ON THE PICARD GROUP OF A DELSARTE SURFACE

ALEX DEGTYAREV

Abstract. We suggest an algorithm computing, in some cases, an explicit generating set for the Néron–Severi lattice of a Delsarte surface.

1. Introduction

Throughout the paper, all algebraic varieties are over $\mathbb{C}$.

1.1. Statement of the problem. A Delsarte surface is a surface $\Phi_A \subset \mathbb{P}^3$ given by a four-term equation of the form

\[
\sum_{i=0}^{3} \prod_{j=0}^{3} z^{a_{ij}} = 0,
\]

see [3, 8]. The restrictions to the matrix $A := [a_{ij}]$ are listed in §2.2(1)–(4).

We are interested in certain birational invariants of Delsarte surfaces. For this reason, we silently replace $\Phi_A$ with its resolution of singularities. The particular choice of the resolution is not important; e.g., one can take the minimal one.

For an alternative description of Delsarte surfaces, introduce the multiplicative abelian group $G \cong \mathbb{Z}^3$ with a distinguished generating set $t_0, t_1, t_2, t_3$, subject to the only relation $t_0 t_1 t_2 t_3 = 1$. Then, each epimorphism $\alpha: G \twoheadrightarrow G$ to a finite group $G$ gives rise to a Delsarte surface $\Phi[\alpha]$, see §2.2 and Definition 2.2. By an abuse of the language, an epimorphism $\alpha$ as above is referred to as a finite quotient of $G$.

Definition 1.2. In the examples, we will consider the following four special classes of Delsarte surfaces, corresponding to special finite quotients $\alpha: G \twoheadrightarrow G$:

1. Fermat surfaces $\Phi[m]$, where an integer $m \in \mathbb{N}_+$ is regarded as the quotient projection $m: G \twoheadrightarrow G/mG$;
2. unramified (at $\infty$) Delsarte surfaces $\Phi[\alpha]$, i.e., such that $\alpha(t_0) = 1$;
3. cyclic Delsarte surfaces $\Phi[\alpha]$, i.e., such that $G$ is a cyclic group;
4. diagonal Delsarte surfaces $\Phi[m]$, where a vector $m := (m_1, m_2, m_3) \in \mathbb{N}_3^+$. is regarded as the quotient projection $m: G \twoheadrightarrow G/(t_1^{m_1} = t_2^{m_2} = t_3^{m_3} = 1)$.

(To avoid the common confusion, we use $\mathbb{N}_+$ for the set of positive integers.) Note that, in items (2) and (4), the definition depends on the order of the indices, and we relate a surface $\Phi[\alpha]$ to the corresponding class whenever it satisfies the condition after a possible permutation of the indices $(0, 1, 2, 3)$.

By Poincaré duality, the Néron–Severi lattice $\text{NS}(\Phi[\alpha])$ can be regarded as a subgroup of the homology group $H_2(\Phi[\alpha])/\text{Tors}$. Our primary interest is the extent to which $\text{NS}(\Phi[\alpha])$ is generated by the components of a certain ‘obvious’ divisor

\begin{thebibliography}{9}

\bibitem{Degtyarev} A. Degtyarev, \textit{Algorithm computing, in some cases, an explicit generating set for the Néron–Severi lattice of a Delsarte surface}, J. Algebraic Geom. 25 (2016), 513–532.
\end{thebibliography}
V[α] ⊂ Φ[α], see §2.3. (In the case of Fermat surfaces, this divisor V is essentially constituted by the lines contained in the surface.) To this end, we consider the inclusion homomorphism τ∗ : H2(V[α]) → NS(Φ[α]) and introduce the groups

\begin{equation}
\text{S}[α] := \text{Im} \tau∗, \quad \text{K}[α] := \ker \tau∗, \quad \text{T}[α] := \text{Tors}(\text{NS}(Φ[α])/\text{S}[α]).
\end{equation}

We compute the two latter groups, which are birational invariants of the surface.

The motivation for our interest is Shioda’s algorithm [8] computing the Picard rank \( ρ(Φ[α]) \). In some cases (most notably, if \(|G|\) is prime to 6, cf. Corollary 1.8 below), this computation implies that \( \text{NS}(Φ[α]) \otimes \mathbb{Q} = \text{S}[α] \otimes \mathbb{Q} \), i.e., \( \text{NS}(Φ[α]) \) is generated by the components of \( V[α] \) over \( \mathbb{Q} \); hence, a natural question is if this generation property still holds over the integers, i.e., if \( \text{T}[α] = 0 \). We answer this question in the affirmative for a few special classes of surfaces, while showing that, in general, the answer is in the negative.

1.2. Principal results. Introduce the following subgroups of \( G \):

- \( G_{ij} \) is generated by \( t_i \) and \( t_j \), \( i, j = 0, 1, 2, 3 \);
- \( G_i \) is generated by \( t_i t_j \) and \( t_i t_k \), \( i = 1, 2, 3 \) and \( \{ i, j, k \} = \{ 1, 2, 3 \} \);
- \( G_∞ := \sum_i G_i \) is generated by \( t_1 t_2, t_1 t_3, \text{ and } t_2 t_3 \).

Given a finite quotient \( α: G \to G \), denote \( G∗ := G/α(G_∞) \) (for a subscript \( * \) of the form \( ij, i, \text{ or } = \)) and let \( δ[α] := |G_∞| - 1 \in \{ 0, 1 \} \). (In more symmetric terms, \( G_i \) depends only on the partition \( \{ 0, i \} \cup \{ j, k \} \) of the index set, and \( G_∞ \) is generated by all products \( t_i t_j, i, j = 0, 1, 2, 3 \); one has \( [G : G_∞] = 2 \).

Recall that the length \( ℓ(α) \) of a finitely generated abelian group \( A \) is the minimal number of generators of \( A \), and the exponent \( \exp A \) of a finite abelian group \( A \) is the minimal positive integer \( m \) such that \( mA = 0 \). For a finite quotient \( α: G \to G \), the exponent \( \exp G \) is the minimal positive integer \( m \) such that \( mG \subset \ker α \), and we can also define the height \( ht α := \exp G/n \), where \( n \) is the maximal integer such that \( \ker α \subset nG \). Note that \( \exp(G^3)/|G| \) is an integer dividing \( \ell(α)^2 \).

The principal results of the paper (combined with those of [2]) are stated below, with references to the proofs given in the statements.

**Theorem 1.4** (see [2] and §3.1). For any finite quotient \( α: G \to G \), one has
\[ \pi_1(Φ[α]) = H_1(Φ[α]) = \ker α/\prod_i(G_{ij} \cap \ker α), \]
the product running over all pairs \( 0 < i < j < 3 \). This group is trivial for any of the four special classes of Delsarte surfaces introduced in Definition 1.2. In general, the group \( \pi_1(Φ[α]) \) is cyclic and its order \( |\pi_1(Φ[α])| \) divides \( ℓ(α) \).

**Theorem 1.5** (see §4.2). For any finite quotient \( α: G \to G \), one has
\[ \text{rk} \ \mathbf{K}[α] = \sum_{0 \leq i < j < 3} |G_{ij}| + \sum_{1 \leq i \leq 3} |G_i| - 3 - δ[α]. \]

Besides, one has \( ℓ(\text{T}[α]) \leq 6 + δ[α] \) and \( \exp \text{T}[α] \) divides \( \exp G^3/|G| \).

**Addendum 1.6** (see §4.4). As a lattice, \( \mathbf{S}[α] = H_2(V[α])/\ker \), where \( \ker \) is the kernel \( \ker H_2(V[α]) \) of the intersection index form.

Note that **Theorem 1.5** is merely an estimate on the size of the torsion \( \text{T}[α] \), most interesting being the fact that the length of this group is universally bounded. A better estimate is found in Lemma 4.8, and a precise, although not very efficient, algorithm for computing this group is given by (3.7). A few examples, showing the
Besides, one has the following stronger bound:

\[ T[\alpha] = 0 \] in each of the following three cases:

1. Fermat surfaces \( \Phi[\alpha] \), \( \alpha = m \in \mathbb{N}_+ \), see [2] or §4.1;
2. Delsarte surfaces unramified at \( \infty \), see [2];
3. cyclic Delsarte surfaces, see §5.

Besides, one has the following stronger bound:

4. if \( \Phi[\alpha] \) is diagonal, \( \alpha = (m_1, m_2, m_3) \in \mathbb{N}_+^3 \), then \( \ell(T[\alpha]) \leq \delta[\alpha] \) and the order \( | T[\alpha] | \) divides \( \text{lcm}_{1 \leq i < j \leq 3}(\gcd(m_i, m_j))/\gcd(m_1, m_2, m_3) \), see §4.3.

For Fermat surfaces, the primitivity statement was suggested in [7, 1], and it was verified numerically in [5] for all values of \( m \) prime to 6 in the range 5 \( \leq m \leq 100 \). For cyclic Delsarte surfaces, Theorem 1.7(3), the statement was conjectured in [6], where it was verified for all cyclic quotients \( \alpha : \mathbb{G} \to G \) with \( |G| \leq 50 \).

Corollary 1.8 (see §4.5). Let \( \alpha : \mathbb{G} \to G \) be a finite quotient with \( \Phi[\alpha] \) in one of the four special classes introduced in Definition 1.2, and assume that \( |G| \) is prime to 6. Then the group \( \text{Pic}_G \Phi[\alpha] = \text{NS}(\Phi[\alpha]) \) is generated by the components of \( V[\alpha] \). In other words, \( \text{NS}(\Phi[\alpha]) = H_2(V[\alpha])/\ker \) as a lattice, see Addendum 1.6.

It is worth emphasizing that, since both the action of \( \Phi[\alpha] \) (obvious) and the intersection matrix of \( V[\alpha] \) (see, e.g., [5]) are known, Corollary 1.8 gives us a complete description of the Néron–Severi group \( \text{NS}(\Phi[\alpha]) \), including the lattice structure and the action of \( G \subset \text{Aut} \Phi[\alpha] \). In general, if \( \text{NS}(\Phi[\alpha]) \otimes \mathbb{Q} = S[\alpha] \otimes \mathbb{Q} \) but \( T[\alpha] \neq 0 \), the lattice structure can be recovered using the algorithm outlined in §3.4.

1.3. Contents of the paper. In §2, we introduce Delsarte surfaces \( \Phi \) and the ‘obvious’ divisors \( V \subset \Phi \) and discuss their description in terms of ramified coverings of the plane. In §3, most principal results of the paper are reduced to the problem of analyzing the integral torsion of a certain Alexander module, see (3.7) and (3.8). Most result are proved in §4; an exception is the case of cyclic Delsarte surfaces, which is treated separately (and slightly differently) in §5. Finally, in §6, we discuss a few numeric examples (obtained from experiments with small random matrices), illustrating the sharpness of most bounds on the one hand and the complexity of the general problem on the other.

2. Preliminaries

2.1. Conventions. The notation \( \text{Tors} A \) stands for the \( \mathbb{Z} \)-torsion of an abelian group \( A \). We emphasize that \( \text{Tors} \) always refers to the integral torsion, even if \( A \) is a module over a larger ring. This convention applies also to the rank \( \text{rk} A \) and length \( \ell(A) \): we regard \( A \) as an abelian group. We abbreviate \( A/\text{Tors} A := A/\text{Tors} A \).

We denote by \( \psi_m(t) \) the cyclotomic polynomial of order \( m \), i.e., the irreducible (over \( \mathbb{Q} \)) factor of \( t^m - 1 \) that does not divide \( t^n - 1 \) for \( 1 \leq n < m \). We also make use of the polynomials \( \tilde{\psi}_m(t) := (t^m - 1)/(t - 1), \ m \in \mathbb{N}_+ \).
Unless stated otherwise, all homology and cohomology groups have coefficients in $\mathbb{Z}$. Since all spaces involved have homotopy type of CW-complexes, the choice of a theory is not important; for example, one can use singular (co-)homology.

Given a closed oriented 4-manifold $X$, we identify $H^2(X) = H_2(X)$ by means of Poincaré duality. In particular, if $X$ is a smooth compact complex analytic surface, we regard the Néron–Severi lattice $\text{NS}(X)$ as a sublattice of $H_2(X)/\text{Tors}$ (with the usual intersection index pairing), so that a divisor $D \subset X$ is represented by its (topological) fundamental class $[D] \in H_2(X)/\text{Tors}$.

Given a smooth compact analytic surface $X$ and a divisor $D \subset X$, we denote by $\mathcal{S}(D) \subset \text{NS}(X)$ the subgroup generated by the irreducible components of $D$. In other words,

$$\mathcal{S}(D) = \text{Im}[\iota_* : H_2(D) \to H_2(X)/\text{Tors}],$$

where $\iota : D \hookrightarrow X$ is the inclusion. We will also consider the groups

$$T(D) := \text{Tors}(\text{NS}(X)/\mathcal{S}(D)), \ K(D) := \text{Ker}[\iota_* : H_2(D) \to H_2(X)/\text{Tors}],$$

which are birational invariants of the pair $(X, D)$. More precisely, if $\sigma : X' \to X$ is a blow-down map and $D' := \sigma^* D$, then $\sigma^*$ and $\iota_*$ induce isomorphisms

$$(2.1) \quad \text{NS}(X')/\mathcal{S}(D') = \text{NS}(X)/\mathcal{S}(D), \quad T(D') = T(D), \quad K(D') = K(D).$$

2.2. Delsarte surfaces (see [8]). Consider the surface $\Phi_A$ given by (1.1), where the exponent matrix $A := [a_{ij}]$ is assumed to satisfy the following conditions:

1. each entry $a_{ij}$, $0 \leq i, j \leq 3$, is a non-negative integer;
2. each column of $A$ has at least one zero;
3. $(1, 1, 1, 1)^t$ is an eigenvector of $A$, i.e., $\sum_{j=0}^3 a_{ij} = \lambda = \text{const}(i)$;
4. $A$ is non-degenerate, i.e., $\det A \neq 0$.

Condition (2) asserts that the surface does not contain a coordinate plane, and (3) makes (1.1) homogeneous, the degree being the eigenvalue $\lambda$.

Following [8], introduce the cofactor matrix $A^* := (\det A) A^{-1}$ and let

$$d := \gcd(a_{ij}^*), \quad m := |\det A|/d, \quad B := mA^{-1} = \pm d^{-1} A^*.$$

Denoting by $\Phi[m]$ the Fermat surface $\{z_0^n + \ldots + z_3^n = 0\}$, we have maps

$$\Phi[m] \xrightarrow{\pi_B} \Phi_A \xrightarrow{\pi_A} \Phi := \Phi[1]$$

given by

$$\pi_B : (z_i) \mapsto \left(\prod_{j=0}^3 z_j^{b_{ij}}\right), \quad \pi_A : (z_i) \mapsto \left(\prod_{j=0}^3 z_j^{a_{ij}}\right).$$

Both maps are ramified coverings; $\pi_A$ and $\pi_B \circ \pi_A : (z_i) \mapsto (z_i^n)$ are ramified over the union $R := R_0 + R_1 + R_2 + R_3 \subset \Phi$ of the traces of the coordinate planes, $R_i := \Phi \cap \{z_i = 0\}$.

The fundamental group $\pi_1(\Phi \setminus R)$ is abelian and, by Poincaré–Lefschetz duality, there are canonical isomorphisms

$$\pi_1(\Phi \setminus R) = H^2(R)/H^2(\Phi) = \mathbb{G},$$

where $\mathbb{G}$ is the abelian group introduced in §1.1 and a generator $t_i \in \mathbb{G}$ evaluates to the Kronecker symbol $\delta_{ij}$ on the fundamental class $[R_i]$ (with its canonical complex orientation). Thus, away from the ramification locus $R$, the unramified topological covering $\pi_A$ is uniquely determined by a finite index subgroup of $\mathbb{G}$, viz. the image of $\pi_1(\Phi_A \setminus \pi_A^{-1}(R))$, or, equivalently, by a finite quotient $\alpha : \mathbb{G} \to \mathbb{G}$. 

Due to condition (3) above, $A$ and $B$ can be regarded as endomorphisms of $G$, inducing endomorphisms $A_m, B_m : \mathbb{G}/m\mathbb{G} \rightarrow \mathbb{G}/m\mathbb{G}$. Obviously, one has
\[ m\mathbb{G} \subset \text{Ker} \alpha, \quad \Gamma := \text{Ker} \alpha/m\mathbb{G} = \text{Ker} B_m = \text{Im} A_m, \quad \text{Im} B_m = \text{Ker} A_m, \]
and $\Phi_A$ is birationally isomorphic to $\Phi[m]/\Gamma$, where a generator $t_i \in \mathbb{G}/m\mathbb{G}$ acts on $\Phi[m]$ by multiplying the $i$-th coordinate by a fixed primitive $m$-th root of unity.

Summarizing, we can disregard the original exponent matrix $A$ and equation (1.1) and adopt the following definition, cf. [6].

**Definition 2.2.** Given a finite quotient $\alpha : \mathbb{G} \twoheadrightarrow G$, the Delsarte surface $\Phi[\alpha]$ is defined as (any) smooth analytic compactification of the (unramified) covering of the complement $\Phi \setminus R$ corresponding to $\alpha$.

Since the invariants that we are interested in are of a birational nature, cf. (2.1), the particular choice of the compactification in Definition 2.2 is not important. It is fairly obvious that any surface $\Phi[\alpha]$ is a resolution of singularities of the projective surface given by an appropriate equation (1.1); however, we do not use this fact. For the covering Fermat surface $\Phi[m]$, we can merely take $m = \exp G$ or any multiple thereof, so that $m\mathbb{G} \subset \text{Ker} \alpha$.

### 2.3. The divisor $V[\alpha]$.

Fix a finite quotient $\alpha : \mathbb{G} \twoheadrightarrow G$ and let $\pi : \Phi[\alpha] \rightarrow \Phi$ be the covering projection. Consider the lines $L_i := \Phi \cap \{z_0 + z_i = 0\}, \ i = 1, 2, 3$, let $L := L_1 + L_2 + L_3$, and define the divisors
\[ R_*[\alpha] := \pi^* R_*, \quad L_*[\alpha] := \pi^* L_*, \quad V[\alpha] := R[\alpha] + L[\alpha] \]
on $\Phi[\alpha]$. (Here, the subscript $*$ is either empty or an appropriate index in the range $0, \ldots, 3$.) To avoid excessive nested parentheses, introduce the shortcuts
\[ S[\alpha] := S(V[\alpha]), \quad K[\alpha] := K(V[\alpha]), \quad T[\alpha] := T(V[\alpha]), \]
cf. (1.3), and let $\Phi^\circ[\alpha] := \Phi[\alpha] \setminus V[\alpha]$. We recall that the pull-back of each $L_i, \ i = 1, 2, 3$, in the covering Fermat surface $\Phi[m]$ splits into $m^2$ ‘obvious’ straight lines, viz.
\[ L_1(\zeta, \eta) := (r : \omega \zeta r : s : \omega \eta s), \]
\[ L_2(\zeta, \eta) := (r : s : \omega \zeta r : \omega \eta s), \]
\[ L_3(\zeta, \eta) := (r : s : \omega \eta s : \omega \zeta r), \]
where $(\zeta, \eta)$ is a pair of $m$-th roots of unity (parametrizing the $m^2$ lines within each of the three families), $\omega := \exp(\pi i/m)$ is an $m$-th root of $-1$, and $(r : s)$ is a point in $\mathbb{P}^1$, cf. [5]. Thus, the components of $V[\alpha]$ are the images of the $3m^2$ straight lines contained in the covering Fermat surface $\Phi[m]$, the components of the ramification locus of the covering $\Phi[\alpha] \rightarrow \Phi$, and the exceptional divisors arising from the resolution of singularities.

### 3. The topology of a Delsarte surface.

In this section, we discuss a few simplest topological properties of the Delsarte surface $\Phi[\alpha]$ and divisor $V[\alpha]$ defined by a finite quotient $\alpha : \mathbb{G} \twoheadrightarrow G$. In particular, we reduce most statements to the study of certain modules $A[\alpha]$ or $B[\alpha]$. 

3.1. The fundamental group: proof of Theorem 1.4. The expression for the group \( \pi_1(\Phi[\alpha]) \) in terms of \( \alpha \) is found in [2], and the statement that \( \pi_1(\Phi[\alpha]) = 0 \) for Fermat surfaces and unramified or diagonal Delarte surfaces is immediate. We postpone the case of cyclic Delarte surfaces till §5.2, where the necessary framework is introduced.

In general, we can assume that the kernel \( \text{Ker} \alpha \) is generated by three vectors \( v_i := t_1^{m_{i1}}t_2^{m_{i2}}t_3^{m_{i3}}, \ i = 1, 2, 3 \), so that the matrix \( [m_{ij}] \) is upper triangular,

\[
[m_{ij}] = \begin{bmatrix}
m_{11} & m_{12} & m_{13} \\
0 & m_{22} & m_{23} \\
0 & 0 & m_{33} \\
\end{bmatrix}.
\]

Then \( G_{23} \cap \text{Ker} \alpha \) contains \( v_3 \) and \( v_2 \), and \( G_{13} \cap \text{Ker} \alpha \) contains \( v_3 \) and a product of the form \( v_1^rv_2^r, r \neq 0 \). Hence, \( \pi_1(\Phi[\alpha]) \) is a cyclic group (generated by \( t_1 \)) of order at most \( r \). On the other hand, from the expression in the statement, it is clear that \( \pi_1(\Phi[\alpha]) \) is a subquotient of the group \( nG/mG \) of exponent \( \text{ht} \alpha \), where \( m := \exp G \) and \( n \) is as in the definition of \( \text{ht} \alpha \), see §1.2. \( \Box \)

3.2. The reduction. Our proof of Theorems 1.5 and 1.7 is based on the following homological reduction of the problem.

**Theorem 3.1.** Let \( D \) be a divisor in a smooth compact analytic surface \( X \), and let \( K(X, D) := \text{Ker}[\kappa_* : H_1(X \setminus D) \to H_1(X)] \) be the kernel of the homomorphism \( \kappa_* \) induced by the inclusion. Then there are canonical isomorphisms

\[
\text{Tors} \ K(X, D) = \text{Hom}(\mathcal{O}(D), \mathbb{Q}/\mathbb{Z}), \quad K(X, D)/\text{Tors} = \text{Hom}(K(D), \mathbb{Z}).
\]

**Proof.** The inclusion homomorphism \( \kappa_* : H_1(X \setminus D) \to H_1(X) \) is Poincaré dual to the homomorphism \( \beta \) in the following exact sequence of pair \( (X, D) \):

\[
\to H^2(X) \xrightarrow{\partial} H^2(D) \to H^3(X, D) \xrightarrow{\beta} H^3(X) \to .
\]

Hence, \( K(X, D) = \text{Coker} \, \epsilon_* \), and both statements are immediate, cf. [2], using the definition of the Ext groups in terms of projective resolutions and the canonical isomorphism \( \text{Ext}(A, \mathbb{Z}) = \text{Hom}(A, \mathbb{Q}/\mathbb{Z}) \) for any finite abelian group \( A \). \( \Box \)

3.3. The modules \( A[\alpha] \) and \( B[\alpha] \). The groups \( H_1(\Phi^o[\alpha]) = H_1(\Phi[\alpha] \setminus V[\alpha]) \) for Delarte surfaces were computed in [2], using the covering \( \Phi^o[\alpha] \to \Phi^o \). Let

\[
\Lambda := \mathbb{Z}[\mathbb{G}] = \mathbb{Z}[t_1^{\pm 1}, t_2^{\pm 1}, t_3^{\pm 1}] = \mathbb{Z}[t_0, t_1, t_2, t_3]/(t_0t_1t_2t_3 - 1).
\]

be the ring of Laurent polynomials, and consider the homomorphism \( \partial : A[0] \to \Lambda \) of \( \Lambda \)-modules defined as follows: \( A[0] \) is the \( \Lambda \)-module generated by six elements \( a_i, \ c_j, \ i, j = 1, 2, 3 \), subject to the relations

\[
(3.2) \quad (t_2t_3 - 1)c_1 = (t_1t_3 - 1)c_2 = (t_1t_2 - 1)c_3 = 0,
\]

\[
(3.3) \quad (t_3 - 1)c_1 + (t_3 - 1)a_2 - (t_2 - 1)a_3 = 0,
\]

\[
(3.4) \quad (t_3 - 1)c_2 + (t_3 - 1)a_1 - (t_1 - 1)a_3 = 0,
\]

\[
(3.5) \quad (t_1 - 1)c_3 + (t_1 - 1)a_2 - (t_2 - 1)a_1 = 0,
\]

and \( \partial \) is

\[
(3.6) \quad \partial a_i = (t_i - 1), \quad \partial c_j = 0, \quad i, j = 1, 2, 3.
\]

For an epimorphism \( \alpha : \mathbb{G} \to G \), let \( \Lambda[\alpha] := \mathbb{Z}[G] \). The induced ring homomorphism \( \Lambda \to \Lambda[\alpha] \) makes \( \Lambda[\alpha] \) a \( \Lambda \)-module, and we define \( \Lambda[\alpha] := A[0] \otimes \Lambda \Lambda[\alpha] \). In other
The homomorphism \( \kappa \) has the form of an exact sequence of \( G \)-words, \( A[H \to 0] \). Hence, the Hodge index theorem implies that this description, in the hope that it may be useful in the future.

The geometric description found in [2] establishes a canonical, up to the coordinate action of \( \mathbb{G} \), homomorphism \( \tilde{B}[\alpha] \to H^2(V[\alpha]) \) of \( \Lambda[\alpha] \)-modules, which restricts to an isomorphism \( \tilde{B}[\alpha] = H^2(L'[\alpha]) \), where \( L'[\alpha] \) is the proper transform of \( L \) in \( \Phi[\alpha] \).

For further details concerning discriminant forms and lattice extensions, see [4].

Consider the \( \Lambda[\alpha] \)-module \( \tilde{B}[\alpha] \) generated by \( c_1, c_2, c_3 \) subject to relations (3.2). The geometric description found in [2] establishes a canonical, up to the coordinate action of \( \mathbb{G} \), homomorphism \( \tilde{B}[\alpha] \to H^2(V[\alpha]) \) of \( \Lambda[\alpha] \)-modules, which restricts to an isomorphism \( \tilde{B}[\alpha] = H^2(L'[\alpha]) \), where \( L'[\alpha] \) is the proper transform of \( L \) in \( \Phi[\alpha] \).

If \( \alpha = m \in \mathbb{N}_+ \), the reference point in \( \Phi[m] \) can be chosen so that

(3.9) \( c_1 \mapsto [L_1(1, \omega^{-2})]^*, \quad c_2 \mapsto [L_2(1, \omega^{-2})]^*, \quad c_3 \mapsto [L_3(1, 1)]^* \),

see (2.3) for the notation; in general, we use, in addition, the natural identifications \( \tilde{B}[\alpha] = \tilde{B}[m] \otimes \Lambda[\alpha] \) and \( H^2(L'[\alpha]) \) by \( H^2(L[m]) \otimes \Lambda[\alpha] \).

Consider the modules

\[ K' := \text{Ker}(\tilde{B}[\alpha] \to B[\alpha]) \subset K := \text{Ker}(\tilde{B}[\alpha] \to B[\alpha]/\text{Tors}). \]
It is immediate from the construction (with (3.7) taken into account) that the group $K/K'$ is canonically isomorphic to $S'/S^*$. The homomorphism $K \to \text{discr} S[\alpha]$ is easily computed using (3.9) and the intersection matrix of the components of $V[\alpha]$, see, e.g., [5], and the subgroup $K^\perp \subset \text{discr} S[\alpha]$ defining the extension $S[\alpha] \supset S[\alpha]$ as described above is found as the image of $K'$.

4. Proof of Theorem 1.5

Throughout this section, we consider a finite quotient $\alpha: G \to G$ and fix the notation $m := \exp G$.

4.1. Alternative proof of Theorem 1.7(1). This proof repeats almost literally the one found in [2], except that we analyze the module $B[\alpha]$ instead of $A[\alpha]$. This analysis (slightly more thorough than in [2]) is used in the sequel.

Assume that $\alpha = m: G \to G = G/mG$ and consider the filtration

$$0 = B_0 \subset B_1 \subset B_2 \subset B_3 \subset B_4 := B[\alpha],$$

where

- $B_3$ is generated by $c'_1 := (t_3 - 1)c_1, c'_2 := (t_3 - 1)c_2, c'_3 := (t_1 - 1)c_3$,
- $B_2$ is generated by $c'_{1} := (t_1 - 1)c'_1, c'_{2} := (t_2 - 1)c'_2, c'_{3} := (t_3 - 1)c'_3$, and
- $B_1$ is generated by the element $u := (t_2 - t_3^{-1})c'_2$.

It is immediate that

$$Z[G_{23}]c_1 + Z[G_{13}]c_2 + Z[G_{12}]c_3 = B_4/B_3,$$

see (3.2); the other relations do not affect this quotient. Furthermore, as obviously

$$\tilde{\varphi}_m(t_3)c'_1 = \tilde{\varphi}_m(t_3)c'_2 = \tilde{\varphi}_m(t_1)c'_3 = 0,$$

we have an epimorphism

$$\left( Z[G_{03}] / \tilde{\varphi}_m \right) c'_1 + \left( Z[G_{02}] / \tilde{\varphi}_m \right) c'_2 + \left( Z[G_{03}] / \tilde{\varphi}_m \right) c'_3 \longrightarrow B_3/B_2.$$

In $B_2$, we have a relation

$$c'_1 = c''_1 + c''_3;$$

it is the linear combination $(t_1 - 1)(3.3) - (t_2 - 1)(3.4) - (t_3 - 1)(3.5)$. Multiplying this by $(t_2 - t_3^{-1})$ and using (3.2), we have

$$u := (t_2 - t_3^{-1})c''_2 = -(t_2 - t_3^{-1})c''_3.$$

Hence, using (3.2) again, we obtain epimorphisms

\begin{align*}
(3.3) \quad & (Z[G_{3}]/\tilde{\varphi}_m)c''_1 + (Z[G_{2}]/\tilde{\varphi}_m)c''_2 \longrightarrow B_2/B_1, \\
(3.4) \quad & (Z[G_{1}]/\tilde{\varphi}_m)u \longrightarrow B_1 \quad \text{(for } m \text{ odd).}
\end{align*}

If $m = 2k$ is even, arguing as in [2] we can refine (4.4) to

\begin{align*}
(4.5) \quad & (Z[G_{1}]/\tilde{\varphi}_k(t^2))u \longrightarrow B_1 \quad \text{(for } m = 2k \text{ even),}
\end{align*}

where $t := t_0 = t_1 = t_2^{-1} = t_3^{-1}$. Indeed, since $t_2u = t_3u = t_4^{-1}u$, by induction for $r \in \mathbb{Z}$ we have

$$t_r'c''_2 = t_1'c'_2 + t_2^{-1-r} \tilde{\varphi}_r(t_2^2)u, \quad t_r'c''_3 = t_1'c'_3 - t_3^{-1-r} \tilde{\varphi}_r(t_3^2)u.$$

Summing up and using the fact that $\tilde{\varphi}_m(t_1)c''_2 = \tilde{\varphi}_m(t_2)c''_2 = 0$ and the identity

$$t^{m-2} \sum_{r=0}^{m-1} t^{-r} \tilde{\varphi}_r(t^2) = t\tilde{\varphi}_{k-1}(t^2)\tilde{\varphi}_m(t) + \tilde{\varphi}_k(t^2), \quad m = 2k,$$
(which is easily established by multiplying both sides by $t^2 - 1$), we immediately conclude that $\hat{\varphi}_k(t^2)u = 0$.

Since $\alpha = m \in \mathbb{N}_+$, we have isomorphisms $G_{ij} \cong G_0 = \mathbb{Z}/m$ and, hence, all rings $\mathbb{Z}[G_0]/\hat{\varphi}_m$ in (4.3) and (4.4) are free abelian groups of rank $m - 1$. If $m = 2k$ is even, the ring $\mathbb{Z}[G_0]/\hat{\varphi}_k(t^2)$ in (4.5) is a free abelian group of rank $m - 2$. Thus, summing up, we have $\ell(B[\alpha]) \leq 9m - 6 - \delta[\alpha]$. On the other hand, due to (3.8) and [7], $\text{rk } B[\alpha] = 9m - 6 - \delta[\alpha]$. Hence, $\text{Tors } B[\alpha] = 0$. □

**Corollary 4.6** (of the proof). The $\Lambda[m]$-module $B[m]$ can be defined by relations (3.2) and $c''_i = c''_j + c''_k$, where $c''_i$ are the elements introduced in §4.1. Furthermore, all epimorphisms in (4.1)–(4.5) are isomorphisms. <

**Remark 4.7.** Corollary 4.6 does not extend to other finite quotients, cf. §6.4.

### 4.2. Proof of Theorem 1.5

In view of (3.8), the rank $\text{rk } K[\alpha]$ can be computed as $\dim_{\mathbb{C}}(B[\alpha] \otimes \mathbb{C}) + 3$. The group algebra $\mathbb{C}[G/mG]$ is semisimple, and we have

$$B[m] \otimes \mathbb{C} = B_1 \otimes \mathbb{C} \oplus (B_2/B_1) \otimes \mathbb{C} \oplus (B_3/B_2) \otimes \mathbb{C} \oplus (B_4/B_3) \otimes \mathbb{C},$$

see §4.1. The rank formula in the theorem is obtained by tensoring this expression by $\mathbb{C}[G]$ and using isomorphisms (4.1)–(4.5).

Let $(i,j,k)$ be a permutation of $(1,2,3)$, and introduce the following parameters, measuring the ‘inhomogeneity’ of $\ker \alpha$:

- $m_i$ is the order of the image of $\alpha(t_i)$ in $G$;
- $n_i$ is the order of the image of $t_i$ (or $t_0$) in $G/\alpha(t_0t_i) = G/\alpha(t_jt_k)$;
- $n_{jk}$ is the order of the image of $t_j$ (or $t_k$) in $G/\alpha(t_0t_i) = G/\alpha(t_jt_k)$;
- $\bar{n}_i := n_i/|G_0| = n_{jk}/|G_0|$;
- $\bar{p}_i := \gcd(n_i, n_{jk})$ and $\bar{p}_i := p_i/|G_1|$, $i = 2,3$, $i + l = 5$;
- $\bar{q} := \gcd(p_2, p_3)/|G_1|$.

It is not difficult to see that all $\bar{n}_i$, $\bar{p}_i$, and $\bar{q}$ are integers. If $\delta[\alpha] = 1$, introduce also

- $\bar{s} := s/|G_1|$, where $s := \gcd(s_2, s_3)$ and $s_i := \text{lcm}(n_i, m_i)$, $i = 2,3$.

Note that $\bar{s}$ is an integer and $\bar{q} \mid \bar{s}$. If $\delta[\alpha] = 0$, we merely let $\bar{s} := 1$.

**Lemma 4.8.** There is a filtration $0 = T_0 \subset T_1 \subset T_2 \subset T_3 := \text{Tors } B[\alpha]$ such that the quotient groups $T_i/T_{i-1}$, $i = 1,2,3$, are subquotients of

$$(\mathbb{Z}/\bar{q}) \oplus (\mathbb{Z}/\bar{s}), \quad (\mathbb{Z}/\bar{p}_2) \oplus (\mathbb{Z}/\bar{p}_3), \quad (\mathbb{Z}/\bar{n}_1) \oplus (\mathbb{Z}/\bar{n}_2) \oplus (\mathbb{Z}/\bar{n}_3),$$

respectively. In particular, $\ell(\text{Tors } B[\alpha]) \leq 6 + \delta[\alpha]$.

**Proof.** Over $\Lambda[m]$, the tensor product does not need to be exact, but we still have an epimorphism $B[m] \otimes_{\Lambda[m]} \Lambda[\alpha] \rightarrow B[\alpha]$, which induces an epimorphism of the torsion groups (as the ranks of the two modules, regarded as abelian groups, are equal). Using the same filtration as in §4.1, we obtain epimorphisms (4.1)–(4.5), which also induce epimorphisms of the torsion subgroups. Then, define the member $T_i \subset \text{Tors } B[\alpha]$ of the filtration as the image of $B_i$, $i = 0, 1, 2, 3$.

The group rings $\mathbb{Z}[G_0]$ in (4.1) are torsion free; hence, indeed, $T_3 = \text{Tors } B[\alpha]$. Let $(i,j,k)$ be a permutation of $(1,2,3)$. In (4.2), each generator $c'_i$ is annihilated by $\hat{\varphi}_{n_{jk}}(t_j)$, and we can refine the corresponding summand to $\mathbb{Z}[G_0]/\hat{\varphi}_{n_{jk}}c'_i$. Let $r_i := |G_0|$ be the order of the cyclic group $G_0$. Then $\hat{\varphi}_{n_{jk}} = \hat{\varphi}_{n_{jk}}$, where $\mathbb{Z}[G_0]/\hat{\varphi}_{n_{jk}}$, and $\mathbb{Z}[G_0]/\hat{\varphi}_{r_i}$ is a free abelian group of rank $r_i - 1$. Hence, $\text{Tors } (\mathbb{Z}[G_0]/\hat{\varphi}_{n_{jk}}c'_i$ is a cyclic group $\mathbb{Z}/\bar{n}_i$; more precisely,

$$\text{ord}((t_k^{r_i} - 1)c_i) \text{ in } B[\alpha]/B_2 \text{ divides } \bar{n}_i, \text{ where } r_i := |G_0|.$$
Tensoring this element by $C$, one can see that it does have finite order in $B[\alpha]/B_2$
but, in general, not in $B[\alpha]$.

A similar argument applies to (4.3) and (4.4). In (4.3), the summand generated
by $c_i''$ is refined to $(Z[G_1]/\widetilde{\phi}_q)c_i''$, $l := 5 - i$, the torsion of which is $Z/\bar{p}_i$:
$$\text{ord}((t_i - 1)(t_i' - 1)c_i) \text{ in } B[\alpha]/B_1 \text{ divides } \bar{p}_i, \ i = 2, 3, \text{ where } r_i := |G_i|.$$ 

In (4.4), the module refines to $(Z[G_1]/\widetilde{\phi}_q)u$, and we have
$$\text{ord}((t_2 - t_1)(t_2' - 1)(t_3 - 1)c_2) \text{ in } B[\alpha] \text{ divides } \bar{q}, \text{ where } r := |G_1|.$$ 

If $\delta[\alpha] = 1$ (equivalently, if both $m = 2k$ and $|G_1| = 2l$ are even), we use (4.5)
instead of (4.4). In addition to $\tilde{\phi}_q(t)u = 0$, we also have $\tilde{\phi}_q(t^2)u = 0$, cf. the end
of §4.1. Since $\tilde{\phi}_q(t^2) = s\tilde{\phi}_l(t^2)$ and $\tilde{\phi}_q(t) = q(t + 1)\tilde{\phi}_l(t^2)$ in $Z[G_1]$, we obtain an extra torsion term:
$$\text{ord}((t_2 - t_1)\tilde{\phi}_r(-t_2)(t_3 - 1)c_2) \text{ in } B[\alpha] \text{ divides } s, \text{ where } r := |G_1|.$$ 

Comparing the ranks, we conclude that the elements indicated above exhaust all
torsion that may be present in $B[\alpha]$.

**Remark 4.9.** Note that Lemma 4.8 is merely an estimate on the size of $T[\alpha]$. In
particular, its conclusion depends on the order of the indices, and one may get a
better estimate by permuting the indices $(0, 1, 2, 3)$, cf. Remark 6.1 and §6.4.

Denote by $\sigma: \Phi'[m] \to \Phi[m]$ the Fermat surface $\Phi[m]$ blown up so that the projection $\pi: \Phi'[m] \to \Phi[\alpha] \text{ is regular, and let } V'[m] := \sigma^*V[m].$

**Lemma 4.10.** The maps
$$\text{NS}(\Phi[\alpha]) \xrightarrow{\pi^*} \text{NS}(\Phi'[m]) \xrightarrow{\pi^*} \text{NS}(\Phi[\alpha])$$
respect the subgroups $S[\alpha] \subset \text{NS}(\Phi[\alpha]) \text{ and } S(V'[m]) \subset \text{NS}(\Phi'[m]).$ The composition
$\pi_* \circ \pi^* : \text{NS}(\Phi[\alpha]) \to \text{NS}(\Phi[\alpha])$ is the multiplication by $d := m^3/|G|$.

**Proof.** The first statement is immediate from the definition of the divisors involved:
set-theoretically, one has $V[\alpha] = \pi(V'[m])$ and $V'[m] = \pi^{-1}(V[\alpha])$. The second
statement is well known: since $\pi$ is a generically finite-to-one map of degree $d$, the
assertion is geometrically obvious for the class of an irreducible curve $C \subset \Phi[\alpha]$ not
contained in the ramification locus; then, it remains to observe that $\text{NS}(\Phi[\alpha])$ is
generated by such classes (e.g., very ample divisors).

By Lemma 4.10, we have induced maps
$$\text{NS}(\Phi[\alpha])/S[\alpha] \xrightarrow{\pi^*} \text{NS}(\Phi'[m])/S(V'[m]) \xrightarrow{\pi_*} \text{NS}(\Phi[\alpha])/S[\alpha]$$
whose composition $\pi_* \circ \pi^*$ is the multiplication by $d$. Since the group in the middle
is torsion free, see Theorem 1.7(1) and (2.1), the group $T[\alpha] \subset \text{Ker} \pi^*$ is annihilated
by $d$. Together with the estimate on $\ell(T[\alpha])$ given by Lemma 4.8, this completes
the proof of Theorem 1.5.

4.3. **Proof of Theorem 1.7(4).** The statement follows from Lemma 4.8, as one
obviously has $\bar{n}_i = \bar{p}_i = \bar{q} = 1, \ i = 1, 2, 3,$ and
$$\bar{s} = \text{lcm}_{1 \leq i < j < 3}(\gcd(m_1, m_j))/\gcd(m_1, m_2, m_3).$$ 

In fact, using Corollary 4.6, one can easily show that $\text{Tors}(B[m] \otimes_{\Lambda} \Lambda[\alpha]) = \mathbb{Z}/\bar{s}.$
Furthermore, numeric examples suggest that $B[m] \otimes_{\Lambda} \Lambda[\alpha] = B[\alpha]$ in the diagonal
case, see §6.3. However, I do not know a proof of the latter statement.
4.4. Proof of Addendum 1.6. It suffices to show that \( S[\alpha] \) is a nondegenerate lattice; then, in addition to the obvious inclusion \( K[\alpha] \subset \ker H_2(V[\alpha]) \), we would also have the converse statement \( K[\alpha] \supset \ker H_2(V[\alpha]) \).

Consider the subspace \( S[\alpha] \otimes \mathbb{Q} \subset H_2(\Phi[\alpha]; \mathbb{C}) \) and recall that each multi-eigenspace (isotypical component of the \( G \)-action) in \( H_2(\Phi[\alpha]; \mathbb{C}) \) has dimension at most 1, see [7, 8]. Hence, \( S[\alpha] \otimes \mathbb{Q} \) is a direct sum of whole eigenspaces, which are obviously nondegenerate and orthogonal.

4.5. Proof of Corollary 1.8. According to [7], for any integer \( m \in \mathbb{N}_+ \) prime to 6, one has \( \text{NS}(\Phi[m]) \otimes \mathbb{Q} = S[m] \otimes \mathbb{Q} \). Then, by Lemma 4.10, a similar identity \( \text{NS}(\Phi[\alpha]) \otimes \mathbb{Q} = S[\alpha] \otimes \mathbb{Q} \) holds for any finite quotient \( \alpha: G \to G \) with \( |G| \) prime to 6. It remains to observe that, for each surface \( \Phi[\alpha] \) as in the statement,

- \( \pi_1(\Phi[\alpha]) = 0 \), see Theorem 1.4; hence, \( \text{Pic} \Phi[\alpha] = \text{NS}(\Phi[\alpha]) \), and
- \( T[\alpha] = 0 \), see Theorem 1.7.

(If \( \alpha \) is diagonal, cf. Theorem 1.7(4), the assumption that \( |G| \) is prime to 6 implies also that \( \delta[\alpha] = 0 \).) The last statement follows from Addendum 1.6. \( \square \)

5. Cyclic Delsarte surfaces

Throughout this section, we fix an epimorphism \( \alpha: G \to G \) and assume that \( G \) is a finite cyclic group, \( |G| = m \).

5.1. The setup. Fix a generator \( t \) of \( G \) and let \( \alpha(t_i) = t^{m_i}, i = 0, 1, 2, 3 \). Strictly speaking, \( m_0, m_1, m_2, m_3 \) are elements of \( \mathbb{Z}/m \), but it is more convenient to regard them as nonnegative integers. Then \( m_0 + m_1 + m_2 + m_3 = 0 \mod m \) and

\[
\gcd(m, m_1, m_2, m_3) = 1. \tag{5.1}
\]

For \( i \neq j \), let \( m_{ij} := \gcd(m_i, m_j) \). We have \( m_{ij} = m_{kl} \) whenever \((i, j, k, l)\) is a permutation of \((0, 1, 2, 3)\), i.e., there are three essentially distinct parameters \( m_{ij} \).

It is easy to see that \( \delta[\alpha] = 1 \) if and only if \( m = 0 \mod 2 \) and \( m_1m_2m_3 = 1 \mod 2 \). In view of \( (5.1) \),

\[
\gcd(m_{12}, m_{13}, m_{23}) = 2^{\delta[\alpha]} \tag{5.2}
\]

The following statement is an immediate consequence of \( (5.1) \) and \( (5.2) \).

Lemma 5.3. For a divisor \( d \mid m \), \( d > 2 \), the following two conditions

\begin{enumerate}
\item \( d \mid m_i \) and \( d \mid m_j \) for some \( 0 \leq i < j \leq 3 \), or
\item \( d \mid m_{ij} \) and \( d \mid m_{ik} \) for some permutation \((i, j, k)\) of \((1, 2, 3)\),
\end{enumerate}

are mutually exclusive. Furthermore, \( d \) may satisfy either \( (1) \) for at most one pair \( i < j \) or \( (2) \) for at most one value of \( i \in \{1, 2, 3\} \). \( \square \)

5.2. Proof of Theorem 1.4 for cyclic Delsarte surfaces. Due to the general expression for \( \pi_1(\Phi[\alpha]) \) given by Theorem 1.4, it suffices to show that, in the ring \( \mathbb{Z}/m \), each solution to the equation \( r_1m_1 + r_2m_2 + r_3m_3 = 0 \) decomposes into a sum of solutions with at least one unknown \( r_i \) set to 0. Since \( \mathbb{Z}/m = \bigoplus_q \mathbb{Z}/q \), the summation running over all maximal prime powers \( q \mid m \), we can assume that \( m \) itself is a prime power. Then, due to \( (5.1) \), at least one coefficient \( m_i \) is prime to \( m \). If, for example, \( \gcd(m_i, m_1) = 1 \), i.e., \( m_1 \) is invertible in \( \mathbb{Z}/m \), we obtain an equivalent equation \( r_1 = -r_2m_3 - r_3m_2 \), where \( n_i := m_im_1^{-1}, i = 1, 2 \), for which the decomposition statement is obvious. \( \square \)
5.3. **Invariant factors.** In the rest of this section, we prove Theorem 1.7(3) by analyzing the structure of the module $A[\alpha]$ (see Remark 6.3 for an explanation). Introduce the notation

$$
\sigma := t^m - 1, \quad \sigma_i := t^{m_i} - 1, \quad \sigma_{ij} := t^{m_{ij}} - 1, \quad i, j = 0, 1, 2, 3, \quad i \neq j.
$$

Recall that, for $p, q \in \mathbb{Z}$, one has $\gcd(t^p - 1, t^q - 1) = t^{\gcd(p, q)} - 1$. Hence, the polynomials introduced are subject to the following divisibility relations:

\[\begin{align*}
\sigma_{ij} | \sigma & \quad \text{for all } i \neq j \text{ (by the definition of } m_{ij}), \\
\gcd(\sigma, \sigma_1, \sigma_2, \sigma_3) &= o := t - 1 \quad \text{(see (5.1))}, \\
\gcd(\sigma_i, \sigma_j, \sigma_{ik}) &= \gcd(\sigma_{ij}, \sigma_{ik}) = o \quad \text{for } \{i, j, k\} = \{1, 2, 3\}, \\
\gcd(\sigma_{12}, \sigma_{13}, \sigma_{23}) &= \rho o, \quad \rho := (t + 1)^{\delta[\alpha]} \quad \text{(see (5.2))}.
\end{align*}\]

(5.4)

(The third relation follows from the similar relations for the exponents $m_*$, which, in turn, are consequences of (5.1).) These relations hold in the following **ideal sense**: the ideal generated in $R := \mathbb{Z}[t^\pm 1]$ by the polynomials in $\gcd(\ldots)$ the left hand side of a relation equals the ideal generated by the polynomial in the right hand side. In particular, they hold over $\mathbb{Z}$ as well as over any field.

We regard $A[\alpha]$ as an $R$-module. It is generated by $a_1, a_2, a_3, c_1, c_2, c_3$, and the defining relations are (3.3)–(3.5) with $t_i := t^{m_i}$, $i = 1, 2, 3$, and

$$
\sigma a_1 = \sigma a_2 = \sigma a_3 = \sigma_{23} c_1 = \sigma_{13} c_2 = \sigma_{12} c_3 = 0.
$$

(5.5)

(The first three relations make $A[\alpha]$ a $\mathbb{Z}[G]$-module, and the last three are (3.2) combined with $\sigma c_i = 0$, $i = 1, 2, 3$.) The relations in $A[\alpha]$ are represented by the matrix

\[
M := \begin{bmatrix}
0 & \sigma_3 & -\sigma_2 & \sigma_1 & 0 & 0 \\
\sigma_3 & 0 & -\sigma_1 & 0 & \sigma_3 & 0 \\
-\sigma_2 & \sigma_1 & 0 & 0 & 0 & \sigma_1 \\
\sigma & 0 & 0 & 0 & 0 & 0 \\
0 & \sigma & 0 & 0 & 0 & 0 \\
0 & 0 & \sigma_{23} & 0 & 0 & 0 \\
0 & 0 & 0 & \sigma_{13} & 0 & 0 \\
0 & 0 & 0 & 0 & \sigma_{12} & 0
\end{bmatrix}.
\]

Given a field $k$, the reduction $A[\alpha] \otimes k$ is a finitely generated module over the principal ideal domain $kR := \mathbb{Z}[t^\pm 1] \otimes k = k[t^\pm 1]$; hence, it decomposes into direct sum of cyclic modules,

$$
A[\alpha] \otimes k \cong kR/f_1 \oplus \ldots \oplus kR/f_6,
$$

where $f_1, \ldots, f_6$ are the **invariant factors** of $M \otimes k$, i.e., the diagonal elements of the Smith normal form of the matrix. Recall that $f_1 | f_2 | f_3 | f_4 | f_5 | f_6$ are elements of $kR$ that can be found as $f_r = (\gcd S_r)/(\gcd S_{r-1})$, $r = 1, \ldots, 6$, where $S_r$ is the set of all $(r \times r)$-minors of $M \otimes k$.

All nontrivial minors of $M$ are products of polynomials of the form $(t^s - 1)$. Computing all $(r \times r)$-minors, $r = 1, \ldots, 6$, we obtain six lengthy sequences $S_r$. Since we are interested in the greatest common divisors only, we use (5.4) (in the ideal sense as explained above) and simplify these sequences as described below.

Whenever a sequence $S$ contains a subsequence of the form

- $\beta \sigma, \beta \sigma_1, \beta \sigma_2, \beta \sigma_3$, or
between case-by-case and analyze the remaining three elements of $S$

\[(5.8)\]

\[\beta\sigma_i, \beta\sigma_j, \beta\sigma_{ik}\text{ for some }\{i, j, k\} = \{1, 2, 3\},\]

\[\beta\sigma_i, \beta\sigma_{ij}, \beta\sigma_{ik}\text{ for some }\{i, j, k\} = \{1, 2, 3\},\]

where $\beta$ is a common factor, one can append to $S$ the product $\beta\sigma$. After all such additions have been made, one can shorten $S$ by removing all nontrivial multiples of any element $\beta \in S$. We repeat these two steps until $S$ stabilizes, and then apply a similar procedure, replacing each subsequence $\beta\sigma_{12}, \beta\sigma_{13}, \beta\sigma_{23}$ with the product $\beta\rho\sigma$. Denoting by $S'_\rho$ the result of the simplification, we have

\[(5.6)\]

\[S'_1 = \{\sigma\}, \quad S'_2 = \{\sigma^2\}, \quad S'_3 = \{\sigma^3\}, \quad S'_4 = \{\sigma^4\},\]

\[S'_5 = \{\sigma\rho^4, \sigma\sigma_{12}\sigma_{13}\sigma_{23}\rho^2, \sigma\sigma_{12}\sigma_{13}\sigma_{23}\alpha, \sigma\sigma_{12}\sigma_{13}\alpha, \sigma\sigma_{12}\sigma_{23}\alpha\}.\]

Another observation is the fact that $S_6$ is a subset of $\{\sigma\beta | \beta \in S_5\}$; hence, one has $\sigma^*(\gcd S_5) = \gcd S_6$. On the other hand, $\Lambda[\alpha]$ is a $\mathbb{Z}[G]$-module and all its invariant factors are divisors of $\sigma$. Taking into account (5.6), we easily obtain all invariant factors (in any characteristic) except $f_5$:

\[(5.7)\]

\[f_1 = f_2 = f_3 = o, \quad f_4 = \rho o, \quad f_6 = \sigma.\]

5.4. The factor $f_5$: the case $k = \mathbb{Q}$. Let $\sigma_* := \sigma_*/\sigma$ and cancel the common factor $\sigma^5$, converting $S'_5$ to the union

\[S''_5 := \{\sigma\rho\} \cup (\sigma\sigma_{12}\sigma_{13}\sigma_{23}, \sigma\sigma_{12}\sigma_{13}\rho, \sigma\sigma_{12}\sigma_{13}\alpha, \sigma\sigma_{12}\sigma_{23}\alpha).\]

Over $\mathbb{Q}$, the irreducible factors of $\sigma$ are distinct cyclotomic polynomials $\psi_d$, $d | m$, and a factor $\psi_d$, $d > 2$, may appear in $\gcd S''_5$ at most once. Since $\psi_d | \sigma_{12}\sigma_{13}\sigma_{23}$, one has $d | m_{ij}$ for some $1 \leq i < j \leq 3$. It remains to consider the three possibilities case-by-case and analyze the remaining three elements of $S''_5$. Using the relations between $m_{ij}$ (mainly the fact that $\gcd(m_{i}, m_{ij}) = \gcd(m_i, m_{ij})$), we arrive at the following restrictions to $d$:

1. $d | m_i$ and $d | m_j$ for some $1 \leq i < j \leq 3$, or
2. $d | m_{ij}$ and $d | m_{ik}$ for some permutation $(i, j, k)$ of $(1, 2, 3)$, or
3. $d | m_i$ and $d | m_{jk}$ for some permutation $(i, j, k)$ of $(1, 2, 3)$.

The substitution $m_{ik} \mapsto m_{jk} = -m_{jk}$ mod $m$ converts (3) to (1) with $(i, j) = (i, 0)$. Hence, $\gcd S''_5 = f_5\rho o^4$ with

\[(5.8)\]

\[f_5 = \prod \psi_d(t),\]

where the product runs over all divisors $d | m$ satisfying conditions (1) or (2) in Lemma 5.3. (In the special case $d = 2$ and $\delta[\alpha] = 1$, the greatest common divisor contains two copies of $(t + 1)$; one of them is $\rho$, and the other is counted in the product (5.8) for $f_5$. An extra factor $o = \psi_1(t)$ is also counted in the product.)

Remark 5.9. According to (5.7) and (5.8), $\text{rk} \Lambda[\alpha] = m + 4 + \delta[\alpha] + \sum \phi(d)$, where $\phi(d) = \deg \psi_d$ is Euler’s totient function and the summation runs over all divisors $d | m$ satisfying conditions (1) or (2) in Lemma 5.3. Since $n = \sum_{d|m} \phi(d)$ for $n \in \mathbb{N}_+$, this expression translates to $\text{rk} \Lambda[\alpha] = m - 4 - \delta[\alpha] + \sum_{i<j} d_{ij} + \sum_i d_i$ (using Lemma 5.3 again), where

- $d_{ij} := \gcd(m_{i}, m_{ij}) = |G_{ij}|$ for $0 \leq i < j \leq 3$, and
- $d_i := \gcd(m_{ij}, m_{ik}) = |G_i|$ for $i = 1, 2, 3$ and $\{i, j, k\} = \{1, 2, 3\}$.

This agrees with (3.8) and Theorem 1.5.
5.5. **The factor $f_5$: the case $k = \mathbb{F}_p$.** Fix a prime $p > 0$ and compute $f_5$ over $\mathbb{F}_p$. This time, the cyclotomic polynomials $\psi_d$ may be reducible. However, for any pair $n, d \in \mathbb{N}_+$ with $\gcd(d, p) = 1$ one still has $\psi_d | (t^n - 1)$ if $d \mid n$ and $\gcd(\psi_d, t^n - 1) = 1$ otherwise. Thus, if $p$ is prime to $m$ (and hence $\sigma_m$ is square free), the computation runs exactly as in §5.4 and we arrive at (5.8).

In general, let $m_* = m'_* q_*$, where $q_*$ is a power of $p$ and $m'_*$ is prime to $p$. Then, $\sigma_* = (\sigma'_*)^q_*$, where $\sigma'_* := t^{m'_*} - 1$ is square free. To reduce the number of cases and simplify the argument, note that the isomorphism class of the module $A[\alpha] \otimes \mathbb{F}_p$ and, hence, its invariant factors depend on $m$ and unordered quadruple $(m_0, m_1, m_2, m_3)$ only. Thus, permuting the indices, we can add to $S'_5$ all products of the form $\sigma_1 \sigma_3 \sigma_{12} \sigma_{13} 0$, where $(i, j, k)$ runs over all three-element arrangements of $\{0, 1, 2, 3\}$. Denote this new set by $S''_5$.

Let $d' \mid m'$, $d' > 2$. Arguing as in §5.4, we conclude that $\psi_{d'}$ divides $\gcd S'_5$ if and only if

1. $d' \mid m'_i$ and $d' \mid m'_j$ for some $0 \leq i < j \leq 3$, or
2. $d' \mid m'_{ij}$ and $d' \mid m'_{ik}$ for some permutation $(i, j, k)$ of $(1, 2, 3)$.

As in Lemma 5.3, the two conditions are mutually exclusive and $d'$ may satisfy either (1) for exactly one pair $i < j$ or (2) for exactly one value of $i$.

In case (1), assume that $(i, j) = (1, 2)$ and $q = \min(q_0, q_3)$. Then $d'$ divides $m'_1$, $m'_2$, $m'_1$, and $d'$ does not divide any other of $m'_k$ or $m'_{k'1}$. Considering the element $\sigma_1 \sigma_3 \sigma_{13} \sigma_{13} 0 \in S''_5$, we see that the multiplicity of $\psi_{d'}$ in $\gcd S'_5$ is at most (and hence equal to) $q' := \min(q, q_1)$, i.e., the one given by (5.8) reduced modulo $p$.

Indeed, for $\psi_{d'}$, the product in (5.8) should be restricted to the divisors of $m$ of the form $d = d'p^r$. By the assumption $q' = \min(q, q_0, q_2)$, we have $1 \leq p^r \leq q'$. Since

$$\psi_{d'p^r} = (\psi_{d'})^{p^r-1}$$

the exponents sum up to $q'$.

In case (2), assume that $(i, j, k) = (1, 2, 3)$ and $q_{12} \leq q_{13}$. Then $d'$ divides $m_{12}'$, $m_{13}'$, $m_{03}'$ and $m_{02}'$, and $d'$ does not divide any other of $m'_i$ or $m'_{ik}$. Considering the element $\sigma_1 \sigma_3 \sigma_{12} \sigma_{01} 0 \in S''_5$, as in the previous case we conclude that the multiplicity of $\psi_{d'}$ in $\gcd S'_5$ is at most (and hence equal to) $q_{12}$, i.e., the one given by (5.8).

If $d' = 1$, the multiplicity of $v_1 = 0$ (in addition to the four copies present in each term automatically) is counted by a similar argument, using the fact that $d = p$ itself satisfies at most one of the two conditions in Lemma 5.3 and with at most one parameter set. The extra multiplicity is $\min(q, q_0, q_3)$ in case (1) or $\min(q_0, q_3)$ in case (2), i.e., again the one given by (5.8) (where the product is to be restricted to the divisors $d \mid m$ that are powers of $p$).

As in §5.4, the case where $\delta(a) = 1$ and either $d' = 2$ or $p = 2$ needs special attention, taking into account the common divisor 2 of all $m_{ij}$. We leave details to the reader.

Summarizing, we conclude that, for any prime $p$, the invariant factor $f_5$ of the $\mathbb{F}_p R$-module $A[\alpha] \otimes \mathbb{F}_p$ is merely the $(\mod p)$-reduction of (5.8).

5.6. **End of the proof of Theorem 1.7(3).** For each field $k = \mathbb{Q}$ or $\mathbb{F}_p$,

$$\dim(A[\alpha] \otimes k) = \deg f_{1,k} + \ldots + \deg f_{6,k},$$

where $f_{r,k} \in k R$, $r = 1, \ldots, 6$, are the invariant factors of $A[\alpha] \otimes k$. According to §5.3–§5.5, each $f_{r,k}$ is the reduction to $k$ of the monic polynomial $f_r \in \mathbb{Z}[t]$ given by (5.7) or (5.8). Hence, $\dim(A[\alpha] \otimes k)$ does not depend on $k$. \qed
6. Examples

In conclusion, we mention a few numeric examples showing the sharpness of most estimates stated in §1.2. Most examples result from experiments with random matrices, and it appears that the presence of a nontrivial torsion in $B[\alpha]$ is quite common. The input for the computation is a $(3 \times 3)$-matrix $M$ whose rows are the coordinates (in the basis $t_1, t_2, t_3 \in G$) of three vectors generating $\text{Ker} \, \alpha$. Usually, this matrix is in the form $\text{diag}(m_1, m_2, m_3)M'$, where $\text{diag}$ is a diagonal matrix and $M'$ is unimodular: in the experiments, the diagonal part was fixed while $M'$ was chosen randomly.

To shorten the display, we represent the isomorphism class of the finite group $T[\alpha]$ by the vector $T = [a_i]$ of its invariant factors, so that $T[\alpha] = \bigoplus \mathbb{Z}/a_i$.

6.1. Torsion groups of maximal length. For the finite quotients $\alpha_i$ defined by the matrices $M_i := DM_i'$, where $D := \text{diag}(1, 8, 8)$, one has:

$$M_1' = \begin{bmatrix} 4 & 7 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix} : \quad \pi_1(\Phi[\alpha_1]) = \mathbb{Z}/2, \quad T = [2, 2, 2, 2, 2, 2, 4],$$

$$M_2' = \begin{bmatrix} 0 & 3 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix} : \quad \pi_1(\Phi[\alpha_2]) = 0, \quad T = [2, 2, 4].$$

If $D = \text{diag}(1, 8, 16)$, then

$$M_3' = \begin{bmatrix} 4 & 1 & -1 \\ 1 & 1 & 0 \\ 1 & 0 & 0 \end{bmatrix} : \quad \pi_1(\Phi[\alpha_3]) = \mathbb{Z}/2, \quad T = [2, 2, 2, 4, 4, 4, 4],$$

$$M_4' = \begin{bmatrix} 6 & 1 & 2 \\ 1 & 0 & 1 \\ 0 & 0 & 1 \end{bmatrix} : \quad \pi_1(\Phi[\alpha_4]) = \mathbb{Z}/4, \quad T = [2, 4, 4, 4, 4, 8],$$

$$M_5' = \begin{bmatrix} 1 & 0 & 3 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{bmatrix} : \quad \pi_1(\Phi[\alpha_5]) = 0, \quad T = [4, 4, 4, 4].$$

If $D = \text{diag}(1, 9, 9)$ (and hence $\delta[\alpha] = 0$), then

$$M_6' = \begin{bmatrix} -3 & 1 & 2 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix} : \quad \pi_1(\Phi[\alpha_6]) = \mathbb{Z}/3, \quad T = [3, 3, 3, 3, 3, 3],$$

$$M_7' = \begin{bmatrix} -1 & 1 & 1 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{bmatrix} : \quad \pi_1(\Phi[\alpha_7]) = 0, \quad T = [3, 3, 9].$$

Finally, for $D = \text{diag}(2, 9, 9)$ one has

$$M_8' = \begin{bmatrix} -4 & 2 & 1 \\ -3 & 1 & 0 \\ 1 & 0 & 1 \end{bmatrix} : \quad \pi_1(\Phi[\alpha_8]) = \mathbb{Z}/3, \quad T = [3, 3, 3, 3, 3, 3, 9],$$

$$M_9' = \begin{bmatrix} 3 & 2 & 0 \\ 1 & 1 & 0 \\ 3 & 0 & -1 \end{bmatrix} : \quad \pi_1(\Phi[\alpha_9]) = 0, \quad T = [3, 3, 3, 9].$$
Remark 6.1. In most examples considered in this section, the estimate given by Lemma 4.8 does depend on the order of the indices, cf. Remark 4.9; often, even the best bound is larger than the actual size $|T[\alpha]|$. In many cases, the epimorphism $B[m] \otimes\Lambda [\alpha] \to B[\alpha]$ is not an isomorphism, cf. Remark 4.7. Note also that, for the finite quotient $\alpha_4$, one has

$$T_2/T_0 \cong T_3/T_2 \cong \mathbb{Z}/4 \oplus \mathbb{Z}/4 \oplus \mathbb{Z}/4,$$

cf. Lemma 4.8, whereas $\exp T[\alpha_4] = 8$.

6.2. The case of $|G|$ prime to 6. In this case, one always has $\delta[\alpha] = 0$. Let $\alpha_i$ be defined by a matrix $M_i := DM_i$. If $D = \text{diag}(1, 5, 25)$, then one has:

$$M_1' = \begin{bmatrix} 2 & -1 & 6 \\ 1 & 0 & 1 \\ 0 & 0 & 1 \end{bmatrix} : \pi_1(\Phi[\alpha_1]) = \mathbb{Z}/5, \quad T = [5, 5, 5, 5, 5],$$

$$M_2' = \begin{bmatrix} 2 & 0 & -1 \\ 4 & 0 & -1 \\ 1 & 0 & 0 \end{bmatrix} : \pi_1(\Phi[\alpha_2]) = 0, \quad T = [5, 5].$$

If $D = \text{diag}(1, 7, 7)$, then

$$M_3' = \begin{bmatrix} 1 & 2 & 5 \\ 0 & 0 & 1 \\ 1 & 1 & 0 \end{bmatrix} : \pi_1(\Phi[\alpha_3]) = \mathbb{Z}/7, \quad T = [7, 7, 7, 7, 7],$$

$$M_4' = \begin{bmatrix} 1 & 0 & 2 \\ 1 & 0 & 1 \\ 3 & 1 & 0 \end{bmatrix} : \pi_1(\Phi[\alpha_4]) = 0, \quad T = [7, 7].$$

Remark 6.2. Examples found in §6.1 and §6.2 suggest that, under the additional assumption that $\pi_1(\Phi[\alpha]) = 0$, we have a better bound $\ell(T[\alpha]) \leq 3 + \delta[\alpha]$. It also appears that $\exp T[\alpha]$ divides $\text{ht} \alpha$. I do not know a proof of these facts.

6.3. Diagonal Delsarte surfaces. Tested were the diagonal finite quotients

$$\alpha = (2, 4, 4), \ (2, 6, 6), \ (2, 8, 8), \ (4, 6, 12).$$

In all cases, the obvious epimorphism $B[m] \otimes\Lambda [\alpha] \to B[\alpha]$ is an isomorphism, i.e., the torsion $\text{Tors} B[\alpha]$ is maximal allowed by Theorem 1.7(4), see §4.3.

6.4. Cyclic Delsarte surfaces. The last example illustrates Remarks 4.7 and 4.9, showing that, in general, one may need to deal with the whole module $\Lambda[\alpha]$ when computing the torsion. Let $\alpha: \mathbb{G} \to G$ be the finite quotient defined by the matrix

$$M := \begin{bmatrix} 1 & 1 & 0 \\ 3 & 0 & 3 \\ 0 & 0 & 4 \end{bmatrix}. $$

It is immediate that $m = 12$ and $G \cong \mathbb{Z}/m$ is a cyclic group; hence, $\text{Tors} B[\alpha] = 0$, see (3.7) and Theorem 1.7(3).

Let $B'[\alpha] := B[m] \otimes\Lambda [\alpha]$; by Corollary 4.6, this $\Lambda[\alpha]$-module is defined by (3.2) and relation $c_1' = c_2' + c_3'$. Consider the filtrations $B_3 \subset B[\alpha]$ and $B_2' \subset B'[\alpha]$, $i = 0, \ldots, 4$, defined as in §4.1. Then, a straightforward computation shows that $\text{Tors}(B_3/B_2) = \mathbb{Z}/4 \oplus \mathbb{Z}/2$ whereas $\text{Tors}(B_2'/B_2') = \mathbb{Z}/4 \oplus \mathbb{Z}/4 \oplus \mathbb{Z}/2$ (as predicted by Lemma 4.8); hence, $B[\alpha] \neq B'[\alpha]$, cf. Remark 4.7.
Furthermore, $\bar{p}_2 = \bar{p}_3 = 2$ and $\bar{q} = \bar{s} = 1$ and, in agreement with Lemma 4.8, we have $\text{Tors } B_2 = \text{Tors } B'_2 = \mathbb{Z}/2 \oplus \mathbb{Z}/2$. However, permuting the indices to $(0, 2, 1, 3)$ (cf. Remark 4.9), we obtain a better bound: this time $\bar{p}_2 = \bar{p}_3 = \bar{q} = \bar{s} = 1$ and, hence, $\text{Tors } B_2 = \text{Tors } B'_2 = 0$.

**Remark 6.3.** This example explains also why, in the proof of Theorem 1.7(3) in §5, we had to consider the matrix (5.5) with rather long sequences of minors instead of a much simpler matrix given by Corollary 4.6: the latter just would not work, as the corresponding module may have torsion.

**References**


Department of Mathematics, Bilkent University, 06800 Ankara, Turkey
E-mail address: degt@fen.bilkent.edu.tr