

LECTURE 9, THURSDAY MARCH 11, 2004

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1. ELIMINATION THEORY

We know that a line and a curve of degree n intersect in exactly n points if we work in the projective plane over some algebraically closed field K . Using the fact that conics can be parametrized, it is not hard to show that a conic and a curve of degree n intersect in exactly $2n$ points. This seems to suggest that two curves of order m and n meet in exactly mn points if we define multiplicities carefully. This is easier said than done; given two curves $\mathcal{C}_f : f(x, y) = 0$ and $\mathcal{C}_g : g(x, y) = 0$ it is not even clear how we may compute the points of intersection, because we cannot simply solve g for y and then plug the result into f .

Yet there is a process that allows us to ‘eliminate’ a variable from the system $f(x, y) = g(x, y) = 0$, and it involves the theory of resultants.

1.1. Resultants. Let R be a UFD, and consider two polynomials

$$\begin{aligned} f(X) &= a_0 + a_1X + \dots + a_mX^m, \\ g(X) &= b_0 + b_1X + \dots + b_nX^n \end{aligned}$$

of degrees $m, n \geq 1$. Assume that f and g have a common factor h , say $f = uh$ and $g = vh$ for polynomials

$$\begin{aligned} u(X) &= c_0 + c_1X + \dots + c_{m-1}X^{m-1}, \\ v(X) &= d_0 + d_1X + \dots + d_{n-1}X^{n-1}, \end{aligned}$$

where c_{m-1} and d_{n-1} are allowed to vanish. Then u and v are nonzero polynomials with

$$(1) \quad vf - ug = 0.$$

where $0 < \deg u < m$ and $0 < \deg v < n$.

Conversely, assume that (1) holds for nonzero polynomials with $0 < \deg u < \deg f$ and $0 < \deg v < \deg g$. Then the prime divisors of f must divide ug , but since $\deg u < \deg f$, not all of them can divide u . Thus some prime divisor of f must divide g .

Equation (1) can be turned into a numerical criterion for f and g to have a common factor: multiplying out and comparing coefficients shows that (1) is equivalent to the following linear system of equations in the c_i, d_i (note that $c_i = d_j = 0$ for $i \geq m$ and $j \geq n$):

$$\begin{array}{cccccccccccc} a_0d_0 & & & & & & -b_0c_0 & & & & & = 0 \\ a_1d_0 & + a_0d_1 & & & & & -b_1c_0 & - b_0c_1 & & & & = 0 \\ \dots & \dots & & & & & \dots & \dots & & & & \\ a_md_0 & + \dots & + a_0d_m & & & & -b_mc_0 & - \dots & & & - b_1c_{m-1} & = 0 \\ & a_md_1 & + \dots & + a_0d_{m+1} & & & - b_{m+1}c_0 & - \dots & - b_2c_{m-1} & & = 0 \end{array}$$

Discriminants. The discriminant of a polynomial f with leading coefficient $a \neq 0$ is defined by the equation $(-1)^{n(n-1)/2} a \operatorname{disc} f = R_{f,f'}$.

As an example, the discriminant of $f(X) = aX^2 + bX + c$ is

$$\operatorname{disc} f = -\frac{1}{a} \begin{vmatrix} a & b & c \\ 2a & b & 0 \\ 0 & 2a & b \end{vmatrix} = b^2 - 4ac.$$

Proposition 1.2. *The polynomial $f = a_n x^n + \dots + a_0 \in R[X]$ with $na_n \neq 0$ has a multiple root if and only if $\operatorname{disc} f = 0$.*

Proof. Clearly f has a multiple root if and only if f and f' have a common root; this happens if and only if $R_{f,f'} = 0$. \square

2. APPLICATIONS

Intersection Points. Let us now apply resultants to curves. Consider first the circle $\mathcal{C}_f : x^2 + y^2 - 2 = 0$ and the parabola $\mathcal{C}_g : y - x^2 = 0$. In this case, computing the intersection of the two curves is easy: solve the second for y and plug it into the first equation. We find the quartic $x^4 + x^2 - 2 = (x^2 - 1)(x^2 + 2) = 0$, and get $x = \pm 1, \pm\sqrt{-2}$, corresponding to the four points of intersection $(\pm 1, 1)$ and $(\pm\sqrt{-2}, -2)$.

We can do the same with resultants: Consider both polynomials as polynomials in y over the UFD $R = K[x]$. Then

$$R_{f,g} = \begin{vmatrix} 1 & 0 & x^2 - 2 \\ 1 & -x^2 & 0 \\ 0 & 1 & -x^2 \end{vmatrix} = x^4 + x^2 - 2.$$

We know that f and g have a common component if and only if $R_{f,g} = 0$. If e.g. $x = 1$, then $R_{f,g} = 0$, and indeed we have $f(1, y) = y^2 - 1$ and $g(1, y) = y - 1$.

In general, suppose that (x_0, y_0) is a point on the intersection of $\mathcal{C}_f : f(x, y) = 0$ and $\mathcal{C}_g : g(x, y) = 0$. Then $F(y) = f(x_0, y)$ and $G(y) = g(x_0, y)$ are two polynomials with the common factor $y - y_0$. This allows us to find the points of intersection of two affine curves by computing their resultants.

Implicitation. Resultants can be used for implicitation: this is the technique of finding an implicit equation of a parametrized curve. Consider

$$x = \frac{p(t)}{q(t)}, \quad y = \frac{r(t)}{s(t)}.$$

Is there a polynomial $f(X, Y)$ such that the above is a parametrization of the corresponding affine curve? As a matter of fact, there is: consider the system

$$\begin{aligned} F(t) &= Xq(t) - p(t), \\ G(t) &= Ys(t) - r(t), \end{aligned}$$

and let $R(X, Y) = R_{F,G}$ be the resultant of these polynomials in t . Now (x_0, y_0) is in the image of some t only if $x_0 q(t) - p(t) = y_0 s(t) - r(t) = 0$, that is, if $F(t) = G(t) = 0$ for this value of t . This in turn happens only if $R(x_0, y_0) = 0$: thus $R(x, y) = 0$ is the desired equation.

As an example, consider

$$x = \frac{t^2 - 1}{t^2 + 1}, \quad y = \frac{2t}{t^2 + 1}.$$

All we have to do to find a relation between X and Y is to eliminate t ; `pari` easily computes the resultant of these polynomials:

`f=X*(t^2+1)-(t^2-1):g=Y*(t^2+1)-2*t:polresultant(f,g,t)`
gives the result $R_{f,g} = 4X^2 + 4Y^2 - 4$, hence X and Y satisfy $X^2 + Y^2 = 1$.