ON DEFORMATIONS OF SINGULAR PLANE SEXTICS

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Abstract. We study complex plane projective sextic curves with simple singularities up to equisingular deformations. It is shown that two such curves are deformation equivalent if and only if the corresponding pairs are diffeomorphic. A way to enumerate all deformation classes is outlined, and a few examples are considered, including classical Zariski pairs; in particular, promising candidates for homeomorphic but not diffeomorphic pairs are found.

1. Introduction

1.1. Motivation and principal results. Following the real algebraic geometry tradition, an equisingular deformation of complex plane projective algebraic curves is called a rigid isotopy. Whenever two curves \(C_1, C_2 \subset \mathbb{P}^2\) are rigidly isotopic, the pairs \((\mathbb{P}^2, C_i), i = 1, 2,\) are homeomorphic and, in the case of simple singularities only, also diffeomorphic. In his celebrated paper [40], O. Zariski constructed a pair of irreducible curves \(C_1, C_2\) of degree six that have the same set of singularities (six cusps) but are not rigidly isotopic; in fact, the complements \(\mathbb{P}^2 \setminus C_i, i = 1, 2,\) are not homeomorphic. E. Artal [1] suggested to call such curves Zariski pairs. More precisely, a Zariski pair is a pair of reduced plane curves \(C_1, C_2\) having the same combinatorial type of singularities but non-homeomorphic pairs \((\mathbb{P}^2, C_i);\) see Section 4.1 for details and various ramifications. The first degree where Zariski pairs exist is six, as the rigid isotopy class of a plane curve of degree up to five is determined by its combinatorial data, see [10].

In my thesis (see [8] and [11]), I generalized Zariski’s example and found all pairs of irreducible sextics \(C \subset \mathbb{P}^2\) that have the same singularities and, as in Zariski’s original case, differ by their Alexander polynomial (see Section 4.4 for more details); to avoid confusion with Artal’s definition above, we call such curves classical Zariski pairs. I also conjectured that, up to equisingular deformation, an irreducible sextic is determined by its set of singularities and its Alexander polynomial. (The conjecture was based on the calculation for a few special cases and the fact that the assertion does hold if the curves have at least one non-simple singular point, see [9].) The conjecture was soon disproved by H. Tokunaga [34], who constructed a pair of irreducible sextics \(C_1, C_2\) with the same sets of singularities and Alexander polynomials. Still, Tokunaga’s curves differ by the fundamental group \(\pi_1(\mathbb{P}^2 \setminus C_i).\) In a recent series of papers [2]–[4] Artal et al. constructed a number of new examples of not rigidly isotopic pairs \((C_1, C_2)\) of sextics; for many pairs the fundamental
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groups \( \pi_1(\mathbb{P}^2 \setminus C_i) \) are calculated and shown to coincide. Thus, the question arises whether the curves constitute Zariski pairs, i.e., whether \((\mathbb{P}^2, C_1)\) and \((\mathbb{P}^2, C_2)\) are homeomorphic. We show that they are not diffeomorphic. More precisely, the following theorem holds.

1.1.1. Theorem. Two sextic curves \( C_1, C_2 \subset \mathbb{P}^2 \) with simple singularities only are rigidly isotopic if and only if there exists a diffeomorphism \( f: (\mathbb{P}^2, C_1) \rightarrow (\mathbb{P}^2, C_2) \) that is regular in the sense that each singular point of \( C_1 \) has a neighborhood \( U \) such that the restriction \( f|_U \) is complex analytic.

This theorem is proved in Section 3.5.

1.1.2. Remark. The requirement that \( f \) should be a diffeomorphism is not a mere technical assumption; it is used essentially in the proof as a means of comparing the orientations of the homological types of \( C_1 \) and \( C_2 \) (see Section 3.2). Since pairs of sextics that differ solely by the orientation of their homological types do exist (e.g., Proposition 5.4.4), one may anticipate that they would provide examples of homeomorphic but not diffeomorphic pairs.

As Theorem 1.1.1 settles the relative \( \text{Dif} = \text{Def} \) problem for plane sextics, it simplifies the process of finding Zariski pairs. For example, according to J.-G. Yang [39] there is a five page long list of sextics with maximal total Milnor number \( \mu = 19 \). The rigid isotopy classes of such curves are described by definite lattices, which tend to have very few isometries; hence, there should be a great deal of not rigidly isotopic pairs sharing the same sets of singularities.

The proof of Theorem 1.1.1 is based on an explicit description of the moduli space of sextics, see Theorems 3.4.1 and 3.4.2, which, in turn, is a rather standard application of the global Torelli theorem for \( K3 \)-surfaces and the surjectivity of the period map. As another application, Theorem 3.4.2 reduces the rigid isotopy classification of plane sextics to an arithmetic question about lattices. We outline the principal steps of enumerating abstract homological types, see Section 5.1, and apply the scheme to two polar cases, those of curves with few singularities and curves with many singularities. In the former case, we prove Corollary 5.2.2 and Theorem 5.2.1, which give simple sufficient conditions for a set of singularities/configuration to be realized by a single rigid isotopy class. As a further application, we enumerate all curves constituting classical Zariski pairs without nodes, see Theorem 5.3.2. For Zariski’s original example the theorem states that plane sextics with six cusps form exactly two deformation families. To my knowledge, this fact is new: contrary to the common belief, Zariski himself has only asserted the existence of at least two families.

In the latter case (maximal total Milnor number \( \mu = 19 \)), the problem reduces to enumerating certain positive definite lattices of rank 2 and their isometries. The algorithm can easily be implemented (in fact, I do have it implemented in Maple), and, when combined with Yang’s algorithm [39] for enumerating the configurations, it should produce a complete list of rigid isotopy classes. However, instead of compiling a long computer aided table, I illustrate the approach by studying a few examples (see Propositions 5.4.1–5.4.8) that were first considered in [2]–[4].

Undoubtedly, the most remarkable example is that given by Proposition 5.4.4, where two curves differ by the orientation of their homological types. It is worth mentioning that found in the literature are a great number of various deformation classification problems related to the global Torelli theorem for \( K3 \)-surfaces (in the
real case, see recent papers [33] and [14] and the survey [15] for further references; in
the complex case, see, e.g., V. Nikulin [30], A. Degtyarev et al. [14], Sh. Mukai [28],
Sh. Kondo [22] and [23], and G. Xiao [38]). To my knowledge, the study of singular
plane sextics is the only case so far where the orientation of maximal positive
definite subspaces is involved in an essential way!

1.2. Contents of the paper. In §2, we outline the principal notions and results
of Nikulin’s theory of discriminant forms of even integral lattices. It is largely based
on Nikulin’s original paper [29]. A preliminary calculation involving certain definite
lattices is also made here. In §3, the relation between plane sextics and
K3-surfaces is explained, the moduli space is described, and Theorem 1.1.1 is proved. In
§4, we discuss a few results relating the geometry of a sextic and the arithmetic properties
of its homological type. Finally, §5 deals with the classification of oriented abstract
homological types, which enumerate the rigid isotopy classes of sextics. We outline
the general scheme and apply it to a few particular examples.

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2. Integral lattices

2.1. Finite quadratic forms. A finite quadratic form is a finite abelian group \( L \)
equipped with a nonsingular quadratic form, i.e., a map \( q : L \to \mathbb{Q}/\mathbb{Z} \)
satisfying
\[
q(x + y) = q(x) + q(y) + 2b(x, y)
\]
for all \( x, y \in L \) and some nonsingular symmetric bilinear form \( b : L \otimes L \to \mathbb{Q}/\mathbb{Z} \).
If \( q \) is understood, we write \( x^2 \) and \( x \cdot y \) for \( q(x) \)
and \( b(x, y) \), respectively.

The bilinear form \( b \) is determined by \( q \); it is called the bilinear form associated
with \( q \), and \( q \) is called a quadratic extension of \( b \).

The group of automorphisms of \( L \) preserving \( q \) is denoted by Aut \( L \).

The Brown invariant of a finite quadratic form \( L \) is the residue \( Br(L) \in \mathbb{Z}/8\mathbb{Z} \)
declared via the Gauss sum
\[
\exp\left(\frac{1}{2}i\pi Br(L)\right) = |L|^{\frac{1}{2}} \sum_{x \in L} \exp(i\pi x^2).
\]
The Brown invariant is additive: \( Br(L_1 \oplus L_2) = Br(L_1) + Br(L_2) \).

Clearly, each finite quadratic form \( L \) splits canonically into orthogonal sum of its
primary components: \( L = \bigoplus p L \otimes \mathbb{Z}_p \), summation over all primes \( p \). For a prime \( p \),
let \( L_p = L \otimes \mathbb{Z}_p \) be the \( p \)-primary part of \( L \). Denote by \( \ell(L) \) the minimal number
of generators of \( L \), and let \( \ell_p(L) = \ell(L_p) \). Obviously, \( \ell(L) = \max_p \ell_p(L) \).

For a fraction \( \frac{m}{n} \in \mathbb{Q}/2\mathbb{Z} \) with \( (m, n) = 1 \) and \( mn \equiv 0 \) mod 2, let \( \langle \frac{m}{n} \rangle \) be the
nondegenerate quadratic form on \( \mathbb{Z}/n\mathbb{Z} \) sending the generator to \( \frac{m}{n} \). For an integer
Let $k \geq 1$, let $U_{2^k}$ and $V_{2^k}$ be the quadratic forms on the group $(\mathbb{Z}/2^k\mathbb{Z})^2$ defined by the matrices

$U_{2^k} = \begin{bmatrix} 0 & a_k \\ a_k & 0 \end{bmatrix}, \quad V_{2^k} = \begin{bmatrix} a_k & a_k \\ a_k & a_{k-1} \end{bmatrix},$ where $a_k = \frac{1}{2^k}.$

(When speaking about the matrix of a finite quadratic form, we assume that the diagonal elements are defined modulo $2\mathbb{Z}$ whereas all other elements are defined modulo $\mathbb{Z}$.) According to Nikulin [29], each finite quadratic form is an orthogonal sum of cyclic summands $\langle m \rangle$ and summands of the form $U_{2^k}, V_{2^k}$. The Brown invariants of these elementary blocks are as follows: if $p$ is an odd prime, then

$\mathrm{Br} \left( \frac{2a}{p^{s+1}} \right) = 2 \left( \frac{a}{p} \right) - \left( \frac{-1}{p} \right) - 1, \quad \mathrm{Br} \left( \frac{2a}{p^s} \right) = 0 \quad (\text{for } s \geq 1 \text{ and } (a, p) = 1).$

If $p = 2$, then

$\mathrm{Br} \left( \frac{a}{2^k} \right) = a + \frac{1}{2}k(a^2 - 1) \mod 8 \quad (\text{for } k \geq 1 \text{ and odd } a \in \mathbb{Z}),$

$\mathrm{Br} U_{2^k} = 0, \quad \mathrm{Br} V_{2^k} = 4k \mod 8 \quad (\text{for all } k \geq 1).$

Quite a number of relations, i.e., isomorphisms between various combinations of the aforementioned forms, is also listed in [29]. These observations make the classification of finite quadratic forms rather straightforward, although tedious. Two simple known results used in the sequel are listed below. More details on quadratic forms on 2-primary groups can be found in [13] and [32].

2.1.1. Proposition. Let $p \neq 2$ be an odd prime. Then a quadratic form on a group $L$ of exponent $p$ is determined by its rank $\ell(L) = \ell_p(L)$ and Brown invariant $\mathrm{Br} L$.

A finite quadratic form is called even if $x^2$ is an integer for each element $x \in L$ of order 2; otherwise, it is called odd. Clearly, a form is odd if and only if it contains $\langle \pm \frac{1}{2} \rangle$ as an orthogonal summand.

2.1.2. Proposition (see [37] or [19]). A quadratic form on a group $L$ of exponent 2 is determined by its rank $\ell(L) = \ell_2(L)$, parity (even or odd), and Brown invariant $\mathrm{Br} L$.

2.2. Even integral lattices and discriminant forms. An (integral) lattice is a finitely generated free abelian group $L$ equipped with a symmetric bilinear form $\varphi: L \otimes L \to \mathbb{Z}$. When the form is understood, we will freely use the multiplicative notation $u \cdot v = \varphi(u, v)$ and $u^2 = \varphi(u, u)$. A lattice $L$ is called even if $u^2 = 0 \mod 2$ for each $u \in L$; otherwise, it is called odd.

Since the transition matrix from one integral basis to another one has determinant $\pm 1$, the determinant $\det L = \det \varphi \in \mathbb{Z}$ is well defined. The lattice $L$ is called non-degenerate if $\det L \neq 0$; it is called unimodular if $\det L = \pm 1$. The signature of a non-degenerate lattice $L$ is the pair $(\sigma_+, \sigma_-)$ of its inertia indices. Recall that $\sigma_+ L$ is the dimension of any maximal positive definite subspace of the vector space $L \otimes \mathbb{R}$. Recall, further, that all maximal positive definite subspaces of $L \otimes \mathbb{R}$ can be oriented in a coherent way. For example, the orientations of two such subspaces $\omega_1$, $\omega_2$ are determined by the determinant $\det \varphi \in \mathbb{Z}$.
\( \omega_2 \) can be compared using the orthogonal projection \( \omega_2 \rightarrow \omega_1 \), which is necessarily injective and hence bijective.

Given a lattice \( L \), we denote by \( O(L) \) the group of isometries of \( L \), and by \( O^+(L) \subset O(L) \) its subgroup consisting of the isometries preserving the orientation of maximal positive definite subspaces. Clearly, either \( O^+(L) = O(L) \) or \( O^+(L) \subset O(L) \) is a subgroup of index 2. In the latter case, each element of \( O(L) \setminus O^+(L) \) is called a \(+\)-disorienting isometry. (The awkward terminology is chosen to avoid confusion with isometries reversing the orientation of \( L \) itself.)

If \( L \) is a non-degenerate lattice, the dual group \( L^* = \text{Hom}(L, \mathbb{Z}) \) can be identified with the subgroup \( \{ x \in L \otimes \mathbb{Q} \mid x \cdot y \in \mathbb{Z} \text{ for all } y \in L \} \). The quotient \( L^*/L \) is called the discriminant group of \( L \) and is denoted by \( \mathcal{L} \) or discr \( L \). One has \( |\mathcal{L}| = |\det L| \) and \( \ell(\mathcal{L}) \leq \text{rk} L \). The discriminant group inherits from \( L \otimes \mathbb{Q} \) a non-degenerate symmetric bilinear form \( b: \mathcal{L} \otimes \mathcal{L} \rightarrow \mathbb{Q}/\mathbb{Z} \) and, if \( L \) is even, its quadratic extension \( q: \mathcal{L} \rightarrow \mathbb{Q}/2\mathbb{Z} \). Thus, the discriminant of an even lattice is a finite quadratic form.

Two integral lattices \( L_1, L_2 \) are said to have the same genus if all their localizations \( L_i \otimes \mathbb{R} \) and \( L_i \otimes \mathbb{Q}_p \) are isomorphic (over \( \mathbb{R} \) and \( \mathbb{Q}_p \), respectively). Each genus is known to contain finitely many isomorphism classes. The relation between the genus of a lattice and its discriminant form is given by the following two statements (see also Section 2.3 below).

**2.2.1. Theorem** (see [29]). The genus of an even integral lattice \( L \) is determined by its signature \( (\sigma_+, \sigma_-) \) and discriminant form \( \text{discr } L \).

In what follows, the genus of even integral lattices determined by a signature \((\sigma_+, \sigma_-)\) and a discriminant form \( \mathcal{L} \) is referred to as the genus \((\sigma_+, \sigma_-; \mathcal{L})\).

**2.2.2. Theorem** (van der Blij formula, see [6]). For any nondegenerate even integral lattice \( L \) one has \( \text{Br } \mathcal{L} = \sigma_+ L - \sigma_- L \mod 8 \).

Since the construction of the discriminant form \( \mathcal{L} \) is natural, there is a canonical homomorphism \( O(L) \rightarrow \text{Aut } \mathcal{L} \). Its image is denoted by \( \text{Aut}_L \mathcal{L} \). Of special importance are so called reflections of \( L \) given a vector \( a \in L \), the reflection against the hyperplane orthogonal to \( a \) (for short, reflection defined by \( a \)) is the automorphism

\[
t_a: L \rightarrow L, \quad x \mapsto x - 2\frac{a \cdot x}{a^2} a.
\]

It is easy to see that \( t_a \) is an involution, i.e., \( t_a^2 = \text{id} \). The reflection \( t_a \) is well defined whenever \( a \in (a^2/2)L^* \). In particular, \( t_a \) is well defined if \( a^2 = \pm 1 \) or \( \pm 2 \); in this case the induced automorphism of the discriminant group \( \mathcal{L} \) is the identity and \( t_a \) extends to any lattice containing \( L \).

**2.3. Special lattices and notation.** Given a lattice \( L \) and an integer \( n \), we denote by \( L(n) \) the lattice obtained by multiplying all values by \( n \) (i.e., the quadratic form \( x \mapsto nx^2 \) defined on the same group \( L \)). For finite quadratic forms the multiplication operation is meaningful only for \( n = -1 \), and we abbreviate \( -\mathcal{L} = \mathcal{L}(-1) \).

The notation \( nL, n \geq 1 \), stands for the direct sum of \( n \) copies of \( L \).

The hyperbolic plane is the lattice \( \mathbb{U} \) spanned by two vectors \( u, v \) so that \( u^2 = v^2 = 0, u \cdot v = 1 \). Any pair \((u, v)\) as above is called a standard basis for \( \mathbb{U} \). In fact, it is unique up to transposing \( u \) and \( v \) and multiplying one or both of them by \((-1)\). The hyperbolic plane is an even unimodular lattice of signature \((1,1)\).

A root in a lattice \( L \) is an element \( v \in L \) of square \(-2 \). Given \( L \), we denote by \( \tau L \subset L \) the sublattice generated by all roots of \( L \). A root system is a negative definite
lattice generated by its roots. Every root system admits a unique decomposition into an orthogonal sum of irreducible root systems, the latter being either $A_p$, $p \geq 1$, or $D_q$, $q \geq 4$, or $E_6, E_7, E_8$. The discriminant forms are as follows:

$$\text{discr } A_p = \langle -\frac{p}{p+1} \rangle, \quad \text{discr } D_{2k+1} = \langle -\frac{2k+1}{k+1} \rangle,$$

$$\text{discr } D_{8k+2} = 2\langle \frac{1}{2} \rangle, \quad \text{discr } D_{8k} = U_2, \quad \text{discr } D_{8k+4} = V_2,$$

$$\text{discr } E_6 = \langle \frac{2}{3} \rangle, \quad \text{discr } E_7 = \langle \frac{1}{2} \rangle, \quad \text{discr } E_8 = 0.$$

The orthogonal group of a root system $L$ is a semi-direct product of the group generated by reflections (defined by the roots of $L$), which acts simply transitively on the set of Weyl chambers of $L$, and the group of symmetries of any fixed Weyl chamber (or Dynkin graph) of $L$. As a consequence, the following statement holds:

**2.3.1. Proposition.** For a root system $L$, the subgroup $\text{Aut}_L L$ coincides with the image in $\text{Aut } L$ of the group of symmetries of any fixed Weyl chamber.

If $L$ is an irreducible root systems other than $A_p$ or $D_q$ with $q = 8k + 4 \geq 12$, one has $\text{Aut}_L L = \text{Aut } L$. If $L = A_p$, the image $\text{Aut}_L L$ is the subgroup $\{± id\}$. In the case $L = D_{8k+4}$, $k \geq 1$, the full orthogonal group $\text{Aut } L$ is the group $S_3$ of permutations of the three elements of square 1 mod $2\mathbb{Z}$, whereas the image $\text{Aut}_L L$ is generated by one of the three transpositions.

Further details on irreducible root systems are found in N. Bourbaki [7].

**2.4. Definite lattices of rank 2.** Each positive definite even lattice $N$ of rank 2 has a unique representation by a matrix of the form

$$\begin{bmatrix}
2a & b \\
b & 2c
\end{bmatrix}, \quad 0 < a \leq c, \quad 0 \leq b \leq a.$$

Denote the lattice represented by $(2.4.1)$ by $M(a, b, c)$. Let $(u, v)$ be a basis in which the quadratic form is given by $(2.4.1)$. Then, depending on $a$, $b$, and $c$, the orthogonal group $O(N)$ is one of the groups described below:

- If $0 < b < a < c$: the group $O(N) \cong \mathbb{Z}/2\mathbb{Z}$ is generated by $- \text{id}$;  
- If $0 < a = c$: the group $O(N) \cong \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$ is generated by $- \text{id}$ and the transposition $(u, v) \mapsto (v, u)$;  
- If $b = 0, a < c$: the group $O(N) \cong \mathbb{Z}/2\mathbb{Z}$ is generated by $t_u$ and $t_v$;  
- If $b = 0, a = c$: then $N = 2A_1(-a)$ and $O(N) \cong D_4$ is the group of symmetries of a square; it is generated by $t_u$ and the transposition $(u, v) \mapsto (v, u)$;  
- If $b = a < c$: the group $O(N) \cong \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$ is generated by $- \text{id}$ and $t_u$;  
- If $b = a = c$: then $N = A_2(-a)$ and $O(N) \cong D_6$ is the group of symmetries of a regular hexagon; it is generated by $t_u$ and the transposition $(u, v) \mapsto (v, u)$.

All results above are classical and well known. The inequalities $a \leq c$ and $|b| \leq a$ can be achieved by a sequence of transpositions $(u, v) \mapsto (v, u)$ and transformations $(u, v) \mapsto (u, v ± u)$. Then, assuming that the matrix has the form $(2.4.1)$, for a vector $xu + yv \in N$ one has

$$(xu + yv)^2 = 2ax^2 + 2bxy + 2cy^2 \geq 2a(x^2 + y^2) - 2a|xy| \geq a(x^2 + y^2).$$

Since $x$ and $y$ are integers, it immediately follows that $u$ is a shortest vector and, unless $a = c$, the only shortest vectors are $± u$. If $a = c$, there are two more shortest
vectors \( \pm v \), and if also \( b = a \), there are yet two more, \( \pm (u - v) \). From here, one can easily deduce the uniqueness of representation \( (\ref{eq:2.4.1}) \). The description of the orthogonal group is also straightforward: one observes that \( u \) should be taken to a shortest vector and then, assuming \( u \) fixed, the only nontrivial isometry of the Euclidean plane \( N \otimes \mathbb{R} \) is the reflection against the line spanned by \( u \); it remains to enumerate the few cases when this reflection is defined over \( \mathbb{Z} \).

2.5. Nikulin’s existence and uniqueness results. Let \( p \) be a prime. The notion of lattice and its discriminant form extends to the case of finitely generated free \( \mathbb{Z}_p \)-modules. (In the case \( p = 2 \), to define the quadratic form on the discriminant group one still needs to require that the lattice should be even.) The discriminant of a \( p \)-adic lattice \( L_p \) is a finite \( \mathbb{Z}_p \)-module \( L_p \) (in other words, \( p^k L_p = 0 \) for some \( k \) large enough), and one has \( |L_p| = \det L_p \mod \mathbb{Z}_p^* \). For an integral lattice \( L \) one has \( \text{discr}(L \otimes \mathbb{Z}_p) = (\text{discr} L) \otimes \mathbb{Z}_p = L_p \).

According to Nikulin \([29]\), given a prime \( p \) and a \( \mathbb{Q}/2\mathbb{Z} \)-valued quadratic form on a finite \( \mathbb{Z}_p \)-module \( L \), there is a \( p \)-adic lattice \( L \) such that \( \text{rk} L = \ell_p(L) \) and \( \text{discr} L = L \). Unless \( p = 2 \) and \( L \) is odd, such a lattice \( L \) is determined by \( L \) uniquely up to isomorphism; in particular, the ratio \( \det L/|L| \) is a well defined element of the group \( \mathbb{Z}_p^*/(\mathbb{Z}_p^*)^2 \). We will denote it by \( \det_p L \). In the exceptional case \( p = 2 \), \( L \) odd there are two lattices \( L \) as above, the ratio of their determinants being \( 5 \in \mathbb{Z}_2^*/(\mathbb{Z}_2^*)^2 \).

2.5.1. Theorem (see Theorem 1.10.1 in \([29]\)). Let \( L \) be a finite quadratic form and let \( \sigma_\pm \) be a pair of integers. Then, the following four conditions are necessary and sufficient for the existence of an even integral lattice \( L \) whose signature is \( (\sigma_+, \sigma_-) \) and whose discriminant form is \( L \):

1. \( \sigma_+ \geq 0 \) and \( \sigma_+ + \sigma_- \geq \ell(L) \);
2. \( \sigma_+ - \sigma_- = \text{Br} L \mod 8 \);
3. for each \( p \neq 2 \), either \( \sigma_+ + \sigma_- > \ell_p(L) \) or \( \det_p L_p = (-1)^{\sigma_-} \mod (\mathbb{Z}_p^*)^2 \);
4. either \( \sigma_+ + \sigma_- > \ell_2(L_2) \), or \( L_2 \) is odd, or \( \det_2 L_2 = \pm 1 \mod (\mathbb{Z}_2^*)^2 \).

2.5.2. Theorem (see Theorem 1.13.2 in \([29]\)). Let \( L \) be an indefinite even integral lattice, \( \text{rk} L \geq 3 \). The following two conditions are sufficient for \( L \) to be unique in its genus:

1. for each \( p \neq 2 \), either \( \text{rk} L \geq \ell_p(L) + 2 \) or \( L_p \) contains a subform isomorphic to \((a/p^k) \oplus (b/p^k), k \geq 1 \), as an orthogonal summand;
2. either \( \text{rk} L \geq \ell_2(L) + 2 \) or \( L_2 \) contains a subform isomorphic to \( U_{2^k}, V_{2^k} \), or \((a/2^k) \oplus (b/2^{k+1}), k \geq 1 \), as an orthogonal summand.

2.5.3. Theorem (see Theorem 1.14.2 in \([29]\)). Let \( L \) be an indefinite even integral lattice, \( \text{rk} L \geq 3 \). The following two conditions are sufficient for \( L \) to be unique in its genus and for the canonical homomorphism \( O(L) \to \text{Aut} L \) to be onto:

1. for each \( p \neq 2 \), \( \text{rk} L \geq \ell_p(L) + 2 \);
2. either \( \text{rk} L \geq \ell_2(L) + 2 \) or \( L_2 \) contains a subform isomorphic to \( U_2 \) or \( V_2 \) as an orthogonal summand.

2.6. Extensions. From now on we confine ourselves to even lattices. An extension of an even lattice \( S \) is an even lattice \( L \) containing \( S \). Two extensions \( L_1 \supseteq S \) and \( L_2 \supseteq S \) are called isomorphic (strictly isomorphic) if there is an isomorphism \( L_1 \to L_2 \) preserving \( S \) (respectively, identical on \( S \)). More generally, one can fix
discr sum S and L with (2 each lattice E system strictly larger than S q < 12 extension, i.e. A a subgroup A ⊂ O(S) and speak about A-isomorphisms and A-automorphisms of extension, i.e., isometries whose restriction to S belongs to A.

Any extension L ⊃ S of finite index admits a unique embedding L ⊂ S ⊗ Q. If S is nondegenerate, then L belongs to S* and thus defines a subgroup K = L/S ⊂ S, called the kernel of the extension. Since L itself is an integral lattice, the kernel K is isotropic, i.e., the restriction to K of the discriminant quadratic form is identically zero. Conversely, given an isotropic subgroup K ⊂ S, the subgroup

\[ L = \{ x \in S^* \mid (x \mod S) \in K \} \subset S^* \]

is an extension of S. Thus, the following statement holds:

2.6.1. Proposition (see [29]). Let S be a nondegenerate even lattice, and fix a subgroup A ⊂ O(S). The map \( L \mapsto \mathcal{K} = L/S \subset S \) establishes a one-to-one correspondence between the set of A-isomorphism classes of finite index extensions \( L \supset S \) and the set of A-orbits of isotropic subgroups \( K \subset S \). Under this correspondence, one has discr \( L = \mathcal{K}'/\mathcal{K} \).

An isometry \( f : S \to S \) extends to a finite index extension \( L \supset S \) defined by an isotropic subgroup \( K \subset S \) if and only if the automorphism \( S \to S \) induced by \( f \) preserves \( K \) (as a set).

2.6.2. Remark. Since a finite index extension \( L \supset S \) has the same signature as \( S \), Proposition 2.6.1 implies, in particular, that \( Br(\mathcal{K}'/\mathcal{K}) = Br S \) for any isotropic subgroup \( K \subset S \). This observation facilitates the calculation of the Brown invariant; for example, it can be used to reduce the list of values of Br given in Section 2.1 to a few special cases.

2.6.3. Corollary. Any imprimitive extension of a root system \( S = 3A_2, A_5 \oplus A_2, A_8, E_6 \oplus A_2, 2A_4, A_5 \oplus A_1, A_7, D_4, E_7 \oplus A_1, 4A_1, A_3 \oplus 2A_1 \), or \( D_4 \oplus 2A_1 \) with \( q < 12 \) or \( q \neq 0 \mod 4 \) contains a finite index extension \( R \supset S \), where \( R \) is a root system strictly larger than \( S \).

Proof. The extensions are easily enumerated using Proposition 2.6.1. (In fact, in all cases except \( S = D_4 \oplus 2A_1 \), a nontrivial finite order extension is unique up to isometry.) The statement follows then from a direct calculation, using the fact that each lattice \( E_6, E_7, E_8 \) is unique in its genus and the known embedding \( 2A_1 \subset D_4 \) with \( (2A_1)^1 \rangle \cong D_{q-2} \).

Another extreme case is when \( S \subset L \) is a primitive nondegenerate sublattice and \( L \) is a unimodular lattice. Then \( L \) is a finite index extension of the orthogonal sum \( S \oplus S^\perp \), both \( S \) and \( S^\perp \) being primitive in \( L \). Since discr \( L = 0 \), the kernel \( K \subset S \oplus S^\perp \) is the graph of an anti-isometry \( S \to discr S^\perp \). Conversely, given a lattice \( N \) and an anti-isometry \( \kappa : S \to N \), the graph of \( \kappa \) is an isotropic subgroup \( K \subset S \oplus N \) and the resulting extension \( L \supset S \oplus N \supset S \) is a unimodular primitive extension of \( S \) with \( S^\perp \cong N \).

Let \( N \) and \( \kappa : S \to N \) be as above, and let \( s : S \to S \) and \( t : N \to N \) be a pair of isometries. Then the direct sum \( s \oplus t : S \oplus N \to S \oplus N \) preserves the graph of \( \kappa \) (and, thus, extends to \( L \)) if and only if \( \kappa \circ s = t \circ \kappa \). (We use the same notation \( s \) and \( t \) for the induced homomorphisms on \( S \) and \( N \), respectively.) Summarizing, one obtains the following statement:
2.6.4. Proposition (see [29]). Let $S$ be a nondegenerate even lattice, and let $s_+$, $s_-$ be nonnegative integers. Fix a subgroup $A \subset O(S)$. Then the $A$-isomorphism class of a primitive extension $L \supset S$ of $S$ to a unimodular lattice $L$ of signature $(s_+, s_-)$ is determined by

1. a choice of a lattice $N$ in the genus $(s_+ - \sigma_+ S, s_- - \sigma_- S; -S)$, and
2. a choice of a bi-coset of the canonical left-right action of $A \times \text{Aut}_N N$ on the set of anti-isometries $S \to N$.

If a lattice $N$ and an anti-isometry $\kappa: S \to N$ as above are chosen (and thus an extension $L$ is fixed), an isometry $t: N \to N$ extends to an $A$-automorphism of $L$ if and only if the composition $\kappa^{-1} \circ t \circ \kappa \in \text{Aut} S$ is in the image of $A$.

2.6.5. Remark. Proposition 2.6.4 can be regarded as the algebraic counterpart of the Meyer-Vietoris exact sequence of the gluing of two 4-manifolds via a diffeomorphism of their boundaries. The lattices in question are the intersection index forms on the 2-homology of the manifolds, and the discriminant forms are the linking coefficient forms on the 1-homology of the boundary. The anti-isometry $\kappa$ as above is the homomorphism induced by the identification of the boundaries (which is orientation reversing). For more details, see, e.g., O. Ivanov and N. Netsvetaev [20] and [21].

3. The moduli space

3.1. Plane sextics and $K3$-surfaces. A rigid isotopy of plane projective algebraic curves is an equisingular deformation or, equivalently, an isotopy in the class of algebraic curves. Since, in this paper, we deal with simple singularities only, the choice of a category (topological, smooth, piecewise linear) for this definition is irrelevant. Indeed, recall that one of the fifteen definitions of simple singularities, see [18], is that they are 0-modal, i.e., their differential type is determined by their topological type.

Let $C \subset \mathbb{P}^2$ be a reduced sextic with simple singular points. Consider the following diagram:

$$
\begin{array}{ccc}
X & \xleftarrow{\rho} & \bar{X} \\
\downarrow \pi & & \downarrow \pi \\
\mathbb{P}^2 & \xleftarrow{\pi} & \bar{Y},
\end{array}
$$

where $X$ is the double covering of $\mathbb{P}^2$ branched at $C$, $\bar{X}$ is the minimal resolution of singularities of $X$, and $\bar{Y}$ is the minimal embedded resolution of singularities of $C$ such that all odd order components of the divisorial pull-back $\pi^* C$ of $C$ are nonsingular and disjoint. It is well known that $X$ is a singular $K3$-surface and that $\bar{X}$ is a double covering of $\bar{Y}$ ramified at the union of the odd order components of $\pi^* C$.

Let $L_X = H_2(\bar{X})$; it is a lattice isomorphic to $2\mathbb{E}_8 \oplus 3\mathbb{U}$. (In what follows we identify the homology and cohomology of $\bar{X}$ via the Poincaré duality isomorphism.) Introduce the following vectors and sublattices:

- $\sigma_X \subset L_X$, the set of the classes of the exceptional divisors appearing in the blow-up $\bar{X} \to X$;
- $\Sigma_X \subset L_X$, the sublattice generated by $\sigma_X$;
- $h_X \in L_X$, the pull-back of the hyperplane section class $[\mathbb{P}^1] \in H_2(\mathbb{P}^2)$;
\[ S_X = \Sigma_X \oplus \langle h_X \rangle \subset L_X; \]
\[ \Sigma_X \subset \tilde{S}_X \subset L_X, \] the primitive hull of \( \Sigma_X \) and \( S_X \), respectively;
\[ \omega_X \subset L_X \oplus \mathbb{R}, \] the oriented 2-subspace spanned by the real and imaginary parts of the class of a holomorphic 2-form on \( \bar{X} \) (the ‘period’ of \( X \)).

Clearly, the isomorphism class of the collection \((L_X, h_X, \sigma_X)\) is both a deformation invariant of curve \( C \) and a topological invariant of pair \((\bar{Y}, \pi^*C)\); it is called the homological type of \( C \). By an isomorphism between two collections \((L', h', \sigma')\) and \((L'', h'', \sigma'')\) we mean an isometry \( L' \to L'' \) taking \( h' \) and \( \sigma' \) onto \( h'' \) and \( \sigma'' \), respectively.

Recall that \( \omega_X \) is a positive definite subspace and that the Picard group \( \text{Pic} \bar{X} \) can be identified with the lattice \( \omega_X \) of \( X \). By an isomorphism \( \bar{X} \to X \) of \( \Sigma \) such that \( \sigma \mid L_X = \text{discr} \Sigma \), see Proposition 2.3.1. In particular, \( \text{Aut}_h \) \( S \subset \text{Aut} \bar{S} \) coincides with the subgroup \( \text{Aut}_\Sigma \text{discr} \Sigma \), see Proposition 2.3.1. In particular, \( \text{Aut}_h \) is independent of the choice of \( \sigma \).

\[ \omega_X = L_X \oplus \mathbb{R}, \] or, equivalently, \( \omega_X \) is spanned by the real part \( \text{Re} \omega_X \) and imaginary part \( \text{Im} \omega_X \). Conversely, \( \omega_X \) can be recovered as \( x + iy \), where \( x, y \) is any positively oriented orthonormal basis for \( \omega_X \).

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3.2. Homological types. The set of simple singularities of a plane sextic \( C \subset \mathbb{P}^2 \) can be viewed as a root system \( \Sigma \) with a distinguished ‘standard’ basis \( \sigma \), or, equivalently, a distinguished Weyl chamber

\[ W = \{ x \in \Sigma \oplus \mathbb{R} \mid x \cdot r > 0 \text{ for each } r \in \sigma \}. \]

Similar to Section 3.1, let \( S = \Sigma \oplus \langle h \rangle, h^2 = 2 \). One has \( S = \text{discr} \Sigma \oplus \langle \frac{1}{2} \rangle \). An isometry of \( S \) is called admissible if it preserves both \( h \) and \( \sigma \) (as a set). The group \( O_h(S) \subset O(S) \) of admissible isometries is the group of symmetries of the distinguished Weyl chamber \( W \). Hence, its image \( \text{Aut}_h S \subset \text{Aut} \bar{S} \) coincides with the subgroup \( \text{Aut}_\Sigma \text{discr} \Sigma \), see Proposition 2.3.1. In particular, \( \text{Aut}_h S \) is independent of the choice of \( \sigma \).

3.2.1. Definition. Let \( \Sigma \) and \( h \) be as above. A configuration is a finite index extension \( \bar{S} \supset S = \Sigma \oplus \langle h \rangle \) satisfying the following conditions:

(1) \( r\Sigma = \Sigma, \) where \( \Sigma = h_\Sigma \) is the primitive hull of \( \Sigma \) in \( \bar{S} \) and \( r\Sigma \subset \bar{S} \) is the sublattice generated by the roots of \( \Sigma \), see 2.3.

(2) there is no root \( r \in \Sigma \) such that \( \frac{1}{2}(r + h) \in \bar{S} \).
An isometry of a configuration $\tilde{S}$ is called admissible if it preserves $S$ and induces an admissible isometry of $S$.

The group of admissible isometries of $\tilde{S}$ and its image in $\text{Aut}\tilde{S}$ are denoted by $O_h(\tilde{S})$ and $\text{Aut}_h\tilde{S}$, respectively. Since $\Sigma = \tau\tilde{\Sigma}$ is a characteristic sublattice of $\tilde{\Sigma} = h_{\tilde{\Sigma}}$, any isometry of $\tilde{S}$ preserving $h$ preserves $\Sigma$. Hence, one has $\text{Aut}_h\tilde{S} = \{ s \in \tilde{\text{Aut}}_h\tilde{S} \mid s(K) \subset K \}$, where $K$ is the kernel of the extension $\tilde{S} \supset S$.

### 3.3.2. Lemma.
Let $\omega$ reflections defined by the roots of the lattice $V^+$, following conditions are satisfied:

1. $3.3.1(1)$ $\subset 2 \text{ positive definite}$

### 3.3.1. Proposition.
Surfaces, is essentially contained in $T$. Urabe [35].

### 3.3. Marked sextics.
Let $\Sigma \subset \mathbb{R}$, an extension $L \subset S \subset$ is an abstract homological type

### 3.2. Definition.
An abstract homological type (extending a fixed set of simple singularities $(\Sigma, \sigma)$) is an extension of the orthogonal sum $S = \Sigma \oplus \langle h \rangle$, $h^2 = 2$, to a lattice $L$ isomorphic to $2E_6 \oplus 3U$ so that the primitive hull $H$ of $S$ in $L$ is a configuration. An isomorphism between two abstract homological types $L_1 \subset S_1 \subset \sigma_i \cup \langle h_i \rangle$, $i = 1, 2$, is an $O_h(S)$-isomorphism of extensions, see Section 2.6. In other words, it is an isometry $L_1 \rightarrow L_2$ taking $h_1$ to $h_2$ and $\sigma_1$ to $\sigma_2$ (as a set).

An abstract homological type is uniquely determined by the collection $(L, h, \sigma)$; then $\Sigma$ is the sublattice spanned by $\sigma$, and $S = \Sigma \oplus \langle h \rangle$. The lattices $\Sigma$ and $\tilde{S}$ are defined as the respective primitive hulls.

### 3.2. Definition.
An orientation of an abstract homological type $H = (L, h, \sigma)$ is a choice of one of the two orientations of positive definite 2-subspaces of the space $\tilde{S}^+ \otimes \mathbb{R}$. (Recall that $\sigma_+, \tilde{S}^+ = 2$ and $\sigma_2 = 2$ and, hence, all positive definite 2-subspaces of $\tilde{S}^+ \otimes \mathbb{R}$ can be oriented in a coherent way.) The type $H$ is called symmetric if $(H, \theta)$ is isomorphic to $(H, -\theta)$ (for some orientation $\theta$ of $H$). In other words, $H$ is an automorphism whose restriction to $\tilde{S}^+$ is $+$-disorienting.

### 3.3. Marked sextics.
Let $(\Sigma, \sigma)$ and $S = \Sigma \oplus \langle h \rangle$ be as in Section 3.2. and fix an extension $L \subset S$ with $L \cong 2E_6 \oplus 3U$. A marking (more precisely, $(L, h, \sigma)$-marking) of a singular plane sextic $C \subset \mathbb{P}^2$ is an isometry $\varphi: L_X \rightarrow L$ taking $h_X$ and $\sigma_X$ onto $h$ and $\sigma$, respectively (see Section 3.1 for the notation). A marked sextic is a sextic supplied with a distinguished marking.

The following statement, based on the surjectivity of the period map for K3-surfaces, is essentially contained in T. Urabe [35].

### 3.3.1. Proposition.
Let $(L, h, \sigma)$ be a collection as above, and let $\omega$ be an oriented positive definite 2-subspace in $\tilde{S}^+ \otimes \mathbb{R}$. Then there exists a singular plane sextic $C \subset \mathbb{P}^2$ and an $(L, h, \sigma)$-marking $\varphi: L_X \rightarrow L$ taking $\omega_X$ to $\omega$ if and only if the following conditions are satisfied:

1. $(L, h, \sigma)$ is an abstract homological type;
2. every root $r \in L$ orthogonal to both $h$ and $\omega$ belongs to $\Sigma$.

We preceed the proof with a lemma. Denote by $\Gamma$ the group generated by the reflections defined by the roots of the lattice $\omega^\perp \cap L$.

### 3.3.2. Lemma.
Let $(L, h, \sigma)$ and $\omega$ be as in Proposition 3.3.1, and assume that conditions 3.3.1[0], 2 are satisfied. Then there is a unique open convex cone $V^+ = V^+(\omega) \subset \omega^\perp$ such that:

- the projectivization $\mathbb{P}(V^+_\perp)$ is one of the fundamental polyhedra of the action of $\Gamma$ on the hyperbolic space $\mathbb{H}(\{ x \in \omega^\perp \mid x^2 > 0 \})$;
- the closure $\overline{V^+}$ contains $h$;
- the intersection $V^+ \cap (\Sigma \otimes \mathbb{R})$ is the Weyl chamber $W$ defined by $\sigma$. 


Proof. Condition 3.3.1(2) implies that \( W \) extends to a Weyl chamber \( W' \) in the negative definite space \( h^+ : \) it is characterized by the requirement that \( W' \cdot r > 0 \) for each \( r \in \sigma \). Then, Vinberg’s algorithm [35] applied to \( h \) extends \( \mathcal{P}(W') \) to a unique fundamental polyhedron \( P \) of \( \Gamma \) whose closure \( \mathcal{P} \) contains the class \( h/\mathbb{R}^* \). The connected component of the cone \( \{ x \in \omega^+ | x/\mathbb{R}^* \in P \} \) containing \( W \) is the desired cone \( V^+ \). \( \square \)

Proof of Proposition 3.3.1. In the presence of (2), condition (1) is equivalent to the requirement that

(3) there is no element \( u \in \omega^+ \cap L \) with \( u^2 = 0 \) and \( u \cdot h = 1 \).

In this form, it is obvious that the conditions are necessary: (3) is necessary for the linear system \( h \) to define a degree 2 map \( \bar{X} \to \mathbb{P}^2 \), see [35], and (2) means that the curves contracted by this map are exactly those defined by the elements of \( \sigma \), i.e., the sextic does have the prescribed set of singularities.

Prove the sufficiency. Due to the surjectivity of the period map, there is a K3-surface \( \bar{X} \) and an isomorphism \( \varphi : H_2(\bar{X}) \to L \) taking \( \omega_X \) to \( \omega \). The image \( \varphi(V_X^+) \) of the the Kähler cone \( V_X^+ \) of \( \bar{X} \) is a fundamental domain of the action of \( \Gamma \) on one of the two halves of the positive cone \( \{ x \in \omega^+ | x^2 > 0 \} \). Hence, composing \( \varphi \) with an element of \( \Gamma \) and, if necessary, multiplication by \(-1\), one can assume that \( \varphi \) takes \( V_X^+ \) to the cone \( V^+(\omega) \) given by Lemma 3.3.2. Then the pull-back \( \pi_X = \varphi^{-1}(h) \) belongs to the closure \( V_X^+ \); hence, it is numerically effective and, due to condition (3), it defines a degree 2 map \( \pi : \bar{X} \to \mathbb{P}^2 \), see [35]. The elements of the pull-back \( \pi_X = \varphi^{-1}(\sigma) \) define (some of) the walls of the Kähler cone and, hence, are realized by irreducible \((−2)\)-curves in \( \bar{X} \); due to condition (2), they are all the \((−2)\)-curves contracted by \( p \). Thus, \( \varphi \) is the desired marking. \( \square \)

3.4. Moduli. In view of Proposition 3.3.1, when speaking about \((L, h, \sigma)\)-marked sextics, one can assume that \( \mathcal{H} = (L, h, \sigma) \) is an abstract homological type. Since the period \( \omega_X \) changes continuously within a family, the orientation of the image \( \varphi(\omega_X) \) is an additional discrete invariant of deformations in the class of marked plane sextics.

3.4.1. Theorem. For each abstract homological type \( \mathcal{H} = (L, h, \sigma) \) there are exactly two rigid isotopy classes of \( \mathcal{H} \)-marked plane sextics. They differ by the orientation of the positive definite 2-subspace \( \varphi(\omega_X) \subset S^+ \otimes \mathbb{R} \).

Proof. The existence of at least two rigid isotopy classes that differ by the orientation of \( \varphi(\omega_X) \) is given by Proposition 3.3.1. Thus, it suffices to show that any two \( \mathcal{H} \)-marked K3-surfaces \((\bar{X}_0, \varphi_0), (\bar{X}_1, \varphi_1)\) satisfying 3.3.1(2) and such that the images \( \varphi_t(\omega_X) \), \( t = 0, 1 \), have coherent orientations can be connected by a family \((\bar{X}_t, \varphi_t), t \in [0, 1] \) of \( \mathcal{H} \)-marked K3-surfaces still satisfying 3.3.1(2). Then the linear systems \( h_t = \varphi_t^{-1}(h) \) would define a family of degree 2 maps \( X_t \to \mathbb{P}^2 \) and, since 3.3.1(2) holds for each \( t \), the resulting family \( C_t \subset \mathbb{P}^2 \) of the branch curves would be equisingular.

Consider the space \( \tilde{\Omega} \) of pairs \((\omega, \rho)\), where \( \omega \subset L \otimes \mathbb{R} \) is an oriented positive definite 2-subspace and \( \rho \in L \otimes \mathbb{R} \) is a positive vector \((\rho^2 > 0)\) orthogonal to \( \omega \). Let

\[
\tilde{\Omega}_0 = \tilde{\Omega} \setminus \bigcup_{r \in L, r^2 = -2} \{ (\omega, \rho) \in \tilde{\Omega} | \omega \cdot r = \rho \cdot r = 0 \}.
\]
According to A. Beauville [3], \( \tilde{\Omega} \) is a fine period space of marked quasi-polarized K3-surfaces, a quasi-polarization being a class of a Kähler metric.

Let \( \Omega(\mathcal{H}) \cong O(2,d)/SO(2) \times O(d) \) be the space of oriented positive definite 2-subspaces \( \omega \subset S^2 \otimes \mathbb{R} \) (here \( d = 19 - \text{rk} \mathcal{S} \)), and let \( \Omega_0(\mathcal{H}) \subset \Omega(\mathcal{H}) \) be the set of subspaces \( \omega \) satisfying (3.3.1(2)). Since \( \mathcal{H} \) is an abstract homological type, \( \Omega_0(\mathcal{H}) \) is obtained from \( \Omega(\mathcal{H}) \) by removing a countable number of codimension 2 subspaces \( H_r = \{ \omega \mid \omega \cdot r = 0 \}, r \in h^+ \setminus \Sigma, r^2 = -2 \). Condition 3.2.1(1) implies that none of \( H_r \) coincides with \( \Omega(\mathcal{H}) \) and, hence, \( \Omega_0(\mathcal{H}) \) is nonempty. Since \( \Omega(\mathcal{H}) \) has two connected components, so does \( \Omega_0(\mathcal{H}) \). The components differ by the orientation of the subspaces.

Now, let \( \Omega_0(\mathcal{H}) \subset \tilde{\Omega}_0 \) be the subspace \( \{ (\omega, \rho) \mid \omega \in \Omega_0(\mathcal{H}), \rho \in V^+(\omega) \} \), where \( V^+(\omega) \) is the cone given by Lemma 3.3.2. In view of Proposition 3.3.1 and Lemma 3.3.2, Beauville’s result cited above implies that \( \Omega_0(\mathcal{H}) \) is a fine period space of \( \mathcal{H} \)-marked quasi-polarized plane sextics. On the other hand, the natural projection \( \Omega_0(\mathcal{H}) \rightarrow \Omega_0(\mathcal{H}), (\omega, \rho) \mapsto \omega \), has contractible fibers (the cones \( V^+(\omega) \)) and, outside a countable union of codimension 2 subsets \( H_r, r \in h^+ \setminus \Sigma, r^2 = -2 \), it is a locally trivial fibration. Hence, the period space \( \Omega_0(\mathcal{H}) \) has two connected components, and the statement follows. \( \square \)

3.4.2. Theorem. The map sending a plane sextic \( C \subset \mathbb{P}^2 \) to the pair consisting of its homological type \( (L_X, h_X, \sigma_X) \) and the orientation of the space \( \omega_X \) establishes a one-to-one correspondence between the set of rigid isotopy classes of sextics with a given set of singularities \( (\Sigma, \sigma) \) and the set of isomorphism classes of oriented abstract homological types extending \( (\Sigma, \sigma) \).

Proof. The statement is an immediate consequence of Theorem 3.4.1 and the obvious fact that any two \( \mathcal{H} \)-markings of a given sextic differ by an isometry of the abstract homological type \( \mathcal{H} \). \( \square \)

3.4.3. Remark. Marked sextics satisfying conditions 3.3.1(1) and (2) can be regarded as ample marked \( \mathcal{S} \)-polarized K3-surfaces in the sense of Nikulin [30]. (The ampleness of the polarization follows from condition 3.3.1(2).) Their period space is constructed in Dolgachev [16], based directly on the global Torelli theorem and the surjectivity of the period map. It is shown that the period space has two connected components interchanged by the complex conjugation.

3.5. Proof of Theorem 1.1.1. The ‘only if’ part of the statement is obvious. We will prove the ‘if’ part under the assumption that \( C_1 \) has at least one singular point. (Otherwise the two sextics are nonsingular and, hence, rigidly isotopic.)

The regularity condition implies that \( f \) preserves the complex orientations of both \( \mathbb{P}^2 \) and \( C_1 \); in particular, the induced map \( f_*: H_2(\mathbb{P}^2) \rightarrow H_2(\mathbb{P}^2) \) takes \( [\mathbb{P}^1] \) to \( [\mathbb{P}^1] \). Furthermore, \( f \) lifts to a diffeomorphism \( Y_1 \rightarrow Y_2 \) and, hence, to a diffeomorphism \( f: X_1 \rightarrow X_2 \) of the corresponding K3-surfaces (see Section 3.1 for the notation). The induced homomorphism \( f_*: L_{X_1} \rightarrow L_{X_2} \) takes \( h_{X_1} \) and \( \sigma_{X_1} \) to \( h_{X_2} \) and \( \sigma_{X_2} \), respectively. Hence, for each marking \( \varphi: L_{X_2} \rightarrow L \) of \( C_2 \) the composition \( \varphi \circ f_* \) is a marking of \( C_1 \). The crucial observation is the fact that, according to S. K. Donaldson [17], the map \( f_* \) induced by a diffeomorphism of K3-surfaces preserves the orientation of the (positive definite) 3-subspace spanned by the period \( \omega_{X_1} \) and a Kähler class \( \rho_{X_1} \). Since Kähler classes \( \rho_{X_1} \) and \( \rho_{X_2} \) can be chosen arbitrarily close to \( h_{X_1} \) and \( h_{X_2} \), respectively (recall that \( h_{X_1} \) and \( h_{X_2} \) belong to the closures of the respective Kähler cones), the latter assertion means...
that the orientations of $\varphi(\omega_{X_1})$ and $\varphi \circ f_*(\omega_{X_2})$ agree, and Theorem 3.4.1 implies that $C_1$ and $C_2$ are rigidly isotopic. □

4. Geometry of plain sextics

In this section, we discuss the relation between the geometry of a plane sextic and its homological type. We start with introducing several versions of the notion of Zariski pair (Section 4.1) and outlining Yang’s algorithm recovering the combinatorial data of a curve from its configuration (Section 4.2). Sections 4.3 and 4.4 give a simple characterization of, respectively, reducible and abundant sextics.

4.1. Zariski pairs. Two reduced curves $C_1, C_2 \subset \mathbb{P}^2$ are said to have the same combinatorial data if there exist irreducible decompositions $C_i = C_{i,1} + \ldots + C_{i,k_i}$, $i = 1, 2$, such that:

1. $k_1 = k_2$ and $\deg C_{1,j} = \deg C_{2,j}$ for all $j = 1, \ldots, k_1$;
2. there is a one-to-one correspondence between the singular points of $C_1$ and those of $C_2$ preserving the topological types of the points;
3. two singular points $P_i \in C_j$, $i = 1, 2$, corresponding to each other are related by a local homeomorphism such that if a branch at $P_1$ belongs to a component $B_{1,j}$ then its image belongs to $B_{2,j}$.

For an irreducible curve $C$, its combinatorial data are determined by the degree $\deg C$ and the set of topological types of the singularities of $C$.

One of the principal questions in topology of plane curves is the extent to which the combinatorial data of a curve determine its global behavior. In order to formalize this question, Artal [1] suggested the notion of Zariski pair.

4.1.1. Definition. Two reduced curves $C_1, C_2 \subset \mathbb{P}^2$ are said to form a Zariski pair if

1. $C_1$ and $C_2$ have the same combinatorial data, and
2. the pairs $(P_1, C_1)$ and $(P_2, C_2)$ are not homeomorphic.

4.1.2. Remark. Cited above is the more suitable definition used in the subsequent papers. The original definition suggested in [1] requires, instead of 4.1.1(2), that the pairs $(T_1, C_1)$ and $(T_2, C_2)$ should be diffeomorphic, where $T_i$ is a regular neighborhood of $C_i$, $i = 1, 2$. If the singularities involved are simple, the two definitions are equivalent as, on the one hand, simple singularities are 0-modal and, on the other hand, simple curve singularities are distinguished by their links (which is a straightforward consequence of their classification).

Condition (2) in Definition 4.1.1 varies from paper to paper: one can replace it with the negation of any reasonable ‘global’ equivalence relation. For example, instead of (2) it is sometimes required that the complements $\mathbb{P}^2 \setminus C_1$ and $\mathbb{P}^2 \setminus C_2$ should not be homeomorphic. Relevant for the present paper are the following notions:

- regular Zariski pair, with 4.1.1(2) replaced by ‘the pairs $(\mathbb{P}^1, C_1)$ and $(\mathbb{P}^2, C_2)$ are not regularly diffeomorphic in the sense of Theorem 1.1.3’;
- classical Zariski pair, with 4.1.1(2) replaced by ‘the Alexander polynomials $\Delta_{C_1}(t)$ and $\Delta_{C_2}(t)$ differ,’ see Section 4.4 for details.

Theorems 1.1.1 and 3.4.2 state that, in order to construct examples of regular Zariski pairs, it suffices to find curves with the same combinatorial data but not
isomorphic oriented homological types. The notion of classical Zariski pair is of a historical interest, as it was the Alexander polynomial that was used to distinguish the curves in the first examples. In Section 5.3 below we enumerate the deformation families of unnodal curves whose Alexander polynomial is not determined by the combinatorial data.

4.2. Configurations and combinatorial data. Let \( C_1, C_2 \subset \mathbb{P}^2 \) be a pair of reduced plane sextics with simple singularities. Consider the corresponding oriented homological types \((H_i, \theta_i) = (L_i, h_i, \sigma_i, \theta_i), i = 1, 2,\) and related lattices \( \Sigma_i, \tilde{S}_i, \) etc., see Section 3.1. (To simplify the notation we use index \( i \) instead of \( X_i \).) Recall that the finite index extension \( \tilde{S} \supset \Sigma \oplus \langle h \rangle \) is called a configuration, see Definition 3.2.1.

\[
\begin{align*}
\Sigma_1 \cong \Sigma_2 & \iff C_1 \text{ and } C_2 \text{ have the same set of singularities} \\
(\tilde{S}_1, h_1, \sigma_1) \cong (\tilde{S}_2, h_2, \sigma_2) & \implies C_1 \text{ and } C_2 \text{ have the same combinatorial data; } \\
\mathcal{H}_1 \cong \mathcal{H}_2 & \iff \Delta_{C_1}(t) = \Delta_{C_2}(t) \\
(\mathcal{H}_1, \theta_1) \cong (\mathcal{H}_2, \theta_2) & \iff C_1 \text{ is rigidly isotopic to either } C_2 \text{ or its conjugate } \bar{C}_2
\end{align*}
\]

Diagram 1

Diagram 1 represents various relations between the geometric properties of a curve and arithmetic properties of its homological type. The equivalence in the first line of the diagram is obvious; the equivalences in the last two lines are the statement of Theorem 3.4.2 and the fact that the two components of the period space are interchanged by the complex conjugation.

Informally, the implication in the second line of the diagram states that the configuration \( \tilde{S}_X \) encodes the existence of various auxiliary curves passing in a prescribed way through the singular points of each curve deformation equivalent to \( C \). In short, this assertion follows from the fact that \( \tilde{S}_X \) is the Picard group of a generic curve of the deformation family. The precise algorithm recovering the combinatorial data of a sextic \( C \) from its configuration \( \tilde{S}_X \) is outlined at the end of this section. The relation between the configuration and the Alexander polynomial in the case of irreducible curves is discussed in Section 4.4.

Note that the implication in the second line of Diagram 1 is not invertible. There are pairs of curves with the same combinatorial data and/or Alexander polynomial but not isomorphic configurations, see, e.g., Theorem 5.3.2 and Proposition 5.4.6.

Yang’s algorithm. Assume that a sextic \( C \) splits into irreducible components \( C_1, \ldots, C_k \). Consider the fundamental classes \([C_i], [C_i] \in H^2(X)\) in the homology of
One has \( \kappa \) the homomorphism of simple singularities only. Then \( C \) of \( C \) is an element of order 2. It is nontrivial since extension \( \bar{\kappa} \) can be identified with \( C \) back of \( C \).

**Proof.** If \( \alpha \) is a simple singular point \( P \) one can assign an element \( \bar{\alpha}(b) \) of the group \((\Sigma_P)^*\) dual to the lattice \( \Sigma_P \) spanned by the exceptional divisors at \( P \). Then, for a component \( C_i \) of \( C \), one has

\[
(4.2.1) \quad c_i = \frac{1}{2}(\deg C_i) h_X + \sum_{b \in C_i} \bar{\alpha}(b).
\]

Explicit expressions for the elements \( \bar{\alpha}(b) \) are found in [39]. Next lemma is an immediate consequence of these formulas.

**4.2.2. Lemma.** If a simple singular point \( P \) has more than one branch \( b_1, \ldots, b_k \), then each residue \( \bar{\alpha}(b_i) \) mod \( \Sigma_P \in \text{discr} \Sigma_P \) is an element of order 2; these residues are subject to the only relation \( \sum_{i=1}^k \alpha(b_i) = 0 \mod \Sigma_P \).

Now, one can ignore the geometric setting and consider a virtual decomposition, i.e., a decomposition \( c = \sum_{i} c_i \) determined by a hypothetical set of combinatorial data of \( C \). Certainly, a priori one can only assert that \( c_i \in S_X \). The set of all virtual decompositions of \( c \) is partially ordered by degeneration, so that \( c = c \) is the minimal element. The following statement is based on the Riemann-Roch theorem for \( K3 \)-surfaces.

**4.2.3. Theorem** (see Yang [39]). The actual combinatorial data of an irreducible plane sextic \( C \) with simple singularities is the one corresponding to the only maximal element in the set of the virtual decompositions \( c = \sum_{i} c_i \) with all \( c_i \in \bar{S}_X \).

**4.3. Reducible curves.** In this section, \( C \) is a reduced plane curve of arbitrary degree \( d = 4m + 2 \). We still assume that all singularities of \( C \) are simple. As in the case of sextics, consider the double covering \( X \) branched over \( C \) and its minimal resolution \( \tilde{X} \). Certainly, \( \tilde{X} \) is not a \( K3 \)-surface; however, since \( \tilde{X} \) is diffeomorphic to the double covering branched over a nonsingular curve, one still has \( \pi_1(\tilde{X}) = 0 \) and \( L_X = H_2(\tilde{X}) \) is an even lattice.

All lattices \( \Sigma_X \subset S_X = \Sigma_X \oplus \langle h_X \rangle \subset \bar{S}_X \subset L_X \) introduced in Section 3.1 for sextics still make sense in the general case.

**4.3.1. Theorem.** Let \( C \) be a reduced plane curve of degree \( 4m + 2 \) and with simple singularities only. Then \( C \) is reducible if and only if the kernel \( K \) of the extension \( \bar{S}_X \supset S_X \) has elements of order 2.

**Proof.** If \( C_i \) is a proper component of \( C \), the residue \( c_i \) mod \( \bar{S}_X \in K \) given by (4.2.1) is an element of order 2. It is nontrivial since \( C_i \) must pass through a singular point of \( C \) that is not entirely contained in \( C_i \). (Clearly, the calculation of \( c_i \), including Lemma 4.2.2, still applies to the general case of curves of degree \( 4m + 2 \).)

Now, assume that \( C \) is irreducible. Denote by \( \bar{C} \subset \tilde{X} \) the set theoretical pull-back of \( C \); it is the union of the exceptional divisors and the proper pull-back, which can be identified with \( C \) itself. Consider the fundamental group \( \pi = \pi_1(\mathbb{P}^2 \setminus C) \) and the homomorphism \( \kappa: \pi \to \mathbb{Z}/2\mathbb{Z} \) defining the double covering \( \tilde{X} \setminus \bar{C} \to \mathbb{P}^2 \setminus C \). One has \( \ker \kappa = \pi_1(\tilde{X} \setminus \bar{C}) \).
The abelinization $\pi/[\pi, \pi] = H_1(\mathbb{P}^2 \setminus C)$ is the cyclic group $\mathbb{Z}/(4m + 2)\mathbb{Z}$; its $2$-primary part is $\mathbb{Z}/2\mathbb{Z}$, and from the exact sequence

$$\{1\} \to \text{Ker } \kappa/(\text{Ker } \kappa)^2 \to \pi/(\text{Ker } \kappa)^2 \to \mathbb{Z}/2\mathbb{Z} \to \{1\}$$

and properties of 2-groups one concludes that the group $\text{Ker } \kappa/(\text{Ker } \kappa)^2 = H_1(\check{X} \setminus \check{C}; \mathbb{F}_2)$ is trivial. Then, from the Poincaré duality and the fact that $H^2(\check{X}; \mathbb{F}_2) = 0$ it follows that the inclusion homomorphism $H^2(\check{X}; \mathbb{F}_2) \to H^2(C; \mathbb{F}_2)$ is onto and its dual $H_2(C; \mathbb{F}_2) \to H_2(\check{X}; \mathbb{F}_2)$ is a monomorphism. On the other hand, since $C$ is irreducible and $|C| = (2m + 1)h_X \mod \Sigma_X$, the inclusion induces an isomorphism $H_2(C; \mathbb{F}_2) = S_X \otimes \mathbb{F}_2$. Thus, the (mod2)-reduction $S_X \otimes \mathbb{F}_2 \to \check{S}_X \otimes \mathbb{F}_2$ is a monomorphism. This fact implies that $\kappa$ has no elements of order 2. □

4.3.2. Remark. In the case of sextics, Theorem 4.3.1 can as well be deduced from Theorem 4.2.3. However, this would require a thorough analysis of a number of exceptional cases and eliminating them using conditions (3.2.1)(0) and (2) and an extended version of Proposition 2.6.3.

4.4. Classical Zariski pairs. The Alexander polynomial of a degree $m$ plane curve $C \subset \mathbb{P}^2$ can be defined as the characteristic polynomial of the deck translation action on $H_1(X_m; \mathbb{C})$, where $X_m$ is the desingularization of the $m$-fold cyclic covering of $\mathbb{P}^2$ ramified at $C$ (see A. Libgober [24]–[27] for details). By a classical Zariski pair we mean a pair of curves that have the same combinatorial data and differ by their Alexander polynomial. (The truly classical Zariski pair, due to Zariski himself [40], is a pair of irreducible sextics with six cusps each, one of them having all cusps on a conic, and the other one not.)

The Alexander polynomials $\Delta_C(t)$ of all irreducible sextics $C$ are found in [8] (see also [13]), where it is shown that $\Delta_C(t) = (t^2 - t + 1)^d$ and the exponent $d$ is determined by the set of singularities of $C$ unless the latter has the form

\[(4.4.1) \quad \Sigma = eE_6 \oplus \bigoplus_{i=1}^{6} a_iA_{3i-1} \oplus nA_1, \quad 2e + \sum ia_i = 6.\]

If the set of singularities is as in (4.4.1), then $d$ may a priori take values 0 or 1; in the latter case the curve is called abundant. The following statement is proved in [13].

4.4.2. Theorem. For an irreducible plane sextic $C$ with a set of singularities $\Sigma$ as in (4.4.1), the following three conditions are equivalent:

1. $C$ is abundant;
2. $C$ is tame, i.e., it is given by an equation of the form $f_2^3 + f_3^2 = 0$, where $f_2$ and $f_3$ are some polynomials of degree 2 and 3, respectively;
3. there is a conic $Q$ whose local intersection index with $C$ at each singular point of $C$ of type $A_{3i-1}$ (respectively, $E_6$) is 2i (respectively, 4).

Observe that the discriminant group of each lattice $A_{3i-1}$ or $E_6$ has a unique subgroup isomorphic to $\mathbb{Z}/3\mathbb{Z}$. Its nontrivial elements are the residues of $\beta^{(1,2)}$, where $\beta^{(1)}$ is the element given in some standard basis $e_1, e_2, \ldots$ by

$$\beta^{(1)} = \frac{1}{3}(2e_1 + 4e_2 + \ldots + 2ie_i + (2i - 1)e_{i+1} + \ldots + e_{2i-1}) \in (A_{2i-1})^*,$$

$$\beta^{(1)} = \frac{1}{3}(4e_1 + 5e_2 + 6e_3 + 4e_4 + 2e_5 + 3e_6) \in (E_6)^*.$$
and \( \tilde{\beta}^{(2)} \) is obtained from \( \beta^{(1)} \) by the only nontrivial symmetry of the Dynkin graph.
(In the case \( E_6 \), the basis elements are numbered so that \( e_6 \) is attached to the short edge of the graph.) The following theorem characterizes abundant curves in terms of configurations.

**4.4.3. Theorem.** Let \( C \) be a plane sextic with a set of singularities \( \Sigma \) as in (4.4.1). Then a reduced conic \( Q \) as in (4.4.2(3)) exists if and only if the kernel \( K \) of the extension \( \bar{S}_X \supset S_X \) has 3-torsion. If this is the case, the 3-primary part of \( K \) is a cyclic group of order 3 generated by a residue of the form \( \sum \tilde{\beta}_i^{(1,2)} \mod S_X \), where \( \tilde{\beta}_i^{(1,2)} \) are the elements defined above and the sum contains exactly one element for each singular point of \( C \) other than \( A_1 \).

**Proof.** Assume that a conic \( Q \) exists. Resolving the singularities, one can see that the proper pull-back of \( Q \) in \( \bar{X} \) does not intersect the branch locus; hence, \( Q \) lifts to a pair of rational curves (possibly, reducible) in \( X \). In the homology of \( \bar{X} \), each of the lifts realizes a class of the form \( q = h + \sum \tilde{\beta}_i^{(1,2)} \), the summation involving exactly one element for each singular point other than \( A_1 \). Hence, \( q \in \bar{S}_X \) and the residue \( q \mod S_X \) is a 3-torsion element of \( K \). Conversely, if a class \( q \) as above belongs to \( \bar{S}_X \), the Riemann-Roch theorem implies that \( q \) is realized by a rational curve. Its projection to \( \mathbb{P}^2 \) is a conic \( Q \) as in (4.4.2(3)).

Show that any element \( q \in K \) of order 3 must be as in the statement (and hence give rise to a conic \( Q \)). Clearly, \( q \) is a linear combination of the residues \( \tilde{\beta}_i \mod S_X \). One has \( (\tilde{\beta}_i^{(1)})^2 = -2i/3 \mod 2\mathbb{Z} \) for \( \tilde{\beta}_i^{(1)} \in (A_{3i-1})^* \) and \( (\tilde{\beta}_i^{(1)})^2 = 2/3 \mod 2\mathbb{Z} \) for \( \tilde{\beta}_i^{(1)} \in (E_6)^* \). Since \( q \) is isotropic, it must either involve all singular points of \( C \) other than \( A_1 \) or else belong to an orthogonal summand of \( S_X \) of the form \( 3A_2, A_5 \oplus A_2, A_8, \) or \( E_6 \oplus A_2 \). The latter possibility is ruled out by condition (3.2.1(4)) and Proposition 2.6.3.

If \( q' \in K \) were another element of order 3, \( q' \neq \pm q \), then the sum \( q + q' \) would be an order 3 element not involving all singular points. Hence, \( K \) contains at most two (opposite) elements of order 3.

Finally, if \( q \in K \) is an element of order 9, then either \( 3q \) is an element of order 3 not involving all singularities, or \( q \) is the sum of two generators of \( \text{discr}(2A_8) \), or \( q \) is twice a generator of \( \text{discr} A_{17} \). In the last two cases \( q \) cannot be isotropic. \( \square \)

**4.4.4. Corollary.** Each set of singularities \( \Sigma \) as in (4.4.1) extends to two isomorphism classes of configurations \( \bar{S} \supset S = \Sigma \oplus \langle h \rangle \) that may correspond to irreducible sextics, one abundant (\( K = \mathbb{Z}/3\mathbb{Z} \)) and one not (\( K = 0 \)).

**Proof.** The 2-primary part of the kernel \( K \) is trivial due to Theorem 4.3.1; the 3-primary part is given by Theorem 4.4.3. All extensions with \( K = \mathbb{Z}/3\mathbb{Z} \) are isomorphic to each other as the two elements \( \tilde{\beta}^{(1,2)} \) corresponding to each singularity are interchangeable by an admissible automorphism. Finally, \( S \) cannot have an isotropic subgroup of prime order other than 2 or 3. In fact, the only nontrivial \( p \)-primary component, \( p \neq 2 \) or 3, is \( \bar{S} \otimes \mathbb{Z}_5 \cong (-\frac{5}{2}) \) in the case \( \Sigma = A_{14} \oplus A_2 \). It has no isotropic elements. \( \square \)

**4.4.5. Remark.** Since elements \( \tilde{\beta}^{(1,2)} \) are not symmetric, Theorem 4.4.3 implies that the singular points of an abundant curve admit a natural coherent ‘orientation’ (order of the exceptional divisors in \( \bar{X} \)). Geometrically, this order is selected by a choice of one of the two components of the pull-back of \( Q \) in \( \bar{X} \). If the order of
the exceptional divisors were fixed, instead of Corollary 4.4 one would have $2^{m-1}$
non-isomorphic abundant configurations, where $m$ is the number of the singular
points other than $A_1$.

5. Examples

Theorem 3.4.2 reduces rigid isotopy classification of plane sextics to the enu-
meration of oriented abstract homological types. In this concluding section, we
outline the principal steps of the classification and illustrate them on a few exam-
pies: sextics with few singularities, where Nikulin's theorems apply to give a unique
rigid isotopy class (Section 5.2), unnodal classical Zariski pairs (Section 5.3), and
a few recent examples of sextics with maximal total Milnor number (Section 5.4).
Section 5.5 contains a few concluding remarks, speculations, and open problems.

5.1. Enumerating abstract homological types. Recall that an isomorphism
of abstract homological types is defined as an isometry preserving the distinguished
class $h$ and distinguished basis $\sigma$ (as a set). Next proposition states that
$\sigma$ can be ignored.

5.1.1. Proposition. Let $H_i = (L_i, h_i, \sigma_i)$, $i = 1, 2$, be two abstract homological
types, and let $S_i$ be the corresponding sublattices spanned by $h_i$ and $\sigma_i$. Then $H_1$
$\cong H_2$ are isomorphic if and only if there is an isometry $t: L_1 \rightarrow L_2$
taking $S_1$ to $S_2$ and $h_1$ to $h_2$.

Proof. The extensions of the restriction $t_{S_i}$ to the whole lattice $L_1$ depend only
on the induced map $S_1 \rightarrow S_2$. In view of Proposition 2.3.1, the image in $Aut_{S_1}$ of
the group of admissible isometries of $S_1$ coincides with the image of the group of
isometries preserving $h_1$. $\square$

Fix a set of singularities $\Sigma$. The classification of oriented abstract homological
types extending $\Sigma$ is done in four steps.

Step 1: enumerating the configurations $\tilde{S}$ extending $\Sigma$. Due to Proposition 2.6.1,
a configuration is determined by a choice of an isotropic subgroup $K \subset S$. Note that,
given Corollary 2.6.3, condition 2.6.3(2) should only be checked for the direct summands
of $\Sigma$ isomorphic to $A_1$; as for any other root $r \in \Sigma$ there is another root $r' \in \Sigma$
such that $r \cdot r' = 1$ and, hence, $r + h$ is primitive in $\tilde{S}$. We combine this observation
and Corollary 2.6.3 to the following statement.

5.1.2. Proposition. Let $\tilde{S}$ be a configuration extending a set of singularities $\Sigma$.
Then each direct summand of $\Sigma$ isomorphic to one of the root systems listed in
Corollary 2.6.3 is primitive in $\tilde{S}$, and each sublattice $A_1 \oplus \langle h \rangle$, where $A_1$ is a direct
summand of $\Sigma$, is primitive in $\tilde{S}$.

Step 2: enumerating the isomorphism classes of $\tilde{S}^\perp$. The orthogonal com-
plement $N = \tilde{S}_L^\perp$ has genus $(2, 19 - \text{rk} \Sigma; -\tilde{S})$. The existence of a lattice in this
genus, whenever it holds, is given by Theorem 2.5.1. If $N$ is indefinite, one would
hope that a theorem similar to 2.5.2 would imply uniqueness. The case of defi-
nite lattices ($\text{rk} \Sigma = 19$) is treated in Section 2.4. There are examples (see, e.g.,
Proposition 5.4.4 below) when the genus does contain more than one isomorphism
class.

Step 3: enumerating the bi-cosets of $Aut_h \tilde{S} \times Aut_N N$. Once the lattice $N = \tilde{S}^\perp$
is chosen, one can fix an anti-isometry $\tilde{S} \rightarrow N$ and, hence, an isomorphism $Aut_N =
Propositions 5.1.3 and 5.1.4. (The existence of a lattice \( \tilde{S} \) and \( \tilde{N} \) exist, Proposition 2.6.4 implies that \( \langle \tilde{v} \rangle \neq \emptyset \).) The property \( \langle \tilde{v} \rangle \neq \emptyset \) has a +-disorienting isometry \( t \) whose image in \( \text{Aut} \text{discr}\tilde{S}^\perp = \text{Aut}\tilde{S} \) belongs to the product of the subgroup \( O_h(\tilde{S}) \) and the image of \( O^+(\tilde{S}^\perp) \). Asymmetric abstract homological types do exist, see Proposition 5.4.4. Below is a sufficient condition for an abstract homological type to be symmetric.

5.1.3. Proposition. Let \( \mathcal{H} = (L, h, \sigma) \) be an abstract homological type. If the lattice \( \tilde{S}^\perp \) contains a vector \( v \) of square 2, then \( \mathcal{H} \) is symmetric.

Proof. The reflection \( t_v \) reverses the orientation of one and, hence, any maximal positive definite subspace. On the other hand, it is obviously an automorphism of \( \mathcal{H} \), as it acts identically on \( \tilde{S} \). \( \square \)

If a lattice \( N \) is unique in its genus, the existence of a vector \( v \in N \) of square 2 can easily be expressed in terms of discriminant forms. Indeed, either one has \( \langle v \rangle \perp \langle v \rangle^\perp = N \) or \( \langle v \rangle \perp \langle v \rangle^\perp \subset N \) is a sublattice of index 2. In both cases, the discriminant \( \text{discr}\langle v \rangle^\perp \) is determined by that of \( N \), and the question reduces to the existence of a lattice \( \langle v \rangle^\perp \) within a prescribed genus, see Theorem 2.5.1. If it does exist, Proposition 2.6.4 implies that \( \langle v \rangle \perp \langle v \rangle^\perp \) is a sublattice of a lattice isomorphic to \( N \). Next statement is a simple special case of the above observation.

5.1.4. Proposition. Any indefinite even lattice \( N \) with \( \text{rk} N \geq \ell(N) + 2 \) has a vector of square 2.

Proof. First, let \( \text{rk} N \geq 3 \). Then Theorem 2.5.2 implies that \( N \) is unique in its genus. On the other hand, from Theorem 2.5.1 it follows that there exists a lattice \( N' \) of signature \((\sigma_+N-1, \sigma_-N)\) whose discriminant form is \( N \perp \langle -\frac{1}{2} \rangle \). (Since both signature and Brown invariant are additive, condition 2.5.1(2) holds automatically.) Then the sum \( \langle v \rangle \perp N' \), \( v^2 = 2 \), is an index 2 sublattice in a lattice isomorphic to \( N \).

In the exceptional case \( \text{rk} N = 2 \) one has \( \ell(N) = 0 \), i.e., \( N \) is unimodular. Then \( N \cong \mathbb{U} \) and the statement is obvious. \( \square \)

5.2. Sextics with few singularities. Let \( \mu = \text{rk} \Sigma = \text{rk} \tilde{S} - 1 \) be the total Milnor number of the singularities. One has \( \mu \leq 19 \), and the orthogonal complement \( \tilde{S}^\perp \) has rank 21 - \( \mu \) and signature \((2, 19 - \mu)\).

5.2.1. Theorem. Each configuration \( \tilde{S} \supset S = \Sigma \perp \langle h \rangle \) satisfying the inequality \( \ell(\tilde{S}) + \text{rk} \Sigma \leq 19 \) is realized by a unique rigid isotopy class of plane sextics.

Proof. The inequality \( \ell(\tilde{S}) + \text{rk} \Sigma \leq 19 \) implies that \( \mu = \text{rk} \Sigma \leq 18 \), as otherwise \( \tilde{S}^\perp \) would be a unimodular even lattice of signature \((2, 0)\). Thus, \( \tilde{S}^\perp \) is indefinite, \( \text{rk} \tilde{S}^\perp \geq 3 \), and \( \text{rk} \tilde{S}^\perp \geq \ell(\text{discr}\tilde{S}^\perp) + 2 \). Hence, Theorem 2.5.3 applies to \( \tilde{S}^\perp \) and \( \tilde{S} \) extends to a unique abstract homological type, which is symmetric due to Propositions 5.1.3 and 5.1.4. (The existence of a lattice \( \tilde{S}^\perp \) realizing the given genus follows from Theorem 2.5.1, as condition 2.5.1(2) holds automatically.) \( \square \)
5.2.2. **Corollary.** Each configuration extending a set of singularities \( \Sigma \) satisfying the inequality \( \ell(\text{discr} \ \Sigma) + \text{rk} \ \Sigma \leq 19 \) is realized by a unique rigid isotopy class of plane sextics.

**Proof.** Let \( \tilde{S} \) be a configuration extending \( \Sigma \). Then, for each prime \( p \neq 2 \), one has \( \ell_p(\tilde{S}) \leq \ell_p(\text{discr} \ \Sigma) \leq 19 - \mu \). For \( p = 2 \) one has \( \ell_2(\tilde{S}) \leq \ell_2(\text{discr} \ \Sigma) + 1 \leq 20 - \mu \).

However, since \( \ell_2(N) = \text{rk} \ N \mod 2 \) for each lattice \( N \), the latter inequality still implies \( \ell_2(\tilde{S}) \leq 19 - \mu \), and Theorem 5.2.1 applies. \( \square \)

5.3. **Classical Zariski pairs.** Consider a set of singularities of a classical Zariski pair of irreducible curves, i.e., a set \( \Sigma \) as in (4.4.1). Let

\[
g(\Sigma) = 10 - 3e - 6 \sum_{i=1}^{6} a_i \left[ \frac{3i}{2} \right] - n
\]

be its virtual genus. In [11], it is conjectured that, if \( g(\Sigma) > 0 \) (respectively, \( g(\Sigma) = 0 \)), then \( \Sigma \) is realized by exactly two (respectively, one) rigid isotopy classes of irreducible sextics, one abundant and one not (respectively, one abundant). We prove the conjecture in the case \( n = 0 \).

5.3.1. **Remark.** Now, it seems clear that the nonexistence part of the conjecture (the case \( g(\Sigma) = 0 \)) is wrong: a simple estimate and Theorem 2.5.1 show that most sets of singularities are realized by both abundant and non-abundant curves.

The uniqueness part seems to follow more or less directly from Theorem 2.5.3 for all abundant curves, as well as for all curves with \( e \leq 1 \). However, as there still are quite a number of details to be double checked (and the non-abundant case with \( e > 1 \) requires tedious manual calculations, cf. the proof of Theorem 5.3.2), I will consider the general case in a separate paper.

5.3.2. **Theorem.** Any set of singularities \( \Sigma \) of the form

\[
\Sigma = eE_6 \oplus \bigoplus_{i=1}^{6} a_i A_{3i-1}, \quad 2e + \sum \text{ia}_i = 6,
\]

is realized by exactly two rigid isotopy classes of irreducible plane sextics, one abundant and one not.

**Proof.** We need to show that, assuming that the number of nodes \( n = 0 \), each of the two configurations given by Corollary 5.2.3 extends to a unique homological type, which is symmetric. One has \( \mu = \text{rk} \Sigma = 18 - (m - e) \), where \( m \) is the total number of points in \( \Sigma \). Since each singular point considered contributes exactly one to both \( \ell(\text{discr} \ \Sigma) \) and \( \ell_3(\text{discr} \ \Sigma) \), one has \( \ell(\text{discr} \ \Sigma) + \mu = 18 + e \). Thus, if \( e = 0 \) or 1, the statement of the theorem follows directly from Corollary 5.2.3.

It remains to consider the three sets \( \Sigma = 2E_6 \oplus A_5 \), \( 2E_6 \oplus 2A_2 \), or \( 3E_6 \) corresponding to \( e = 2, 3 \).

If \( \tilde{S} \) is an abundant configuration without points of type \( A_7 \) or \( A_{17} \), then \( \ell_3(\tilde{S}) = \ell_3(\text{discr} \ \Sigma) = 2 \). If also \( e \geq 2 \), then still \( \ell(\tilde{S}) + \mu \leq 19 \) and Theorem 5.2.1 applies.

The remaining three cases, the non-abundant configurations \( \tilde{S} = \tilde{S} \) with \( e = 2 \) or 3, are considered below.

In the first two cases (\( e = 2 \)), the uniqueness of the lattice \( \tilde{S}^\bot \) in its genus (Step 2 in Section 5.1) follows from Theorem 2.5.2. We will show that the homomorphism
O(\hat{S}^\perp) \to \text{Aut}\hat{S} is onto and that \hat{S}^\perp has a \perp-disorienting isometry whose image in \text{Aut}\hat{S} belongs to the product of \text{Aut}_h\hat{S} and the image of \text{Aut}^+\hat{S}^\perp (see Steps 3 and 4 in Section 5.1).

The case \Sigma = 2E_6 \oplus A_5. One has \text{discr }\hat{S}^\perp \cong -\hat{S} \cong 2(\frac{1}{2}) \oplus 3(\frac{2}{3})\), so that one can take \hat{S}^\perp = (-2) \oplus (6) \oplus \text{U}(3). Let a and b be generators of the \langle -2\rangle- and \langle 6\rangle-summands, respectively, and let u, v be a standard basis for the \text{U}(3)-summand.

Consider the 3-primary part \text{discr }\hat{S}^\perp \otimes \mathbb{Z}_3 \cong 3(-\frac{2}{3})\). Its automorphisms are permutations of the three generators and multiplication of some of them by (−1).

One can take for the generators the classes \beta^\pm = [b^\pm /3] and \gamma = [c/3], where \(b^\pm = b \pm (u - 2v)\) and \(c = u - v\) are vectors of square (−6). Then the reflections \(t_{u^\pm}\) and \(t_{v^\pm}\), which are well defined elements of \(O^+(\hat{S})\perp\), act on \(\text{discr }\hat{S}^\perp\) by multiplying the corresponding generators by (−1). The reflection \(t_{u+v}\) transposes \(\beta^+\) and \(\beta^-\), and the reflection \(t_{u+v}\) transposes \(\beta^-\) and \(\gamma\). Since \((u + v)^2 = (b + u)^2 = 6\), the latter two reflections are \perp-disorienting. All isometries mentioned act identically on \text{discr }\hat{S}^\perp \otimes \mathbb{Z}_2\), and together they generate the group \text{Aut}(\text{discr }\hat{S}^\perp \otimes \mathbb{Z}_3)\).

The 2-primary part is \(\text{discr }\hat{S}^\perp \otimes \mathbb{Z}_2 \cong 2(-\frac{1}{2})\). Its only nontrivial automorphism is realized by the reflection \(t_{a+b}\), which acts identically on \text{discr }\hat{S}^\perp \otimes \mathbb{Z}_3\).

Since the homomorphism \(O(\hat{S}^\perp) \to \text{Aut}\text{discr }\hat{S}^\perp\) is onto, one can assume that \text{discr }\hat{S}^\perp and \hat{S} are identified so that \(\beta^+\) and \(\beta^-\) are generators of the two copies of \text{discr }E_6\) in \hat{S}. Then they can be transposed by an admissible isometry of \hat{S}. On the other hand, the transposition \(\beta^+ \leftrightarrow \beta^-\) is realized by a \perp-disorienting isometry of \(\hat{S}^\perp\). Hence, the abstract homological type is symmetric.

The case \Sigma = 2E_6 \oplus 2A_2. One has \text{discr }\hat{S}^\perp \cong -\hat{S} \cong (\frac{1}{2}) \oplus 4(\frac{2}{3})\), so that \(\hat{S}^\perp = (-2) \oplus 2\text{U}(3)\). Let \(c\) be a generator of the \langle -2\rangle-summand, and let \((u_1, v_1)\) and \((u_2, v_2)\) be standard bases for the two \text{U}(3)-summands.

It suffices to consider the 3-primary part \text{discr }\hat{S}^\perp \otimes \mathbb{Z}_3\). One can take for a basis the classes \(a_i = [a_i/3]\) and \(\beta^\pm = [b^\pm /3]\), where \(a_i = u_i - v_i, i = 1, 2,\) and \(b^\pm = (u_1 - 2v_1) \pm (u_2 + v_2)\) are vectors of square (−6). The reflections \(t_{u_i}\) and \(t_{b^\pm}\) multiply the corresponding generators by (−1), and modulo these automorphisms each vector of square (−2/3) in \text{discr }\hat{S}^\perp is either one of the four generators or their sum \(a_1 + a_2 + \beta^+ + \beta^- = [(v_1 + u_2 - v_2)/3]\). Thus, each element \(\chi \in \text{discr }\hat{S}^\perp\) of square (−2/3) can be realized by a vector \(x \in \hat{S}^\perp\) of square (−6). The orthogonal complement \(\langle x \rangle_{\hat{S}^\perp}\) has the genus of the lattice \(\hat{S}^\perp\) considered in the previous case; due to the results obtained there, any such element \(\chi\) can be taken to \(a_1\), and then any automorphism of the complement \(\langle a_1 \rangle_{\text{discr }\hat{S}^\perp}\) can be realized by an isometry of \(\langle a_1 \rangle_{\hat{S}^\perp}\).

A consideration similar to the previous case shows that \(\hat{S}^\perp\) has a \perp-disorienting isometry that extends to an admissible isometry of \(L\).

The case \Sigma = 3E_6. One has \(\hat{S} \cong (\frac{3}{2}) \oplus 3(\frac{2}{3})\). The automorphisms of \(\hat{S}\) are permutations of the three generators of order 3 or multiplication of some of them by (−1). Each such automorphism is realized by an admissible isometry of \(\hat{S}\) (respectively, permutation of the \(E_6\)-components and the nontrivial symmetries of the Dynkin graphs of some of them), and it remains to show that \(\hat{S}^\perp\) is unique in its genus and has a \perp-disorienting isometry. The uniqueness in the genus follows from Theorem 2.5.2: one can take \(\hat{S}^\perp = (6) \oplus \text{U}(3)\), and the generator of the \langle 6\rangle-summand defines a \perp-disorienting reflection. □
5.3.3. Remark. The case of abundant unnodal curves is treated in [11] geometrically. Since unnodal curves of the form $f_2^3 + f_3^2 = 0$ are generic (for given polynomials $f_2, f_3$), this case reduces to the classification of certain reducible quintics. This is done in [10]. Thus, essentially new in Theorem 5.3.2 is the case of non-abundant curves.

5.4. Maximal sextics. The maximal value of the total Milnor number $\mu = \text{rk} \Sigma$ is 19. When $\mu = 19$, the orthogonal complement $\tilde{S}^\perp$ is a positive definite lattice of rank 2. This case, although not covered by general Theorems 2.5.2 and 2.5.3, can easily be handled directly, see Section 2.4. It is rather straightforward to extend Yang’s algorithm [39] listing the maximal sets of singularities and corresponding configurations in order to produce a listing of all maximal (in the sense $\mu = 19$) rigid isotopy classes. I am planning to publish the results in a forthcoming paper.

Below, we treat manually a few examples. I have chosen the sets of singularities that were studied in details in a recent series of papers by Artal et al., see [2]–[4], so that the classification obtained arithmetically could be compared with the known geometric properties of the curves. The principal purpose of this section is to illustrate the phenomena that take place and the number of details that should be taken into account in an attempt to realize the algorithm programatically.

In the proofs below, the isomorphism classes within a given genus for $\tilde{S}^\perp$ can be found via Maple, using Section 2.4. Indeed, any lattice $N = M(a, b, c)$ with a given discriminant form $N$ must satisfy $|N|/4 \leq ac \leq |N|/3$, and it remains to enumerate the triples $(a, b, c)$, calculate the discriminant forms, and compare them to $N$. We merely indicate the result by a sentence like ‘the only possibility for $\tilde{S}^\perp$ is . . . ’.

5.4.1. Proposition. The set of singularities $\Sigma = D_{19}$ extends to a unique abstract homological type, which is symmetric.

Proof. One has $S \cong \langle -\frac{3}{4} \rangle \oplus \langle \frac{1}{2} \rangle$. This form has no isotropic subgroups; hence, always $\tilde{S} = S$, and the only possibility for $\tilde{S}^\perp$ is $M(1, 0, 2)$. The only nontrivial automorphism of discr $\tilde{S}^\perp$ is the multiplication of an order 4 element by $(-1)$; it is realized by a reflection in $\tilde{S}^\perp$. Hence, there is a unique abstract homological type, and it is symmetric due to Proposition 5.1.3. □

5.4.2. Proposition. The set of singularities $\Sigma = A_{19}$ admits a unique configuration $\tilde{S}$. It extends to two abstract homological types, which are both symmetric. The two lattices $\tilde{S}^\perp$ are isomorphic.

5.4.3. Remark. Sextics with this set of singularities were studied in Artal et al. [3], where the two rigid isotopy classes were discovered. The only difference between the two homological types is the anti-isometry identifying the discriminant groups of $\tilde{S}$ and $\tilde{S}^\perp$. (One also observes a similar phenomenon in Propositions 5.4.6 and 5.4.8 below, where the curves are reducible.) Thus, it looks like the two pairs in question are obtained by gluing diffeomorphic pieces via different diffeomorphisms of their boundaries, cf. Remark 2.6.5. At present, I do not know whether this claim is true, as of course the global Torelli theorem only applies to whole $K3$-surfaces.

Up to projective equivalence, each rigid isotopy class consists of a single curve $C_i$, $i = 1, 2$, and the two curves are indeed very similar to each other. For example, disregarding the hyperplane section class $h$ in the calculation above, one can easily
show that the two covering $K3$-surfaces $X_1$ are deformation equivalent. The fundamental groups $\pi_1(\mathbb{P}^2 \setminus C_i)$ were calculated in \cite{3}, and it was shown that they are isomorphic to each other. Whether the two complements $\mathbb{P}^2 \setminus C_i$ themselves are diffeo-/homeomorphic still remains an open question.

**Proof.** One has $S \cong \left(-\frac{19}{20}\right) \oplus \left(\frac{1}{2}\right)$, the first two summands being generated by $4\alpha$ and $5\alpha$, where $\alpha$ is a canonical generator of the group discr $A_{19}$. Since $S$ has no isotropic subgroups, one has $\tilde{S} = S$, and the only possibility for the orthogonal complement $\tilde{S}^\perp$ is $M(1,0,10)$. The automorphism group $\text{Aut } S \cong \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$ consists of the automorphisms

$$(4\alpha, 5\alpha) \mapsto (\epsilon_1 \cdot 4\alpha, \epsilon_2 \cdot 5\alpha), \quad \epsilon_1, \epsilon_2 = \pm 1,$$

whereas the images of both $O(\Sigma)$ and $O(\tilde{S}^\perp)$ are generated by $-\text{id}$, corresponding to $\epsilon_1 = \epsilon_2 = -1$. Hence, $\tilde{S}$ extends to two distinct abstract homological types. They are both symmetric due to Proposition 5.1.3. \qed

5.4.4. **Proposition.** The set of singularities $\Sigma = A_{18} \oplus A_1$ admits a unique configuration $\tilde{S}$. It extends to two abstract homological types, which differ by the lattice $\tilde{S}^\perp$. One of the homological types is symmetric, the other one is not, so that there are three rigid isotopy classes of sextics with this set of singularities.

5.4.5. **Remark.** This set of singularities was first studied in Artal et al. \cite{3}. The most remarkable fact is the existence of two rigid isotopy classes that differ by the orientation of their homological types. This example may be a first candidate to $\tilde{S}$, for a pair of sextic curves $C_1$, $C_2$ with homeomorphic but not diffeomorphic pairs $(\mathbb{P}^2, C_i)$, see Remark 1.1.2. According to the description of the orthogonal groups given in Section 2.4, this situation should be rather typical for the maximal Milnor number: any abstract homological type with $\tilde{S}^\perp \cong M(a,b,c)$, $0 < b < a < c$, would be asymmetric.

All three curves are given by Galois conjugate equations defined over $\mathbb{Q}(\beta)$, where $\beta$ is a root of $19s^3 + 50s^2 + 36s + 8$. In \cite{3}, there are more examples of curves given by complex conjugate equations; the corresponding sets of singularities are $A_{16} \oplus A_2 \oplus A_1$ and $A_{15} \oplus A_1$.

**Proof.** One has $S \cong \left(-\frac{19}{20}\right) \oplus \left(-\frac{1}{2}\right) \oplus \left(\frac{1}{2}\right)$. The only imprimitive extension of $S$ would contradict Proposition 5.1.2, hence, there is a unique configuration $\tilde{S} = S$. The genus of $\tilde{S}^\perp$ contains two isomorphism classes: $M(1,0,19)$ and $M(4,2,5)$. The only nontrivial automorphism of $\tilde{S}$ is realized by the isometry $-\text{id} \in O(\tilde{S}^\perp)$. Hence, each of the isomorphism classes gives rise to a unique abstract homological type. The abstract homological type with $\tilde{S}^\perp = M(1,0,19)$ is symmetric due to Proposition 5.1.3, the one with $\tilde{S}^\perp = M(4,2,5)$ is not symmetric as $\tilde{S}^\perp$ has no disorienting isometries. \qed

5.4.6. **Proposition.** The set of singularities $\Sigma = 2A_9 \oplus A_1$ admits two distinct configuration $\tilde{S}$, with $[\tilde{S} : S] = 2$ or 10. The former configuration extends to two abstract homological types (with isomorphic lattices $\tilde{S}^\perp$), the latter extends to one. All extensions are symmetric, so that altogether there are three rigid isotopy classes of sextics with this set of singularities.
5.4.7. Remark. Although the configurations differ, all three curves have the same combinatorial data. The case \([\tilde{S} : S] = 10\) can be told apart by the existence of an extra line in a special position with respect to the curve, see Artal et al. [3].

Proof. One has \(S \cong 2(\frac{1}{3}, \frac{1}{3}) \oplus (\frac{1}{2}, \frac{1}{2}) \cong 2(\frac{3}{2}) \oplus 3(-\frac{1}{2}) \oplus (\frac{1}{2})\). Let \(\alpha_{1,2}, \beta, \text{ and } \gamma\) be generators of the summands \(\text{discr } A_9, \text{ discr } A_1, \text{ and } \text{discr } (2)\), respectively.

Since \(\ell_2(S) = 4\), the kernel \(K = \tilde{S}/S\) must contain elements of order 2. The isotropic elements of order 2 are \(\beta + \gamma\) and \(5\alpha_{1,2} + \gamma\). The former cannot belong to \(K\) due to Proposition 5.1.2; the two latter are interchangeable by an admissible isometry. Hence, one can assume that \(K \otimes \mathbb{Z}_2 \cong \mathbb{Z}/2\mathbb{Z}\) is generated by \(5\alpha_1 + \gamma\).

The 5-primary part \(K \otimes \mathbb{Z}_5\) may be either trivial or one of the two order 5 subgroups generated by \(2\alpha_1 \pm 4\alpha_2\). In the latter case the two subgroups are conjugate by an admissible isometry preserving \(5\alpha_1 + \gamma\). Hence, up to admissible isometry, there are two configurations, which differ by the index \([\tilde{S} : S]\).

If \([\tilde{S} : S] = 10\), then \(\tilde{S} \cong 2(\frac{1}{2})\) and \(\tilde{S}^\perp \cong M(1, 0, 1)\). The homomorphism \(O(\tilde{S}^\perp) \rightarrow \text{Aut discr } \tilde{S}^\perp\) is onto, and the only resulting abstract homological type is symmetric due to Proposition 5.1.3.

If \([\tilde{S} : S] = 10\), then \(\tilde{S} \cong 2(\frac{1}{2}) \oplus 2(-\frac{1}{2})\) and \(\tilde{S}^\perp \cong M(5, 0, 5)\). The group \(\text{Aut } \tilde{S}\) is generated by the multiplications \((-1)_i)\colon \alpha_i \mapsto -\alpha_i, i = 1, 2,\) the transposition \(t_5\) of \(\alpha_1\) and \(\alpha_2\), and the transposition \(t_2\) of the generators of the two summands of order 2. The subgroup \(\text{Aut}_5 \tilde{S}\) is generated by \((-1)_1\) and \((-1)_2\), and the image of \(O(\tilde{S}^\perp)\) in \(\text{Aut } \tilde{S}\) is generated by \((-1)_1, (-1)_2,\) and the composition \(t_5 \circ t_2\). Hence, \(\tilde{S}\) extends to two distinct abstract homological types, and they are both symmetric (as \(\tilde{S}^\perp\) has a \(+\)-disorienting isometry that extends to \(L\) by an admissible isometry of \(\tilde{S}\)). \(\square\)

5.4.8. Proposition. The set of singularities \(\Sigma = E_6 \oplus A_7 \oplus A_3 \oplus A_2 \oplus A_1\) admits a unique configuration \(\tilde{S}\). It extends to two abstract homological types, which are both symmetric. The two lattices \(\tilde{S}^\perp\) are isomorphic.

5.4.9. Remark. Sextics with this set of singularities are all reducible, splitting to a singular quintic and a line. They were studied in Artal et al. [2].

Proof. One has \(S \cong \langle\frac{3}{2}\rangle \oplus \langle-\frac{1}{2}\rangle \oplus \langle-\frac{3}{4}\rangle \oplus \langle-\frac{1}{2}\rangle \oplus \langle-\frac{3}{4}\rangle \oplus \langle\frac{1}{2}\rangle\). Since \(\ell_2(S) = 4\), the kernel \(K\) must contain elements of order 2. The only isotropic element of order 2 not contradicting Proposition 5.1.2 is \(4\beta_7 + \beta_3 + \gamma\), where \(\beta_1\) is a generator of \(\text{discr } A_4\), \(i = 1, 2, 3, 7,\) and \(\gamma\) is the generator of \(\text{discr } (2)\). Thus, there is a unique configuration \(\tilde{S}\), and the group \(\tilde{S} \cong \langle\frac{3}{2}\rangle \oplus \langle\frac{1}{2}\rangle \oplus \langle-\frac{3}{2}\rangle \oplus \langle\frac{3}{2}\rangle \oplus \langle\frac{1}{2}\rangle\) is generated by \(\alpha\) (a generator of \(\text{discr } E_6\)), \(2\beta_7 = \beta_3 + \gamma, \beta_5, \) and \(\beta_2\). The group \(\text{Aut } \tilde{S}\) is generated by the multiplications of generators by \((-1),\) which lift to admissible isometries of \(\tilde{S}\), and the involution \(\varphi\colon (\beta_1, \beta_2) \mapsto (3\beta_7 + 2\beta_3, \beta_5 + 4\beta_7)\), which is not in the image of \(O_6(S)\).

The only possibility for \(\tilde{S}^\perp\) is \(M(6, 0, 12)\). Since the involution \(\varphi\) above does not lift to \(\tilde{S}^\perp\), there are two abstract homological types extending \(\tilde{S}\). Each of them is symmetric, as the \(+\)-disorienting reflection defined by the vector of square 24 extends to \(L\) by an admissible isometry of \(\tilde{S}\). \(\square\)

5.5. Concluding remarks.

5.5.1. Examples with \(\mu < 19\). One of the by-products of the calculation in Section 5.4 is the fact that each of the four steps outlined in Section 5.1 does matter,
in the sense that there are pairs of sextics that diverge at that particular step. However, in all these examples one has $\mu = 19$, i.e., the moduli space is discrete, and I do not know a single example of a pair of not rigidly isotopic sextics with $\mu < 19$ that share the same configuration. (A number of families with $\mu = 18$ is considered in [3], where it is proved that the curves are determined by their combinatorial data. The members of classical Zariski pairs considered in Section 5.3 differ by their configurations.)

At present, I do not know how general this phenomenon is and how it could be proved/disproved without essentially enumerating all rigid isotopy classes.

5.5.2. Asymmetric homological types. The existence of two opposite orientations of periods of marked $K_3$-surfaces is a well known fact. The two orientations are interchanged by the canonical real structure on the moduli space, sending a $K_3$-surface to its conjugate. Thus, asymmetric abstract homological types give rise to moduli spaces without real points. It would be interesting to find similar examples with $\mu < 19$, when the modular space has positive dimension, or to prove that such examples do not exist, cf. Section 5.5.1. (Note that, a priori, the moduli space may have no real points even if the homological type is symmetric.)

For plane curves, the canonical real structure on the moduli space is induced by the standard complex conjugation on $\mathbb{P}^2$. In particular, the pairs $(\mathbb{P}^2, C_i)$ corresponding to two conjugate curves are diffeomorphic, but the diffeomorphism is not regular and, most importantly, it reverses the orientation of complex curves, inducing $(-1)$ in $H_2(\mathbb{P}^2)$, cf. Section 5.5.3.

Apparently, the existence of asymmetric homological types is due to the fact that we consider $K_3$-surfaces with a fixed polarization (which prohibits certain obvious changes of the marking), whereas no involution that would interchange the components is assumed a priori (as in the case of real curves and surfaces). Probably, one can anticipate a similar phenomenon in the case of quartic surfaces in $\mathbb{P}^3$, see Section 5.5.6.

5.5.3. Conjugacy over number fields. Comparing the results obtained in Section 5.4 with the geometric properties of the curves discovered in [2]–[4], one can observe that, in the case of maximal total Milnor number $\mu = 19$, all sextics with a given configuration $\tilde{S}$ are given by equations Galois conjugate over a certain finite extension of $\mathbb{Q}$. (Remind that curves with $\mu = 19$ are rigid, i.e., their moduli spaces are discrete.) At present, I do not know how general this statement is and how it can be obtained arithmetically. Another piece of substantiating evidence is given by the material of §4, where various geometric properties of curves that should remain invariant under all, not necessarily continuous, Galois transformations are expressed in terms of the configuration only.

5.5.4. The regularity condition. The regularity condition in Theorem 1.1.1 seems to be a purely technical assumption; it is needed to assure that the diffeomorphism $f$ lifts to the minimal resolutions of singularities and then, further, to the nonsingular double coverings. I believe that any diffeomorphism preserving the complex orientations gives rise to a regular one. To prove/disprove this statement one would need to know the diffeotopy classification of auto-diffeomorphisms of the link (i.e., boundary of a regular neighborhood) of each simple singularity of surfaces. Unfortunately, I do not know any results in this direction.

Certainly, if it turns out that the regularity assumption can be dropped, one would still have to require that $f$ preserve the complex orientation of both $\mathbb{P}^2$
and the curves, i.e., that the induced homomorphism $f_* : H_*(\mathbb{P}^2) \rightarrow H_*(\mathbb{P}^2)$ is the identity.

5.5.5. Other equivalence relations. In the definition of admissible isometry, it is required that the distinguished basis $\sigma$ of $\Sigma$ should only be fixed as a set. Geometrically, this means that neither the order of the singular points nor their ‘orientation’ are assumed fixed. If the order matters, one should fix the basis and consider isomorphism classes of lattice polarized $K3$-surfaces in the sense of Nikulin [30]: they are classified by oriented abstract homological types up to isometries identical on $\Sigma \oplus \langle h \rangle$.

An interesting example of multiple equivalence classes is described in Remark 4.4.5. A more straightforward example is given by Proposition 5.4.6, where the two $A_9$ points cannot be transposed by a rigid isotopy: they are distinguished by the combinatorial data of the curves.

Alternatively, one can compare curves using various relaxed equivalence relations: homeomorphism of the pairs, diffeo-/homeomorphism of the complement spaces, etc. For most curves, this problem still remains open.

5.5.6. Quartic surfaces. The classification of singular quartic surfaces in $\mathbb{P}^3$ should be very similar to the classification of plane sextics. The proof of the corresponding counterpart of Theorem 1.1.1 would repeat literally the contents of §3, with $h^2 = 2$ replaced with $h^2 = 4$. It is worth mentioning that, as in the case of plane sextics, the rigid isotopy class of a quartic surface with at least one non-simple singular point is determined by its combinatorial data, see [12].

References


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