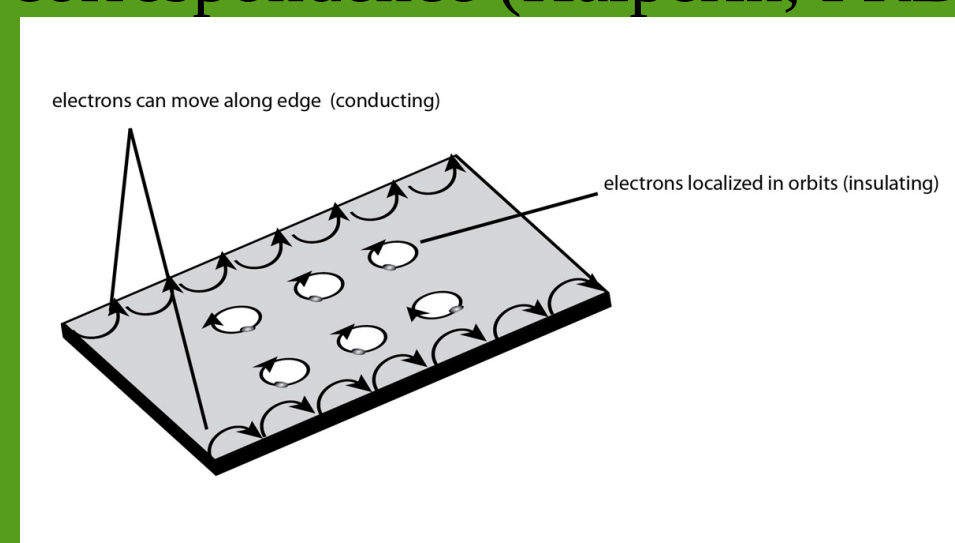


Quantized Hall conductance: $\sigma_{xy} = p \frac{e^2}{h}$ and $\sigma_{xy} = \frac{p}{q} \frac{e^2}{h}$

Thouless, Kohmoto, Nightingale, and den Nijs (PRL, 1982): σ_{xy} is a topological invariant \rightarrow quantization

$$\sigma_{xy} = \frac{ie^2}{2\pi h} \sum_{m=1}^{n_{occ}} \int \int dk_x dk_y (\langle \partial_{k_x} u_m | \partial_{k_y} u_m \rangle - \langle \partial_{k_y} u_m | \partial_{k_x} u_m \rangle)$$

Bulk-boundary correspondence (Halperin, PRB, 1982):
edge currents

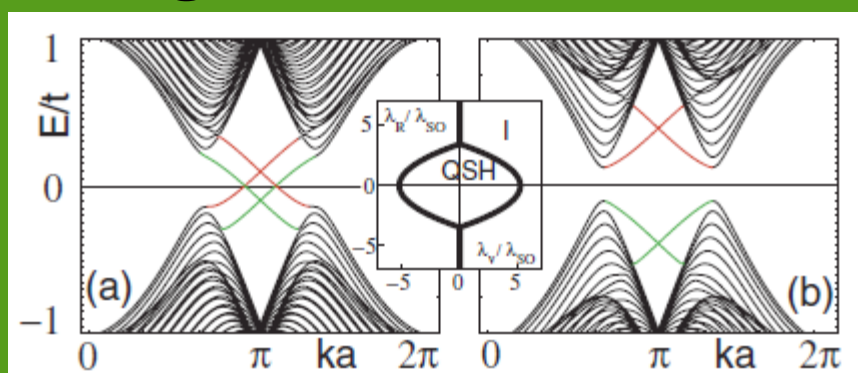


Kane-Mele model: edge states

Hamiltonian (Kane and Mele, PRL 2005):

$$H = -t \sum_{\langle i,j \rangle} c_i^\dagger c_j + i\lambda_{SO} \sum_{\langle\langle i,j \rangle\rangle} \nu_{ij} c_i^\dagger \sigma_x c_j + i\lambda_R \sum_{\langle i,j \rangle} c_i^\dagger (\mathbf{s} \times \mathbf{d}_{ij})_z c_j + \lambda_\nu \sum_i \xi_i c_i^\dagger c_i$$

Edge states detectable in band structure:



Topological invariant:

$$I = \int d\mathbf{k} \cdot \nabla_{\mathbf{k}} \ln(P(\mathbf{k}) + i\delta)$$

Drude weight/Ideal conduction

- Derived in a seminal paper by Kohn (PR, 1964) as the criterion to distinguish conductors from insulators
- Related to many-body localization
- Measure of ideal (non-diffusive) conduction:

$$\sigma(\omega) = D\delta(0) + \sigma_{reg}(\omega)$$

Drude weight as equilibrium susceptibility

Hamiltonian:

$$H(\Phi) = \sum_{i=1}^N \frac{(\hat{p}_i + \Phi)^2}{2m} + \hat{V}$$

Φ represents perturbation (electric field)

Current:

$$J(\Phi) = \frac{\partial E(\Phi)}{\partial \Phi} = \langle \Psi(\Phi) | \left[\sum_i \frac{(\hat{p}_i + \Phi)}{m} \right] | \Psi(\Phi) \rangle$$

Drude weight:

$$D = \frac{1}{V} \left[\frac{\partial^2 E_0(\Phi)}{\partial \Phi^2} \right]_{\Phi=0}$$

Relation to other ideal transport quantities

Drude weight:

$$D = \frac{1}{V} \left[\frac{\partial^2 E_0(\Phi)}{\partial \Phi^2} \right]_{\Phi=0}$$

Meissner weight:

$$n^{(s)} = \frac{1}{V} \left[\frac{\partial^2 E(\Phi)}{\partial \Phi^2} \right]_{\Phi=0}$$

Superfluid weight:

$$I^{(s)} = \left[\frac{\partial^2 E^{(s)}(\Phi)}{\partial \Phi^2} \right]_{\Phi=0}$$

Drude weight as a topological invariant

Similar to the modern theory of polarization (King-Smith & Vanderbilt PRB 1993; Resta RMP 1994) the current can be expressed as a geometric phase (Thouless, PRB 1983):

$$J(\Phi) = \frac{N\Phi}{m} - \frac{i}{ma} \int_0^a dX \langle \Psi(X) | \frac{\partial}{\partial X} | \Psi(X) \rangle$$

Taking the derivative with respect to Φ , and averaging over the Brillouin zone results in:

$$D = \frac{N}{m} - \frac{i}{2\pi m} \int_{BZ} \int_0^a dK dX \left(\left\langle \frac{\partial}{\partial K} \Psi \middle| \frac{\partial}{\partial X} \Psi \right\rangle - \left\langle \frac{\partial}{\partial X} \Psi \middle| \frac{\partial}{\partial K} \Psi \right\rangle \right)$$

- The second term of D is the integral over a curvature: hence D is a **topological invariant**. The integral is over the variables K, X the total momentum and the total position ("phase space").
- For a system with a magnetic field, the Hall conductance is easily recovered (in this case X becomes the transverse momentum K_y)
- The topological invariant derived by Thouless in the context of adiabatic charge pumping can also be derived (Thouless, PRB 1983):

$$C = \frac{i}{2\pi} \int_0^T dt \int_{BZ} d\Phi \left(\left\langle \frac{\partial}{\partial \Phi} \Psi \middle| \frac{\partial}{\partial t} \Psi \right\rangle - \left\langle \frac{\partial}{\partial t} \Psi \middle| \frac{\partial}{\partial \Phi} \Psi \right\rangle \right)$$

Quantization

- Flux quantization inside a cavity of a superconductor (Deaver and Fairbank, PRL 1961; Byers and Yang, PRL 1961)
- From London equation: Meissner weight should be fractionally quantized

$$\frac{ie\hbar}{m} \oint \langle \Psi | \nabla_{\mathbf{r}} | \Psi \rangle \cdot d\mathbf{l} = -\frac{n_s e^2}{mc} \Phi_B$$

M. Oshikawa (PRL 2000, PRL 2003) has shown that the Drude weight can only be zero for fillings of rational fractions

Edge states at conductor/CDW interface

- To investigate edge state we consider an interface between a simple spinless tight-binding model and a charge density wave
- Tight-binding Hamiltonian:

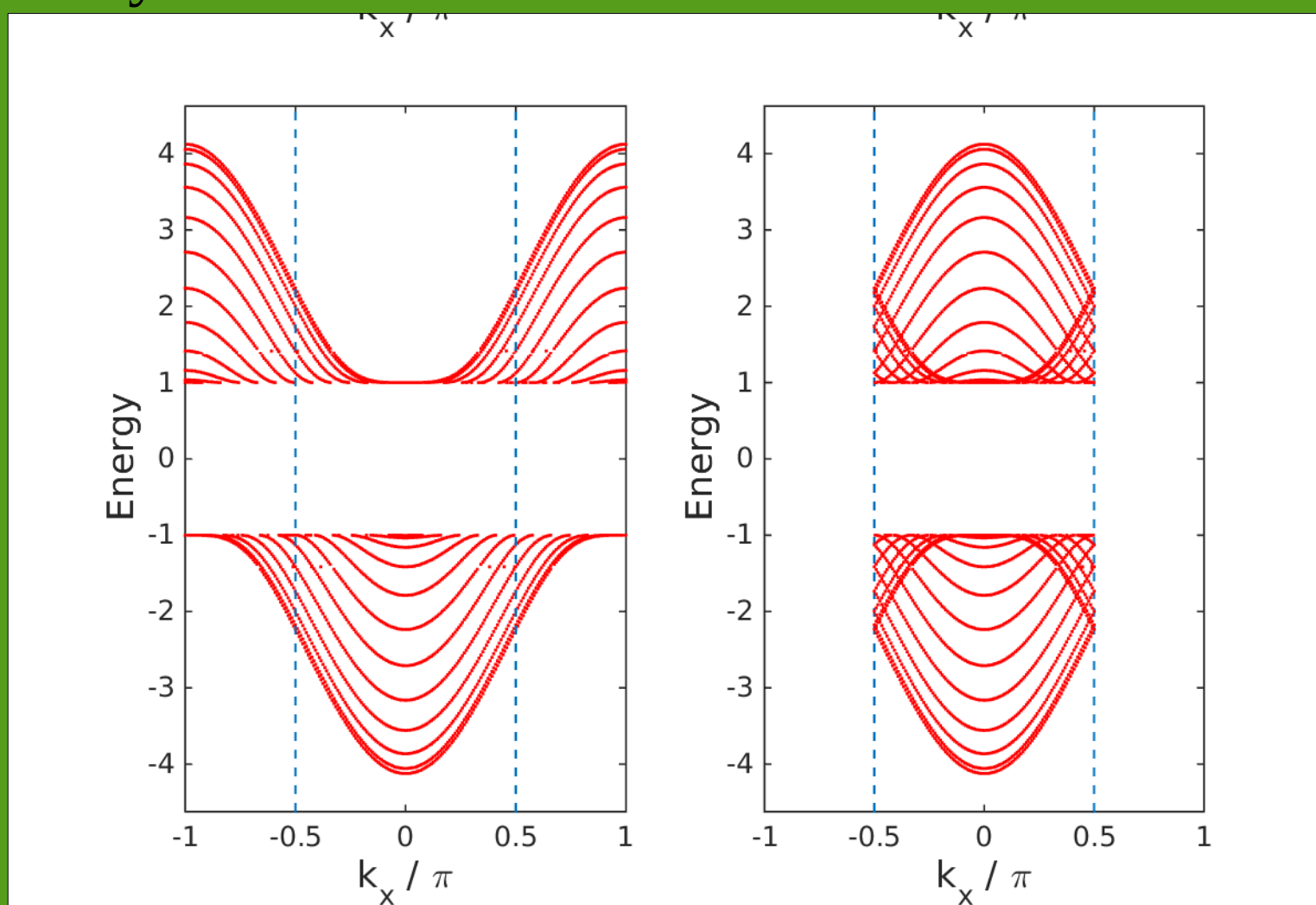
$$\hat{H}_{TB} = -t \sum_{\langle i,j \rangle} (c_i^\dagger c_j + c_j^\dagger c_i)$$

CDW Hamiltonian:

$$\hat{H}_{CI} = \hat{H}_{TB} + U \sum_i \xi_i \hat{n}_i$$

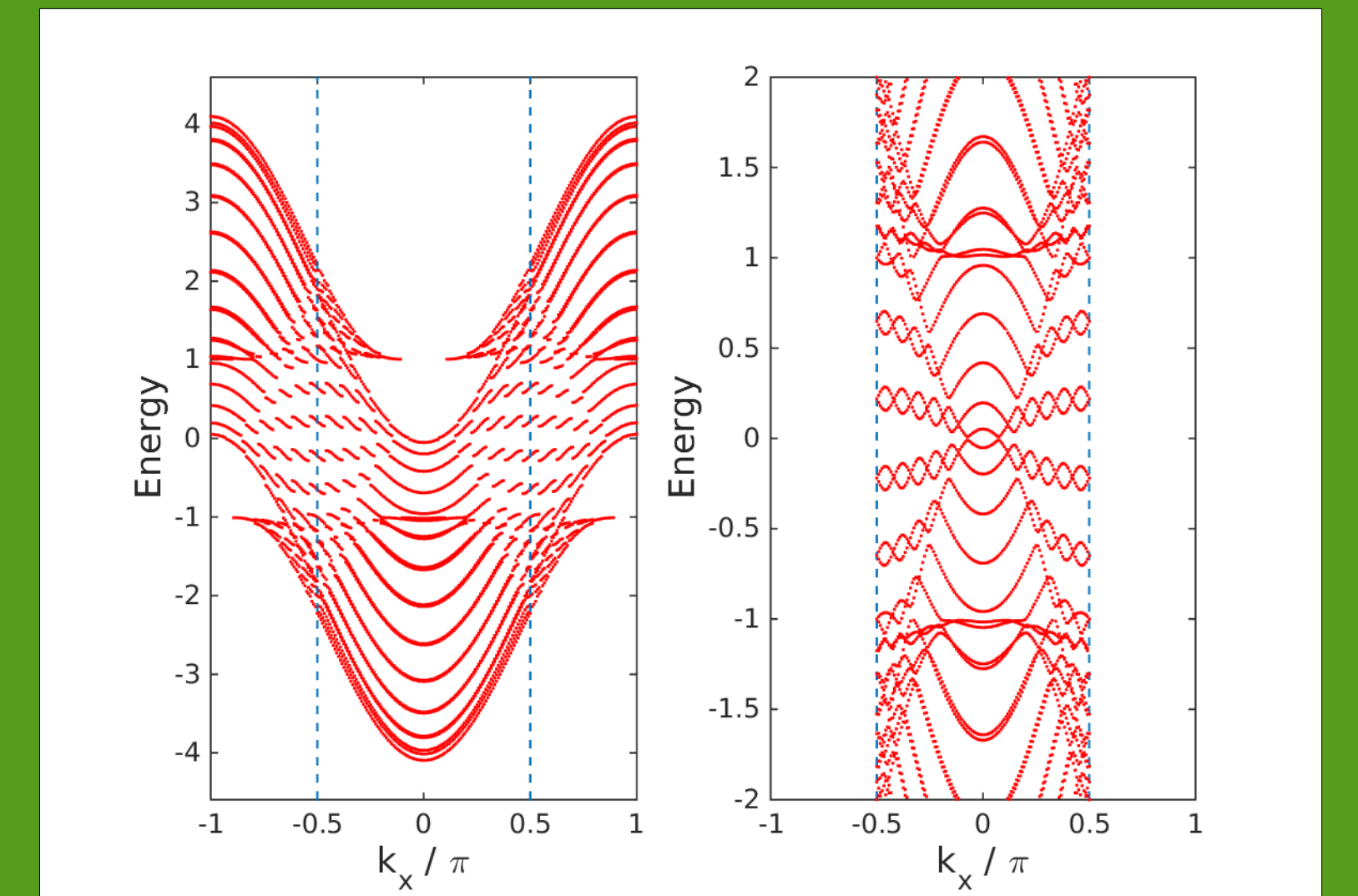
$\xi_i = \pm 1$ arranged in a checkerboard fashion

Band structure of CDW projected along one axis: gapped system

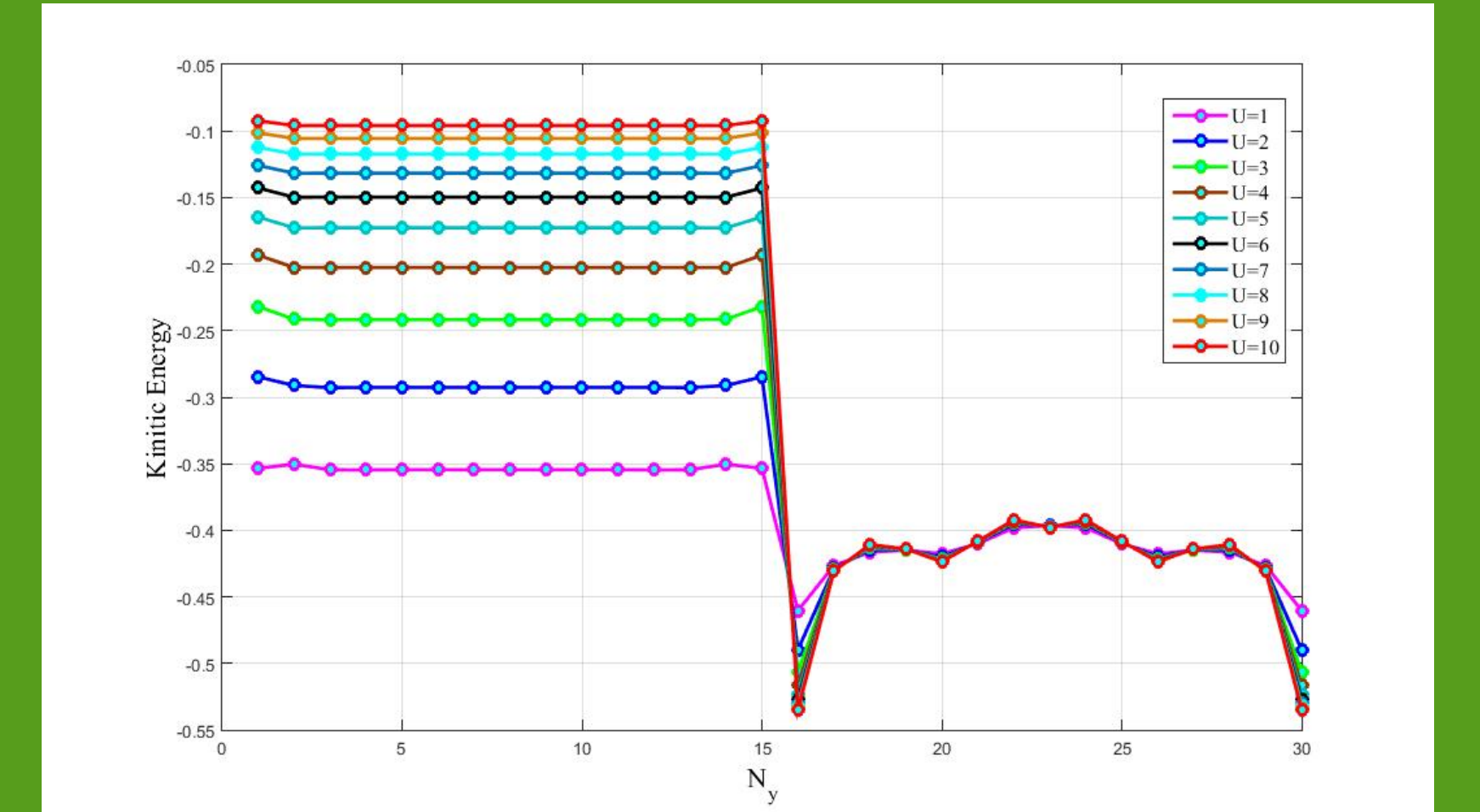


Edge states (cont'd)

Band structure of system with TB/CDW interface



Kinetic energy along bonds parallel to the interface



Current/resolved Drude weight show similar behavior

Transport coefficients and ODLRO

How can one distinguish transport coefficients D , $n^{(s)}$, and $I^{(s)}$?

Definition of p -current based on generator of p -particle translations:

$$J_p(\Phi) = \frac{N}{m} \Phi + \lim_{\Delta X \rightarrow 0} \frac{(N/p)}{m \Delta X} \text{Im} \ln \text{Tr} \left\{ \hat{\rho}_p \exp \left(i \Delta X \sum_{j=1}^p \hat{p}_j \right) \right\}$$

Note: $\Delta X \rightarrow 0$ limit leads to the same expression, however the quantities J_p are distinct: they depend on reduced density matrices (RDM) of different orders

p -particle transport coefficient

$$D_p = \left[\frac{\partial J_p(\Phi)}{\partial \Phi} \right]_{\Phi=0}$$

eigenstates of the p -particle momentum make finite contributions to D_p

RDM and ODLRO:

$$\lim_{|x_1 - x'_1| \rightarrow \infty} \rho_1(x_1; x'_1) = \text{finite}$$

- Yang (RMP, 1962): if ODLRO occurs in the p -particle RDM, then it will also occur in all larger order RDMs
- Examples: $p=1 \rightarrow$ BEC in bosonic superfluids; $p=2 \rightarrow$ BEC in Cooper paired systems;

Transport coefficients D_p behave in a similar manner to ODLRO

If for a particular m D_m is finite, all D_p with $p > m$ are also finite.

Minimum value of p for which D_p is finite determines the flux quantization rule associated with conduction:

$$\Phi_B = \frac{hc}{pe} q$$

Classes of ideal conductors:

- If $p < N$ system exhibits ideal conduction with flux quantization
- If $p \sim N$ system exhibits ideal conduction with no flux quantization
- If all D_p are zero: system is an insulator or Ohmic conductor

Surprising result: D_N for the Hubbard model at half-filling is finite \rightarrow Fröhlich superconductor

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References: